



# QUARTERLY STATEMENT

As of June 30, 2020  
of the Condition and Affairs of the

## TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

NAIC Group Code.....4926, 4926 (Current Period) (Prior Period)	NAIC Company Code..... 71153	Employer's ID Number..... 39-1052598
Organized under the Laws of CT	State of Domicile or Port of Entry CT	Country of Domicile US
Licensed as Business Type: Life, Accident & Health		
Incorporated/Organized..... January 9, 1956	Commenced Business..... July 1, 1965	
Statutory Home Office	1 Griffin Road N .. Windsor .. CT .. US .. 06095-1512 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	
Main Administrative Office	1 Griffin Road N .. Windsor .. CT .. US .. 06095-1512 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	800-862-6668 <i>(Area Code) (Telephone Number)</i>
Mail Address	1 Griffin Road N .. Windsor .. CT .. US .. 06095-1512 <i>(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)</i>	
Primary Location of Books and Records	1 Griffin Road N .. Windsor .. CT .. US .. 06095-1512 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	800-862-6668 <i>(Area Code) (Telephone Number)</i>
Internet Web Site Address	www.talcottresolution.com	
Statutory Statement Contact	Andrew G. Helming <i>(Name)</i> Statement.questions@talcottresolution.com <i>(E-Mail Address)</i>	860-791-0166 <i>(Area Code) (Telephone Number) (Extension)</i> 860-624-0444 <i>(Fax Number)</i>

### OFFICERS

Name	Title	Name	Title
1. Peter Francis Sannizzaro	President and Chief Executive Officer	2. Robert Raymond Siracusa	VP and Chief Financial Officer
3. Michael Robert Hazel	VP and Controller	4. Jeremy Matthew Billiel	AVP and Treasurer

### OTHER

John Buck Brady	VP and Appointed Actuary	Christopher Benedict Cramer	VP and Corporate Secretary
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### DIRECTORS OR TRUSTEES

Peter Francis Sannizzaro	Matthew James Poznar	Robert Raymond Siracusa
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State of..... Connecticut  
County of..... Hartford

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
Peter F. Sannizzaro	Michael R. Hazel	Christopher B. Cramer
1. (Printed Name)	2. (Printed Name)	3. (Printed Name)
President and Chief Executive Officer	Vice President and Controller	Vice President and Corporate Secretary
(Title)	(Title)	(Title)

Subscribed and sworn to before me  
This 21st day of July 2020

a. Is this an original filing? Yes [ X ] No [ ]  
b. If no: 1. State the amendment number \_\_\_\_\_  
2. Date filed \_\_\_\_\_  
3. Number of pages attached \_\_\_\_\_

**SANDRA D. MANGERI**  
**NOTARY PUBLIC**  
MY COMMISSION EXPIRES AUG. 31, 2023

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	4,410,893,830		4,410,893,830	4,549,159,315
2. Stocks:				
2.1 Preferred stocks.....			0	2,272,049
2.2 Common stocks.....	19,712,898	2,659,829	17,053,069	17,992,949
3. Mortgage loans on real estate:				
3.1 First liens.....	808,598,316		808,598,316	843,320,642
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....102,140,448), cash equivalents (\$.....731,390,673) and short-term investments (\$.....43,217,645).....	876,748,766		876,748,766	409,620,396
6. Contract loans (including \$.....0 premium notes).....	97,807,072		97,807,072	99,925,503
7. Derivatives.....	360,297,017		360,297,017	161,703,961
8. Other invested assets.....	464,438,652		464,438,652	523,096,364
9. Receivables for securities.....	17,019,766		17,019,766	8,356,191
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	101,990,193	0	101,990,193	34,309,257
12. Subtotals, cash and invested assets (Lines 1 to 11).....	7,157,506,510	2,659,829	7,154,846,681	6,649,756,627
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	103,935,631		103,935,631	132,100,186
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	44,572		44,572	67,880
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....			0	22,912
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	34,071,226		34,071,226	31,404,014
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....	17,661,204		17,661,204	24,354,735
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....	69,778,639		69,778,639	32,580,526
18.2 Net deferred tax asset.....	134,812,066	42,824,455	91,987,611	127,732,739
19. Guaranty funds receivable or on deposit.....	(20,278)		(20,278)	(20,278)
20. Electronic data processing equipment and software.....			0	
21. Furniture and equipment, including health care delivery assets (\$.....0).....			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....			0	176
24. Health care (\$.....0) and other amounts receivable.....	2,224		2,224	6,741
25. Aggregate write-ins for other than invested assets.....	46,577,096	12,702,204	33,874,892	35,856,090
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	7,564,368,890	58,186,488	7,506,182,402	7,033,862,348
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	25,048,538,182		25,048,538,182	27,243,815,730
28. Total (Lines 26 and 27).....	32,612,907,072	58,186,488	32,554,720,584	34,277,678,078

## DETAILS OF WRITE-INS

1101. Collateral on derivatives.....	101,990,193		101,990,193	34,309,257
1102. ....			0	
1103. ....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	101,990,193	0	101,990,193	34,309,257
2501. Disbursements and items not allocated.....	46,577,096	12,702,204	33,874,892	35,856,090
2502. ....			0	
2503. ....			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	46,577,096	12,702,204	33,874,892	35,856,090

# TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

## LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$.....4,955,522,726 less \$.....0 included in Line 6.3 (including \$.....839,358,445 Modco Reserve).....	4,955,522,726	4,956,870,450
2. Aggregate reserve for accident and health contracts (including \$.....0 Modco Reserve).....	15,852,729	15,968,053
3. Liability for deposit-type contracts (including \$.....1,190,557 Modco Reserve).....	206,139,936	216,142,238
4. Contract claims:		
4.1 Life.....	23,465,050	23,426,281
4.2 Accident and health.....	290,989	284,276
5. Policyholders' dividends/refunds to members \$.....0 and coupons \$.....2,290 due and unpaid.....	2,290	3,460
6. Provision for policyholders' dividends/refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholder's dividends/refunds to members apportioned for payment (including \$.....0 Modco).....	602,819	606,929
6.2 Policyholder's dividends/refunds to members not yet apportioned (including \$.....0 Modco).....		
6.3 Coupons and similar benefits (including \$.....0 Modco).....		
7. Amount provisionally held for deferred dividend policies not included in Line 6.....		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$.....0 discount; including \$.....0 accident and health premiums.....	130,028	209,339
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts.....		
9.2 Provision for experience rating refunds, including the liability of \$.....0 accident and health experience rating refunds of which \$.....0 is for medical loss ratio rebate per the Public Health Service Act.....	22,705	22,592
9.3 Other amounts payable on reinsurance, including \$.....0 assumed and \$.....10,825,387 ceded.....	10,825,387	8,346,847
9.4 Interest Maintenance Reserve.....	98,707,091	86,725,788
10. Commissions to agents due or accrued - life and annuity contracts \$.....18,055,402, accident and health \$.....0 and deposit-type contract funds \$.....0.....	18,055,402	20,777,177
11. Commissions and expense allowances payable on reinsurance assumed.....		
12. General expenses due or accrued.....	11,375,517	19,562,351
13. Transfers to Separate Accounts due or accrued (net) (including \$.....(38,277,609) accrued for expense allowances recognized in reserves, net of reinsured allowances).....	(41,513,254)	(49,902,368)
14. Taxes, licenses and fees due or accrued, excluding federal income taxes.....	(764,609)	952,003
15.1 Current federal and foreign income taxes, including \$.....0 on realized capital gains (losses).....		
15.2 Net deferred tax liability.....		
16. Unearned investment income.....	3,508,750	3,511,974
17. Amounts withheld or retained by reporting entity as agent or trustee.....	10,011,219	10,274,712
18. Amounts held for agents' account, including \$.....28,337 agents' credit balances.....	30,561	34,683
19. Remittances and items not allocated.....	7,649,599	10,936,079
20. Net adjustment in assets and liabilities due to foreign exchange rates.....		
21. Liability for benefits for employees and agents if not included above.....		
22. Borrowed money \$.....0 and interest thereon \$.....0.....		
23. Dividends to stockholders declared and unpaid.....		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve.....	107,864,230	128,507,852
24.02 Reinsurance in unauthorized and certified (\$.....0) companies.....		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$.....0) reinsurers.....		
24.04 Payable to parent, subsidiaries and affiliates.....	9,733,370	131,359,329
24.05 Drafts outstanding.....	52,458,304	68,503,170
24.06 Liability for amounts held under uninsured plans.....		
24.07 Funds held under coinsurance.....		
24.08 Derivatives.....	350,480,567	179,755,105
24.09 Payable for securities.....	32,299,598	10,956,851
24.10 Payable for securities lending.....		
24.11 Capital notes \$.....0 and interest thereon \$.....0.....		
25. Aggregate write-ins for liabilities.....	432,243,429	210,742,843
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25).....	6,304,994,433	6,054,578,014
27. From Separate Accounts statement.....	25,048,538,182	27,243,815,730
28. Total liabilities (Lines 26 and 27).....	31,353,532,615	33,298,393,744
29. Common capital stock.....	2,500,000	2,500,000
30. Preferred capital stock.....		
31. Aggregate write-ins for other-than-special surplus funds.....	211,493,796	223,338,361
32. Surplus notes.....		
33. Gross paid in and contributed surplus.....	85,431,561	85,431,561
34. Aggregate write-ins for special surplus funds.....	0	0
35. Unassigned funds (surplus).....	901,762,612	668,014,412
36. Less treasury stock, at cost:		
36.1 .....0.000 shares common (value included in Line 29 \$.....0).....		
36.2 .....0.000 shares preferred (value included in Line 30 \$.....0).....		
37. Surplus (Total Lines 31 + 32 + 33 + 34 + 35 - 36) (including \$.....0 in Separate Accounts Statement).....	1,198,687,969	976,784,334
38. Totals of Lines 29, 30 and 37.....	1,201,187,969	979,284,334
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3).....	32,554,720,584	34,277,678,078

### DETAILS OF WRITE-INS

2501. Collateral on derivatives.....	290,932,770	170,792,087
2502. Payable for repurchase agreements.....	96,744,375	
2503. Other liabilities - abandoned property unpaid funds.....	25,748,423	20,499,375
2598. Summary of remaining write-ins for Line 25 from overflow page.....	18,817,861	19,451,381
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	432,243,429	210,742,843
3101. Gain on inforce reinsurance.....	211,493,796	223,338,361
3102. ....		
3103. ....		
3198. Summary of remaining write-ins for Line 31 from overflow page.....	0	0
3199. Totals (Lines 3101 thru 3103 plus 3198) (Line 31 above).....	211,493,796	223,338,361
3401. ....		
3402. ....		
3403. ....		
3498. Summary of remaining write-ins for Line 34 from overflow page.....	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34 above).....	0	0

**SUMMARY OF OPERATIONS**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts.....	68,410,682	61,464,370	120,006,752
2. Considerations for supplementary contracts with life contingencies.....	312,173		124,308
3. Net investment income.....	139,273,998	154,409,940	315,441,264
4. Amortization of Interest Maintenance Reserve (IMR).....	4,065,381	(42,184)	4,762,176
5. Separate Accounts net gain from operations excluding unrealized gains or losses.....			
6. Commissions and expense allowances on reinsurance ceded.....	19,921,977	21,149,482	45,974,076
7. Reserve adjustments on reinsurance ceded.....	(219,693,001)	(228,265,550)	(481,495,984)
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts.....	247,586,180	262,090,727	533,685,441
8.2 Charges and fees for deposit-type contracts.....			
8.3 Aggregate write-ins for miscellaneous income.....	30,907,200	34,256,789	56,376,730
9. Totals (Lines 1 to 8.3).....	290,784,590	305,063,574	594,874,763
10. Death benefits.....	3,764,011	(3,172,389)	(8,721,820)
11. Matured endowments (excluding guaranteed annual pure endowments).....	3,579		
12. Annuity benefits.....	143,063,961	144,671,782	295,458,924
13. Disability benefits and benefits under accident and health contracts.....	220,992	273,127	484,036
14. Coupons, guaranteed annual pure endowments and similar benefits.....			
15. Surrender benefits and withdrawals for life contracts.....	1,240,417,091	1,608,033,722	3,097,282,584
16. Group conversions.....			
17. Interest and adjustments on contract or deposit-type contract funds.....	4,239,265	8,303,774	38,382,070
18. Payments on supplementary contracts with life contingencies.....	706,294	770,622	1,494,337
19. Increase in aggregate reserves for life and accident and health contracts.....	(1,463,048)	(170,867,117)	(203,593,511)
20. Totals (Lines 10 to 19).....	1,390,952,145	1,588,013,521	3,220,786,620
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only).....	60,744,612	68,684,125	138,534,682
22. Commissions and expense allowances on reinsurance assumed.....	2,700,671	2,760,155	8,198,813
23. General insurance expenses and fraternal expenses.....	35,864,969	46,246,418	87,088,671
24. Insurance taxes, licenses and fees, excluding federal income taxes.....	2,976,093	218,894	2,348,933
25. Increase in loading on deferred and uncollected premiums.....	47,274	(57,303)	(61,136)
26. Net transfers to or (from) Separate Accounts net of reinsurance.....	(1,264,064,230)	(1,579,503,995)	(3,102,888,025)
27. Aggregate write-ins for deductions.....	(55,637,753)	(73,388,021)	(140,752,142)
28. Totals (Lines 20 to 27).....	173,583,781	52,973,794	213,256,416
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28).....	117,200,809	252,089,780	381,618,347
30. Dividends to policyholders and refunds to members.....	14,613	138,067	208,009
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30).....	117,186,196	251,951,713	381,410,338
32. Federal and foreign income taxes incurred (excluding tax on capital gains).....	(40,976,175)	(21,155,576)	(27,159,346)
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32).....	158,162,371	273,107,289	408,569,684
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$.....(487,512) (excluding taxes of \$.....4,265,574 transferred to the IMR).....	(42,611,122)	25,389,330	(12,360,382)
35. Net income (Line 33 plus Line 34).....	115,551,249	298,496,619	396,209,302
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
36. Capital and surplus, December 31, prior year.....	979,284,334	1,160,838,596	1,160,838,596
37. Net income (Line 35).....	115,551,249	298,496,619	396,209,302
38. Change in net unrealized capital gains (losses) less capital gains tax of \$.....16,820,172.....	114,750,799	(219,945,878)	(301,668,972)
39. Change in net unrealized foreign exchange capital gain (loss).....	359,352	(3,890,968)	(1,967,237)
40. Change in net deferred income tax.....	(29,479,487)	(18,457,721)	(28,199,411)
41. Change in nonadmitted assets.....	11,922,664	18,996,491	15,943,893
42. Change in liability for reinsurance in unauthorized and certified companies.....			
43. Change in reserve on account of change in valuation basis, (increase) or decrease.....			56,896,844
44. Change in asset valuation reserve.....	20,643,622	(26,037,273)	(45,079,553)
45. Change in treasury stock.....			
46. Surplus (contributed to) withdrawn from Separate Accounts during period.....			
47. Other changes in surplus in Separate Accounts Statement.....			
48. Change in surplus notes.....			
49. Cumulative effect of changes in accounting principles.....			
50. Capital changes:			
50.1 Paid in.....			
50.2 Transferred from surplus (Stock Dividend).....			
50.3 Transferred to surplus.....			
51. Surplus adjustment:			
51.1 Paid in.....			(250,000,000)
51.2 Transferred to capital (Stock Dividend).....			
51.3 Transferred from capital.....			
51.4 Change in surplus as a result of reinsurance.....			
52. Dividends to stockholders.....			
53. Aggregate write-ins for gains and losses in surplus.....	(11,844,564)	(11,844,564)	(23,689,128)
54. Net change in capital and surplus (Lines 37 through 53).....	221,903,635	37,316,706	(181,554,262)
55. Capital and surplus as of statement date (Lines 36 + 54).....	1,201,187,969	1,198,155,302	979,284,334
<b>DETAILS OF WRITE-INS</b>			
08.301. Other investment management fees.....	30,306,176	32,876,104	54,453,430
08.302. Miscellaneous income.....	309,178	613,034	925,649
08.303. Separate Account loads.....	291,846	767,651	997,651
08.398. Summary of remaining write-ins for Line 8.3 from overflow page.....	0	0	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above).....	30,907,200	34,256,789	56,376,730
2701. Miscellaneous deductions.....	191,497	2,071,894	369,888
2702. Modco adjustment.....	(55,829,250)	(75,459,915)	(141,122,030)
2703. ....			
2798. Summary of remaining write-ins for Line 27 from overflow page.....	0	0	0
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above).....	(55,637,753)	(73,388,021)	(140,752,142)
5301. Gain on inforce reinsurance.....	(11,844,564)	(11,844,564)	(23,689,128)
5302. ....			
5303. ....			
5398. Summary of remaining write-ins for Line 53 from overflow page.....	0	0	0
5399. Totals (Lines 5301 thru 5303 plus 5398) (Line 53 above).....	(11,844,564)	(11,844,564)	(23,689,128)

# TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

## CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....	68,642,603	61,474,011	120,211,243
2. Net investment income.....	172,814,512	271,477,291	439,672,388
3. Miscellaneous income.....	66,877,792	77,386,884	130,851,135
4. Total (Lines 1 through 3).....	308,334,907	410,338,186	690,734,765
5. Benefit and loss related payments.....	1,385,864,852	1,772,370,641	3,438,350,617
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	(1,272,453,344)	(1,588,432,730)	(3,122,215,019)
7. Commissions, expenses paid and aggregate write-ins for deductions.....	(65,482,398)	193,379,712	242,449,124
8. Dividends paid to policyholders.....	19,893	21,139	37,237
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....	.....	.....0	12,692,835
10. Total (Lines 5 through 9).....	47,949,003	377,338,763	571,314,795
11. Net cash from operations (Line 4 minus Line 10).....	260,385,904	32,999,423	119,419,971
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	605,772,682	704,301,192	1,237,813,039
12.2 Stocks.....	8,244,540	21,387,120	66,030,101
12.3 Mortgage loans.....	57,877,510	59,982,822	81,888,745
12.4 Real estate.....	.....	.....	.....
12.5 Other invested assets.....	49,142,192	40,540,362	96,554,772
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	1,424,000	2,193,818	158,384
12.7 Miscellaneous proceeds.....	114,060,537	7,349,988	102,289,903
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	836,521,461	835,755,301	1,584,734,944
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	458,080,637	572,935,653	912,788,647
13.2 Stocks.....	5,312,412	2,076,543	3,294,932
13.3 Mortgage loans.....	23,172,134	57,259,268	111,438,828
13.4 Real estate.....	.....	.....	.....
13.5 Other invested assets.....	17,854,782	23,000,717	48,293,742
13.6 Miscellaneous applications.....	76,344,511	76,662,783	129,728,844
13.7 Total investments acquired (Lines 13.1 to 13.6).....	580,764,476	731,934,964	1,205,544,993
14. Net increase or (decrease) in contract loans and premium notes.....	(2,118,431)	(1,065,436)	(2,699,971)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	257,875,416	104,885,774	381,889,922
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....	.....	.....	.....
16.2 Capital and paid in surplus, less treasury stock.....	.....	.....	(250,000,000)
16.3 Borrowed funds.....	.....	.....	.....
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....	(10,002,302)	(6,389,142)	(259,201,271)
16.5 Dividends to stockholders.....	.....	.....	.....
16.6 Other cash provided (applied).....	(41,130,648)	(1,532,907)	5,952,555
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	(51,132,950)	(7,922,049)	(503,248,716)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	467,128,370	129,963,149	(1,938,823)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	409,620,396	411,559,219	411,559,219
19.2 End of period (Line 18 plus Line 19.1).....	876,748,766	541,522,368	409,620,396
<b>Note: Supplemental disclosures of cash flow information for non-cash transactions:</b>			
20.0001 Non-cash proceeds from invested asset exchanges - bonds and other invested assets.....	(22,533,198)	(31,045,053)	(99,820,813)
20.0002 Non-cash acquisitions from invested asset exchanges - bonds and other invested assets.....	(22,533,198)	(31,045,053)	(99,820,813)

**EXHIBIT 1**

**DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life.....			
2. Ordinary life insurance.....	364,323,480	386,283,286	785,139,549
3. Ordinary individual annuities.....	79,259,740	72,720,869	143,357,933
4. Credit life (group and individual).....			
5. Group life insurance.....	1,502,827	1,129,644	1,128,240
6. Group annuities.....			
7. A&H - group.....			
8. A&H - credit (group and individual).....			
9. A&H - other.....	132,014	136,400	269,775
10. Aggregate of all other lines of business.....	0	0	0
11. Subtotal (Lines 1 through 10).....	445,218,061	460,270,199	929,895,497
12. Fraternal ( Fraternal Benefit Societies Only).....			
13. Subtotal (Lines 11 through 12).....	445,218,061	460,270,199	929,895,497
14. Deposit-type contracts.....	359,410	1,160,442	4,285,519
15. Total (Lines 13 and 14).....	445,577,471	461,430,641	934,181,016

**DETAILS OF WRITE-INS**

1001. ....			
1002. ....			
1003. ....			
1098. Summary of remaining write-ins for Line 10 from overflow page.....	0	0	0
1099. Total (Lines 1001 thru 1003 plus 1098) (Line 10 above).....	0	0	0

## NOTES TO FINANCIAL STATEMENTS

**Note 1 - Summary of Significant Accounting Policies and Going Concern****A. Accounting Practices**

The accompanying statutory-basis financial statements of Talcott Resolution Life and Annuity Insurance Company (the "Company" or "TLA") have been prepared in conformity with statutory accounting practices prescribed or permitted by the State of Connecticut Insurance Department ("the Department"). The Department recognizes only statutory accounting practices prescribed or permitted by the State of Connecticut for determining and reporting the financial condition and results of operations of an insurance company and for determining solvency under the State of Connecticut Insurance Law. The National Association of Insurance Commissioners' Accounting Practices and Procedures Manual ("NAIC SAP") has been adopted as a component of prescribed practices by the State of Connecticut.

A difference prescribed by Connecticut state law allows the Company to receive a reinsurance reserve credit for reinsurance treaties that provide for a limited right of unilateral cancellation by the reinsurer. Even if the Company did not obtain reinsurance reserve credit for this reinsurance treaty, the Company's risk-based capital would not have triggered a regulatory event.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed by the Department is shown below:

	SSAP #	F/S Page	F/S Line #	2020	2019
<b>Net Income</b>					
1. TLA state basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$ 115,551,249	\$ 396,209,302
2. State prescribed practices that are an (increase)/decrease from NAIC SAP:					
Less: Reinsurance reserve credit (as described above)	61	4	19	104,110,327	(42,350,753)
				104,110,327	(42,350,753)
3. State permitted practices that are an (increase)/decrease from NAIC SAP				—	—
4. NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 11,440,922	\$ 438,560,055
<b>Surplus</b>					
5. TLA state basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 1,201,187,969	\$ 979,284,334
6. State prescribed practices that are an (increase)/decrease from NAIC SAP:					
Less: Reinsurance reserve credit (as described above)	61	3	1	135,413,074	31,302,747
				135,413,074	31,302,747
7. State permitted practices that are an (increase)/decrease NAIC SAP				—	—
8. NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 1,065,774,895	\$ 947,981,587

The Company does not follow any other prescribed or permitted statutory accounting practices that have a material effect on statutory surplus, statutory net income or risk-based capital of the Company.

**C. Accounting Policy**

- The Company had no SVO - identified investments in exchange traded funds or bond mutual funds that qualifies for bond accounting treatment.
- Loan-backed bonds and structured securities are carried at either amortized cost or the lower of amortized cost or fair value in accordance with the provisions of SSAP No. 43-Revised, Loan-backed and Structured Securities. Significant changes in estimated cash flows from the original purchase assumptions are accounted for using the prospective method, except for highly rated fixed rate securities, which use the retrospective method.

**D. Going Concern**

The Company is not aware of any conditions or events which raise substantial doubts concerning the Company's ability to continue as a going concern.

**Note 2 - Accounting Changes and Corrections of Errors**

No significant change.

**Note 3 - Business Combinations and Goodwill**

No significant change.

**Note 4 - Discontinued Operations**

No significant change.

**Note 5 - Investments****D. Loan-Backed Securities**

- Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from broker dealer survey values or internal estimates.
- The Company had no other-than-temporary impairments ("OTTI") for loan-backed securities recorded during the year where the Company had either the intent to sell the securities or the inability or lack of intent to retain.
- The Company did not recognize any OTTI for loan-backed securities held as of June 30, 2020.

## NOTES TO FINANCIAL STATEMENTS

## 4. Security Unrealized Loss Aging

All impaired securities (fair value is less than cost or amortized cost) for which an OTTI has not been recognized in earnings as a realized loss (including securities with a recognized OTTI for non-interest related declines when a non-recognized interest related impairment remains):

## a. The aggregate amount of unrealized losses:

1. Less than 12 Months	\$ 13,652,581
2. 12 Months or Longer	\$ 4,014,928

## b. The aggregate related fair value of securities with unrealized losses:

1. Less than 12 Months	\$ 317,581,676
2. 12 Months or Longer	\$ 123,602,368

5. As of June 30, 2020 loan-backed securities in an unrealized loss position comprised 123 securities, primarily related to commercial mortgage-backed securities ("CMBS"), collateralized loan obligations ("CLOs"), and U.S. government agency securities, which were primarily depressed due to widening of credit spreads since the securities were purchased. The Company does not intend to sell the securities outlined above. Furthermore, based upon the Company's cash flow modeling and the expected continuation of contractually required principal and interest payments, the Company has deemed these securities to be temporarily impaired as of June 30, 2020.

## E. Dollar Repurchase Agreements and/or Securities Lending Transactions

## 3. Collateral Received

- b. The Company did not accept collateral that is permitted by contract or custom to sell or repledge as of June 30, 2020.

## F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

## 1. Company Policies or Strategies for Repo Programs

From time to time, the Company enters into repurchase agreements to manage liquidity or to earn incremental income. A repurchase agreement is a transaction in which one party (transferor) agrees to sell securities to another party (transferee) in return for cash (or securities), with a simultaneous agreement to repurchase the same securities at a specified price at a later date. These transactions generally have a contractual maturity of ninety days or less. Repurchase agreements include master netting provisions that provide both counterparties the right to offset claims and apply securities held by them with respect to their obligations in the event of a default. Although the Company has the contractual right to offset claims, the Company's current positions do not meet the specific conditions for net presentation.

Under repurchase agreements, the Company transfers collateral of U.S. government and government agency securities and receives cash. For repurchase agreements, the Company obtains cash in an amount equal to at least 95% of the fair value of the securities transferred. The agreements require additional collateral to be transferred when necessary and provide the counterparty the right to sell or re-pledge the securities transferred. The cash received from the repurchase program is typically invested in short-term investments or fixed maturities and is reported as an asset on the Company's consolidated balance sheets. The Company accounts for the repurchase agreements as collateralized borrowings. The securities transferred under repurchase agreements are included in bonds, with the obligation to repurchase those securities recorded in Other liabilities on the Company's consolidated balance sheets.

From time to time, the Company enters into reverse repurchase agreements where the Company purchases securities and simultaneously agrees to resell the same or substantially the same securities. The agreements require additional collateral to be transferred to the Company when necessary and the Company has the right to sell or re-pledge the securities received as collateral. The Company accounts for reverse repurchase agreements as collateralized financing.

## 2. Type of Repo Trades Used

	1 First Quarter	2 Second Quarter	3 Third Quarter	4 Fourth Quarter
a. Bilateral (YES/NO)	YES	YES		
b. Tri-Party (YES/NO)	NO	NO		



## NOTES TO FINANCIAL STATEMENTS

## 3. Original (Flow) &amp; Residual Maturity

a. Maximum Amount	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Open - no maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	58,065,000	58,065,000	—	—
6. >3 months to 1 year	96,744,375	96,744,375	—	—
7. > 1 year	—	—	—	—
b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Open - no maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	58,065,000	—	—	—
6. >3 months to 1 year	96,744,375	96,744,375	—	—
7. > 1 year	—	—	—	—

## 4. Counterparty, Jurisdiction and Fair Value (FV)

The Company has no investments in defaulted repurchase agreements.

## 5. Securities "Sold" Under Repo - Secured Borrowing

The Company has no securities sold under repurchase agreement transactions accounted for as secured borrowings.

## 6. Securities Sold Under Repo - Secured Borrowing by NAIC Designation

The Company has no securities sold under repurchase agreement transactions accounted for as secured borrowings.

## 7. Collateral Received - Secured Borrowing

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Cash	\$ 154,809,375	\$ 154,809,375	\$ —	\$ —
2. Securities (FV)	—	—	—	—
b. Ending Balance				
1. Cash	\$ 154,809,375	\$ 96,744,375	\$ —	\$ —
2. Securities (FV)	—	—	—	—

## 8. Cash &amp; Non-Cash Collateral Received - Secured Borrowing by NAIC Designation

	1	2	3	4
Ending Balance	None	NAIC 1	NAIC 2	NAIC 3
a. Cash	\$ —	\$ 96,744,375	\$ —	\$ —
b. Bonds - FV	—	—	—	—
c. LB & SS - FV	—	—	—	—
d. Preferred stock - FV	—	—	—	—
e. Common stock	—	—	—	—
f. Mortgage loans - FV	—	—	—	—
g. Real estate - FV	—	—	—	—
h. Derivatives - FV	—	—	—	—
i. Other invested assets - FV	—	—	—	—
j. Total collateral assets - FV	\$ —	\$ —	\$ —	\$ —

**NOTES TO FINANCIAL STATEMENTS**

Ending Balance	5 NAIC 4	6 NAIC 5	7 NAIC 6	8 Does Not Qualify as Admitted
a. Cash	\$ —	\$ —	\$ —	\$ —
b. Bonds - FV	—	—	—	—
c. LB & SS - FV	—	—	—	—
d. Preferred stock - FV	—	—	—	—
e. Common stock	—	—	—	—
f. Mortgage loans - FV	—	—	—	—
g. Real estate - FV	—	—	—	—
h. Derivatives - FV	—	—	—	—
i. Other invested assets - FV	—	—	—	—
j. Total collateral assets - FV	\$ —	\$ —	\$ —	\$ —

## 9. Allocation of Aggregate Collateral by Remaining Contractual Maturity

	Fair Value
a. Overnight and continuous	\$ —
b. 30 days or less	—
c. 31 to 90 days	96,744,375
d. >90 days	—

## 10. Allocation of Aggregate Collateral Reinvested by Remaining Contractual Maturity

	Amortized Cost	Fair Value
a. 30 days or less	\$ 40,582,639	\$ 40,590,628
b. 31 to 60 days	11,979,352	11,980,249
c. 61 to 90 days	2,672,610	2,673,216
d. 91 to 120 days	—	—
e. 121 to 180 days	447,000	447,146
f. 181 to 365 days	1,731,416	1,745,925
g. 1 to 2 years	6,592,037	6,662,162
h. 2 to 3 years	909,043	909,987
i. >3 years	31,830,278	31,830,278

## 11. Liability to Return Collateral - Secured Borrowing (Total)

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Cash	\$ 154,809,375	\$ 154,809,375	\$ —	\$ —
2. Securities (FV)	—	—	—	—
b. Ending Balance				
1. Cash	\$ 154,809,375	\$ 96,744,375	\$ —	\$ —
2. Securities (FV)	—	—	—	—

**G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing**

## 1. Company Policy or Strategies for Engaging in Repo Programs

From time to time, the Company enters into reverse repurchase agreements where the Company purchases securities and simultaneously agrees to resell the same or substantially the same securities. The agreements require additional collateral to be transferred to the Company when necessary and the Company has the right to sell or re-pledge the securities received as collateral. The Company accounts for reverse repurchase agreements as collateralized financing. The receivable for reverse repurchase agreements is included within short term investments.

## 2. Type of Repo Trades Used

	1 First Quarter	2 Second Quarter	3 Third Quarter	4 Fourth Quarter
a. Bilateral (YES/NO)	YES	YES		
b. Tri-Party (YES/NO)	NO	NO		

**NOTES TO FINANCIAL STATEMENTS**

## 3. Original (Flow) and Residual Maturity

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount				
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	—	—	—
6. >3 months to 1 year	2,955,307	2,773,282	—	—
7. > 1 year	—	—	—	—
b. Ending Balance				
1. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
2. Overnight	—	—	—	—
3. 2 days to 1 week	—	—	—	—
4. >1 week to 1 month	—	—	—	—
5. >1 month to 3 months	—	—	—	—
6. >3 months to 1 year	2,773,282	2,706,244	—	—
7. > 1 year	—	—	—	—

## 4. Counterparty, Jurisdiction and Fair Value (FV)

None

## 5. Fair Value of Securities Acquired Under Repo - Secured Borrowing

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
a. Maximum Amount	\$ 4,143,490	\$ 3,818,108	\$ —	\$ —
b. Ending Balance	3,891,668	3,796,590		

## 6. Securities Acquired Under Repo - Secured Borrowing by NAIC Designation

Ending Balance	1 None	2 NAIC 1	3 NAIC 2	4 NAIC 3
a. Bonds - FV	\$ —	\$ —	\$ —	\$ —
b. LB & SS - FV	—	—	—	—
c. Preferred stock - FV	—	—	—	—
d. Common stock	—	—	—	—
e. Mortgage loans - FV	—	—	—	—
f. Real estate - FV	—	—	—	—
g. Derivatives - FV	—	—	—	—
h. Other invested assets - FV	—	—	—	—
i. Total assets - FV	\$ —	\$ —	\$ —	\$ —

Ending Balance	5 NAIC 4	6 NAIC 5	7 NAIC 6	8 Does Not Qualify as Admitted
a. Bonds - FV	\$ —	\$ —	\$ —	\$ —
b. LB & SS - FV	—	3,763,181	—	—
c. Preferred stock - FV	—	—	—	—
d. Common stock	—	—	—	—
e. Mortgage loans - FV	—	—	—	—
f. Real estate - FV	—	—	—	—
g. Derivatives - FV	—	—	—	—
h. Other invested assets - FV	—	—	—	33,409
i. Total assets - FV	—	3,763,181	—	33,409

## NOTES TO FINANCIAL STATEMENTS

## 7. Collateral Pledged - Secured Borrowing

a. Maximum Amount	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Cash	\$ 2,955,307	\$ 2,773,282	\$ —	\$ —
2. Securities (FV)	—	—	—	—
3. Securities (BACV)	XXX	XXX	XXX	XXX
4. Nonadmitted subset (BACV)	XXX	XXX	XXX	XXX

b. Ending Balance	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
1. Cash	\$ 2,773,282	\$ 2,706,244	\$ —	\$ —
2. Securities (FV)	—	—	—	—
3. Securities (BACV)	XXX	XXX	XXX	XXX
4. Nonadmitted subset (BACV)	XXX	XXX	XXX	XXX

## 8. Allocation of Aggregate Collateral Pledged by Remaining Contractual Maturity

	Amortized Cost	Fair Value
a. Overnight and Continuous	\$ —	\$ —
b. 30 days or less	—	—
c. 31 to 90 days	—	—
d. >90 days	2,706,244	2,706,244

## 9. Recognized Receivable for Return of Collateral - Secured Borrowing

None

## 10. Recognized Liability to Return Collateral - Secured Borrowing (Total)

None

**H. Repurchase Agreements Transactions Accounted for as a Sale**

The Company had no reverse repurchase agreements transactions accounted for as secured borrowing transactions.

**I. Reverse Repurchase Agreements Transactions Accounted for as a Sale**

The Company had no reverse repurchase agreements transactions accounted for as a sale transaction.

**M. Working Capital Finance Investments**

The Company had no working capital finance investments.

**N. Retained Assets**

The Company had no offsetting and netting of assets and liabilities.

**Note 6 - Joint Ventures, Partnerships and Limited Liability Companies**

No significant change.

**Note 7 - Investment Income**

No significant change.

**Note 8 - Derivative Instruments****Other Investment and/or Risk Management Activities**

The premium payments for derivatives with financing premiums due within the next four years are listed in the table below, as well as the undiscounted premium commitments, the fair value of these contracts and the aggregate fair value excluding the impact of these premiums as of June 30, 2020 and December 31, 2019, respectively.

(amount in thousands)	
Fiscal Year	Premium Payment Due
2020	\$ 153,800
2021	(86,877)
2022	(53,712)
2023	(30,854)
Thereafter	136,084
Total Future Settled Premiums	\$ 118,441

## NOTES TO FINANCIAL STATEMENTS

(amount in thousands)			
Date	Undiscounted Future Premium Commitments	Fair Value	Fair Value Excluding Impact of Future Settled Premiums
June 30, 2020	\$ 118,441	\$ (31,917)	\$ (150,358)
December 31, 2019	\$ 332,827	\$ (126,135)	\$ (458,962)

**Note 9 - Income Taxes**

No significant change.

**Note 10 - Information Concerning Parent, Subsidiaries and Affiliates**

No significant change.

**Note 11 - Debt****B. FHLB (Federal Home Loan Bank) Agreements**

- The Company is a member of the Federal Home Loan Bank of Boston ("FHLB"). Membership allows the Company access to collateralized advances, which may be used to support various spread-based businesses or to enhance liquidity management. FHLB membership requires the Company to own member stock and borrowings require the purchase of activity-based stock in an amount (generally between 3% and 4% of the principal balance) based upon the term of the outstanding advances. FHLB stock held by the Company is classified within Page 2, Line 2.2 (Common stocks) in the General Account. As of June 30, 2020 there were no advances outstanding.

State law limits the Company's ability to pledge, hypothecate or otherwise encumber its assets. The amount of advances that can be taken by the Company are dependent on the assets pledged by the Company to secure the advances, and are therefore subject to this legal limit. The pledge limit is recalculated annually based on statutory admitted assets and capital and surplus. For 2020, the Company's pledge limit is \$245 million. The Company would need to seek prior written approval from the Department in order to exceed this limit. If the Company were to pursue borrowing additional amounts under its estimated capacity it may have to purchase additional shares of activity stock.

- a. FHLB Capital Stock - Aggregate Totals

## 1. June 30, 2020

		1 Total 2+3	2 General Account	3 Separate Accounts
a.	Membership Stock - Class A	\$ —	\$ —	\$ —
b.	Membership Stock - Class B	1,560,700	1,560,700	—
c.	Activity Stock	—	—	—
d.	Excess Stock	—	—	—
e.	Aggregate Total (a+b+c+d)	\$ 1,560,700	\$ 1,560,700	\$ —
f.	Actual or estimated borrowing capacity as determined by the insurer	\$ 245,000,000	\$ 245,000,000	\$ —

## 2. December 31, 2019

		1 Total 2+3	2 General Account	3 Separate Accounts
a.	Membership Stock - Class A	\$ —	\$ —	\$ —
b.	Membership Stock - Class B	1,961,300	1,961,300	—
c.	Activity Stock	—	—	—
d.	Excess Stock	—	—	—
e.	Aggregate Total (a+b+c+d)	\$ 1,961,300	\$ 1,961,300	\$ —
f.	Actual or estimated borrowing capacity as determined by the insurer	\$ 245,000,000	\$ 245,000,000	\$ —

- b. Membership Stock (Class A and B) Eligible for Redemption

Membership Stock	1 Current Period Total (2+3+4+5+6)	2 Not Eligible for Redemption	Eligible for Redemption			
			3 Less Than 6 Months	4 6 Months to Less than 1 Year	5 1 to Less than 3 Years	6 3 to 5 Years
1. Class A	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
2. Class B	1,560,700	1,418,720	—	141,980	—	—

- The Company had no collateral pledged to the FHLB as of June 30, 2020.
- The Company had no borrowings from the FHLB as of June 30, 2020.

**Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans****A Defined Benefit Plans**

The Company has no direct plans.

**NOTES TO FINANCIAL STATEMENTS****Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations**

No significant change.

**Note 14 - Liabilities, Contingencies, and Assessments****A. Contingent Commitments**

## 2. Detail of Other Contingent Commitments

1	2	3	4	5
Nature and Circumstances of Guarantee and Key Attributes, Including Date and Duration of Agreement	Liability Recognition of Guarantee	Ultimate Financial Statement Impact if Action Under the Guarantee is Required	Maximum Potential Amount of Future Payments the Guarantor Could be Required to Make	Current Status of Payment or Performance Risk of Guarantee
Effective February 1, 2018, TLA guaranteed the obligations of Talcott Resolution Comprehensive Employee Benefit Service Company ("TCB"), a wholly-owned subsidiary, with respect to certain structured settlement liability obligations to provide an increased level of security to claimants under such structured settlements; these obligations were assumed from TL on February 1, 2018. As of June 30, 2020 and December 31, 2019, no liability was recorded for this guarantee, as TCB was able to meet these policyholder obligations.	\$ —	Increase in Investments in SCA, Dividends to stockholders (capital contribution), Expense, or Other	Unlimited (1)	The guaranteed affiliate maintains surplus in addition to policyholder reserves. The payment or performance risk of this guarantee is low as it is unlikely that this guarantee will be triggered.
Total	\$ —		Unlimited	

(1) There is no limit on the Company's guarantee to pay policyholder obligations on behalf of the affiliate for the contracts covered in the guarantee agreement.

**B. Assessments**

No significant change.

**C. Gain Contingencies**

No significant change.

**D. Claims related extra contractual obligations and bad faith losses stemming from lawsuits**

No significant change.

**E. Joint and Several Liabilities**

No significant change.

**F. All Other Contingencies**

The Company is or may become involved in various legal actions, some of which assert claims for substantial amounts. Management expects that the ultimate liability, if any, with respect to such lawsuits, after consideration of provisions made for estimated losses and costs of defense, will not be material to the financial condition of the Company.

For additional information, please refer to the current and periodic reports filed by TL with the United States Securities and Exchange Commission.

**Note 15 - Leases**

No significant change.

**Note 16 - Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk**

No significant change.

**Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

B. The Company had no transfer or servicing of financial assets.

C. The Company had no wash sales.

**Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans**

No significant change.

**Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

No significant change.

**Note 20 - Fair Value Measurements****A. Fair Value Measurements**

Fair value is determined based on the "exit price" notion which is defined as the price that would be received to sell an asset or paid to transfer a liability in the principal or most advantageous market for the asset or liability in an orderly transaction between market participants. Financial instruments carried at fair value in the Company's financial statements include certain bonds, stocks, derivatives, and Separate Account assets.

## NOTES TO FINANCIAL STATEMENTS

The Company's investment manager for the Company's general account (a registered investment adviser under the Investment Advisers Act of 1940), with oversight by the Company's Investment Management Department and its Finance and Investment Committee ("FIC"), a committee co-chaired by the Chief Investment Officer and the Chief Risk Officer of the Company, estimates the fair value for financial assets held in the Company's general account and guaranteed separate accounts based on the framework established in the fair value accounting guidance. The Company reviews its investment manager's pricing policy on a periodic basis, with any changes to be approved by the FIC. The Company reserves the right to take exception to its investment manager's pricing of a particular asset and, with FIC's approval, to adjust the price received from its investment manager for that particular asset. The Company estimates the fair value for financial liabilities based on the framework established in the fair value accounting guidance. The framework is based on the inputs used in valuation, gives the highest priority to quoted prices in active markets and requires that observable inputs be used in the valuations when available. The Company categorizes its assets and liabilities measured at estimated fair value based on whether the significant inputs into the valuation are observable. The fair value hierarchy categorizes the inputs in the valuation techniques used to measure fair value into three broad Levels (Level 1, 2, or 3):

- Level 1 Unadjusted quoted prices for identical assets or liabilities in active markets that the Company has the ability to access at the measurement date.
- Level 2 Observable inputs, other than quoted prices included in Level 1, for the asset or liability, or prices for similar assets and liabilities.
- Level 3 Valuations that are derived from techniques in which one or more of the significant inputs are unobservable (including assumptions about risk). Because Level 3 fair values, by their nature, contain one or more significant unobservable inputs as there is little or no observable market for these assets and liabilities, considerable judgment is used to determine the Level 3 fair values. Level 3 fair values represent the Company's best estimate of amounts that could be realized in a current market exchange absent actual market exchanges.

In many situations, inputs used to measure the fair value of an asset or liability position may fall into different levels of the fair value hierarchy. In these situations, the Company's investment manager will determine the level in which the fair value falls based upon the lowest level input that is significant to the determination of the fair value. In most cases, both observable (e.g., changes in interest rates) and unobservable (e.g., changes in risk assumptions) inputs are used in the determination of fair values that the Company's investment manager has classified within Level 3. Consequently, these values and the related gains and losses are based upon both observable and unobservable inputs. The Company's bonds included in Level 3 are classified as such because these securities are primarily within illiquid markets and/or priced by independent brokers.

The following table presents assets and (liabilities) carried at fair value by hierarchy level:

June 30, 2020					
(Amounts in thousands)	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	Net Asset Value (NAV)	Total
<b>a. Assets accounted for at fair value</b>					
All other corporate bonds – asset-backed	\$ —	\$ —	\$ 4	\$ —	\$ 4
Common stocks - unaffiliated	7,917	—	1,561	—	9,478
Cash equivalents	628,952	—	—	—	628,952
<b>Total bonds and stocks</b>	<b>636,869</b>	<b>—</b>	<b>1,565</b>	<b>—</b>	<b>638,434</b>
<b>Derivative assets</b>					
Interest rate derivatives	—	7,766	—	—	7,766
Foreign exchange derivatives	—	345	—	—	345
Macro hedge program	—	135,378	216,808	—	352,186
<b>Total derivative assets</b>	<b>—</b>	<b>143,489</b>	<b>216,808</b>	<b>—</b>	<b>360,297</b>
Separate Account assets [1]	25,042,739	—	—	—	25,042,739
<b>Total assets accounted for at fair value</b>	<b>\$ 25,679,608</b>	<b>\$ 143,489</b>	<b>\$ 218,373</b>	<b>\$ —</b>	<b>\$ 26,041,470</b>
<b>b. Liabilities accounted for at fair value</b>					
<b>Derivative liabilities</b>					
Foreign exchange derivatives	\$ —	\$ (311)	\$ —	\$ —	\$ (311)
Interest rate derivatives	—	(12,125)	—	—	(12,125)
Macro hedge program	—	(49,792)	(288,253)	—	(338,045)
<b>Total liabilities accounted for at fair value</b>	<b>\$ —</b>	<b>\$ (62,228)</b>	<b>\$ (288,253)</b>	<b>\$ —</b>	<b>\$ (350,481)</b>

[1] Excludes approximately \$5.8 million of investment sales receivable net of investment purchases payable that are not subject to SSAP No. 100 (Fair Value Measurements).

#### Valuation Techniques, Procedures and Controls

The Company determines the fair values of certain financial assets and liabilities based on quoted market prices where available and where prices represent reasonable estimates of fair value. The Company also determines fair values based on future cash flows discounted at the appropriate current market rate. Fair values reflect adjustments for counterparty credit quality, the Company's default spreads, liquidity and, where appropriate, risk margins on unobservable parameters. The following is a discussion of the methodologies used to determine fair values for the financial instruments listed in the preceding tables.

The fair value process is monitored by the Valuation Committee of the Company's investment manager, which is a cross-functional group of senior management that meets at least quarterly. The purpose of the committee is to oversee the pricing policy and procedures by ensuring objective and reliable valuation practices and pricing of financial instruments as well as addressing valuation issues and approving changes to valuation methodologies and pricing sources. There are also two working groups under the Valuation Committee of the Company's investment manager, a Securities Valuation Group ("Securities Working Group") and a Derivatives Valuation Group ("Derivatives Working Group"), which include various investment, operations, accounting, compliance and risk management professionals that meet on a regular basis, to review market data trends, pricing and trading statistics and results, and any proposed pricing methodology changes.

#### Bonds and Stocks

The fair value of bonds and stocks in an active and orderly market (e.g., not distressed or forced liquidation) are determined by management using a "waterfall" approach after considering the following pricing sources: quoted prices for identical assets or liabilities, prices from third-party pricing services, independent broker quotations, or internal matrix pricing processes. Typical inputs used by these pricing sources include, but are not limited to, benchmark yields, reported trades, broker/dealer quotes, issuer spreads, benchmark securities, bids, offers, and/or estimated cash flows, prepayment speeds, and default rates. Most bonds do not trade daily. Based on the typical trading volumes and the lack of quoted market prices for bonds, third-party pricing services utilize matrix pricing to derive security prices. Matrix pricing relies on securities' relationships to other benchmark quoted securities, which trade more frequently. Pricing services utilize recently reported trades of identical or similar securities making adjustments through the reporting date based on the preceding outlined available market observable information. If there are no recently reported trades, the third-party pricing services may develop a security price using expected future cash flows based upon collateral performance and discounted at an estimated market rate. Both matrix pricing and discounted cash flow techniques develop prices by factoring in the time value for cash flows and risk, including liquidity and credit.

## NOTES TO FINANCIAL STATEMENTS

Prices from third-party pricing services may be unavailable for securities that are rarely traded or are traded only in privately negotiated transactions. As a result, certain securities are priced via independent broker quotations which utilize inputs that may be difficult to corroborate with observable market based data. Additionally, the majority of these independent broker quotations are non-binding.

The Company's investment manager utilizes an internally developed matrix pricing process for private placement securities for which the Company is unable to obtain a price from a third-party pricing service. The process is similar to the third-party pricing services. The Company's investment manager develops credit spreads each month using market based data for public securities adjusted for credit spread differentials between public and private securities which are obtained from a survey of multiple private placement brokers. The credit spreads determined through this survey approach are based upon the issuer's financial strength and term to maturity, utilizing independent public security index and trade information and adjusting for the non-public nature of the securities. Credit spreads combined with risk-free rates are applied to contractual cash flows to develop a price.

The Securities Working Group of the Company's investment manager performs ongoing analyses of the prices and credit spreads received from third parties to ensure that the prices represent a reasonable estimate of the fair value. In addition, the Company's investment manager ensures that prices received from independent brokers represent a reasonable estimate of fair value through the use of internal and external cash flow models utilizing spreads, and when available, market indices. As a result of these analyses, if the Company's investment manager determines that there is a more appropriate fair value based upon the available market data, the price received from the third party is adjusted accordingly and approved by the Valuation Committee of the Company's investment manager.

The Company's investment manager conducts other specific monitoring controls around pricing. Daily, weekly and monthly analyses identify price changes over pre-determined thresholds for bonds and equity securities. Monthly analyses identify prices that have not changed, and missing prices. Also on a monthly basis, a second source validation is performed on most sectors. Analyses are conducted by a dedicated pricing unit that follows up with trading and investment sector professionals and challenges prices with vendors when the estimated assumptions used differs from what the Company's investment manager feels a market participant would use. Examples of other procedures performed include, but are not limited to, initial and ongoing review of third-party pricing services' methodologies, review of pricing statistics and trends and back testing recent trades.

The Company's investment manager has analyzed the third-party pricing services' valuation methodologies and related inputs, and has also evaluated the various types of securities in its investment portfolio to determine an appropriate fair value hierarchy level based upon trading activity and the observability of market inputs. Most prices provided by third-party pricing services are classified into Level 2 because the inputs used in pricing the securities are observable. Due to the lack of transparency in the process that brokers use to develop prices, most valuations that are based on brokers' prices are classified as Level 3. Some valuations may be classified as Level 2 if the price can be corroborated with observable market data.

### Derivative Instruments

Derivative instruments are fair valued using pricing valuation models for OTC derivatives that utilize independent market data inputs, quoted market prices for exchange-traded derivatives and OTC-cleared derivatives, or independent broker quotations.

As to derivatives that are held by the Company as well as its investment manager's other clients, the Derivatives Working Group of the Company's investment manager performs ongoing analysis of the valuations, assumptions, and methodologies used to ensure that the prices represent a reasonable estimate of the fair value. The Company's investment manager performs various controls on derivative valuations which include both quantitative and qualitative analyses. Analyses are conducted by a dedicated derivative pricing team that works directly with investment sector professionals to analyze impacts of changes in the market environment and investigate variances. As to derivatives that are held by the Company only, the Company performs ongoing analysis of the valuations, assumptions, and methodologies used to ensure that the prices represent a reasonable estimate of the fair value. On a daily basis, market valuations are compared to counterparty valuations for OTC derivatives for collateral purposes. There is a monthly analysis to identify market value changes greater than pre-defined thresholds, stale prices, missing prices and zero prices. Also, on a monthly basis, a second source validation, typically to broker quotations, is performed for certain of the more complex derivatives and all new deals during the month. A model validation review is performed on any new models, which typically includes detailed documentation and validation to a second source.

The Company utilizes derivative instruments to manage the risk associated with certain assets and liabilities. However, the derivative instrument may not be classified with the same fair value hierarchy level as the associated assets and liabilities. Therefore the realized and unrealized gains and losses on derivatives reported in Level 3 may not reflect the offsetting impact of the realized and unrealized gains and losses of the associated assets and liabilities.

### Valuation Inputs for Investments

For Level 1 investments, which are comprised of exchange-traded securities and open-ended mutual funds, valuations are based on observable inputs that reflect quoted prices for identical assets in active markets that the Company has the ability to access at the measurement date.

For the Company's Level 2 and 3 debt securities, typical inputs used by pricing techniques include, but are not limited to, benchmark yields, reported trades, broker/dealer quotes, issuer spreads, benchmark securities, bids, offers, and/or estimated cash flows, prepayment speeds, and default rates. Derivative instruments are valued using mid-market inputs that are predominantly observable in the market.

A description of additional inputs used in the Company's Level 2 and Level 3 measurements is included in the following discussion:

**Level 2** The fair values of most of the Company's Level 2 investments are determined by management after considering prices received from third-party pricing services. These investments include most bonds and preferred stocks.

Asset-backed securities ("ABS"), CMBS, collateralized debt obligations ("CDOs") and residential mortgage-backed securities ("RMBS") - Primary inputs also include monthly payment information, collateral performance, which varies by vintage year and includes delinquency rates, collateral valuation loss severity rates, collateral refinancing assumptions, and credit default swap indices. ABS and RMBS prices also include estimates of the rate of future principal prepayments over the remaining life of the securities. Such estimates are derived based on the characteristics of the underlying structure and prepayment speeds previously experienced at the interest rate levels projected for the underlying collateral.

Foreign government/government agencies - Primary inputs also include observations of credit default swap curves related to the issuer and political events in emerging market economies.

Credit derivatives - Primary inputs include the swap yield curve and credit default swap curves.

Foreign exchange derivatives - Primary inputs include the swap yield curve, currency spot and forward rates, and cross currency basis curves.

Interest rate derivatives - Primary input is the swap yield curve.

**Level 3** Most of the Company's securities classified as Level 3 include less liquid securities such as lower quality ABS, CMBS, CDOs and RMBS primarily backed by sub-prime loans. Also included in Level 3 are securities valued based on broker prices or broker spreads, without adjustments. Primary inputs for non-broker priced investments, including structured securities, are consistent with the typical inputs used in the preceding noted Level 2 measurements, but are Level 3 due to their less liquid markets. Also included in Level 3 are certain derivative instruments that either have significant unobservable inputs or are valued based on broker quotations. Significant inputs for these derivative contracts primarily include the typical inputs used in the Level 1 and Level 2 measurements described above, but also may include equity and interest rate volatility and swap yield curves beyond observable limits.

### Separate Account Assets

Non-guaranteed Separate Account assets are invested in mutual funds and are valued by the underlying mutual funds in accordance to their valuation policies and procedures.



**NOTES TO FINANCIAL STATEMENTS****Assets and Liabilities Measured at Fair Value Using Significant Unobservable Inputs (Level 3)**

2. The table below provides a roll-forward of financial instruments carried at fair value using significant unobservable inputs (Level 3) for the quarter ended June 30, 2020:

(Amounts in thousands)	Beginning Balance As of Prior Quarter End	Transfers into Level 3 [2]	Transfers out of Level 3 [2]	Total Gains and (Losses) Included in:		Purchases	Sales	Settlements	Ending Balance As of Current Quarter End
				Net Income [1]	Surplus				
<b>Assets</b>									
All other corporate bonds – asset-backed	\$ 6	\$ —	\$ —	\$ —	\$ 6	\$ —	\$ —	\$ (8)	\$ 4
Common stocks - unaffiliated	1,961	—	—	—	—	7	(407)	—	1,561
<b>Total bonds and stocks</b>	<b>1,967</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>6</b>	<b>7</b>	<b>(407)</b>	<b>(8)</b>	<b>1,565</b>
<b>Derivatives</b>									
Macro hedge program	312,492	—	—	(120,714)	(131,984)	(113,899)	(17,340)	—	(71,445)
<b>Total derivatives [3]</b>	<b>312,492</b>	<b>—</b>	<b>—</b>	<b>(120,714)</b>	<b>(131,984)</b>	<b>(113,899)</b>	<b>(17,340)</b>	<b>—</b>	<b>(71,445)</b>
<b>Total assets</b>	<b>\$ 314,459</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$(120,714)</b>	<b>\$(131,978)</b>	<b>\$ (113,892)</b>	<b>\$ (17,747)</b>	<b>\$ (8)</b>	<b>\$ (69,880)</b>

[1] All amounts in this column are reported in net realized capital gains (losses). All amounts are before income taxes.

[2] Transfers in and/or (out) of Level 3 are primarily attributable to changes in the availability of market observable information and changes to the bond and stock carrying value based on the lower of cost and market requirement.

[3] Derivative instruments are reported in this table on a net basis for asset/(liability) positions.

**B. Other Fair Value Disclosures**

Not applicable.

**C. Fair Values for All Financial Instruments by Levels 1, 2 and 3**

The tables below reflect the fair values and admitted values of all admitted assets and liabilities that are financial instruments excluding those accounted for under the equity method (subsidiaries, joint ventures and partnerships). The fair values are also categorized into the three-level fair value hierarchy as described in Note 20A above.

(Amounts in thousands)	June 30, 2020						
	Aggregate Fair Value	Admitted Value	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
<b>Assets</b>							
Bonds - unaffiliated	\$ 5,134,363	\$ 4,410,894	\$ 9,972	\$ 4,900,182	\$ 224,209	\$ —	\$ —
Common stocks - unaffiliated	9,478	9,478	7,917	—	1,561	—	—
Mortgage loans	840,810	808,598	—	—	840,810	—	—
Cash, cash equivalents and short-term investments - unaffiliated	876,809	876,749	808,548	65,568	2,693	—	—
Derivative related assets	403,768	360,297	—	186,960	216,808	—	—
Contract loans	97,807	97,807	—	—	97,807	—	—
Surplus debentures	47,674	36,440	—	40,559	7,115	—	—
Low-income housing tax credits	217	217	—	—	217	—	—
Separate Account assets [1]	25,042,739	25,042,739	25,042,739	—	—	—	—
<b>Total assets</b>	<b>\$ 32,453,665</b>	<b>\$ 31,643,219</b>	<b>\$ 25,869,176</b>	<b>\$ 5,193,269</b>	<b>\$ 1,391,220</b>	<b>\$ —</b>	<b>\$ —</b>
<b>Liabilities</b>							
Liability for deposit-type contracts	\$ (206,140)	\$ (206,140)	\$ —	\$ —	\$ (206,140)	\$ —	\$ —
Derivative related liabilities	(350,057)	(350,481)	—	(61,804)	(288,253)	—	—
Separate Account liabilities	(25,042,739)	(25,042,739)	(25,042,739)	—	—	—	—
<b>Total liabilities</b>	<b>\$ (25,598,936)</b>	<b>\$ (25,599,360)</b>	<b>\$ (25,042,739)</b>	<b>\$ (61,804)</b>	<b>\$ (494,393)</b>	<b>\$ —</b>	<b>\$ —</b>

[1] Excludes approximately \$5.8 million, at June 30, 2020, of investment sales receivable net of investment purchases payable that are not subject to SSAP No. 100.

## NOTES TO FINANCIAL STATEMENTS

(Amounts in thousands)	December 31, 2019						
	Aggregate Fair Value	Admitted Value	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
<b>Assets</b>							
Bonds - unaffiliated	\$ 5,130,735	\$ 4,549,159	\$ —	\$ 4,977,498	\$ 153,237	\$ —	\$ —
Preferred stocks - unaffiliated	2,280	2,272	—	2,280	—	—	—
Common stocks - unaffiliated	10,445	10,445	—	—	10,445	—	—
Mortgage loans	876,744	843,321	—	—	876,744	—	—
Cash, cash equivalents and short-term investments - unaffiliated	409,603	409,620	208,839	197,812	2,952	—	—
Derivative related assets	164,205	161,704	—	59,951	104,254	—	—
Contract loans	99,926	99,926	—	—	99,926	—	—
Surplus debentures	47,623	36,481	—	41,144	6,479	—	—
Low-income housing tax credits	285	285	—	—	285	—	—
Separate Account assets [1]	27,235,285	27,235,285	27,235,285	—	—	—	—
<b>Total assets</b>	<b>\$ 33,977,131</b>	<b>\$ 33,348,498</b>	<b>\$ 27,444,124</b>	<b>\$ 5,278,685</b>	<b>\$ 1,254,322</b>	<b>\$ —</b>	<b>\$ —</b>
<b>Liabilities</b>							
Liability for deposit-type contracts	\$ (204,233)	\$ (216,142)	\$ —	\$ —	\$ (204,233)	\$ —	\$ —
Derivative related liabilities	(182,969)	(179,755)	—	(26,266)	(156,703)	—	—
Separate Account liabilities	(27,235,285)	(27,235,285)	(27,235,285)	—	—	—	—
<b>Total liabilities</b>	<b>\$ (27,622,487)</b>	<b>\$ (27,631,182)</b>	<b>\$ (27,235,285)</b>	<b>\$ (26,266)</b>	<b>\$ (360,936)</b>	<b>\$ —</b>	<b>\$ —</b>

[1] Excludes approximately \$8.5 million, at December 31, 2019, of investment sales receivable net of investment purchases payable that are not subject to SSAP No. 100.

The valuation methodologies used to determine the fair values of bonds, stocks and derivatives are described in the above Fair Value Measurements section of this note.

The amortized cost of cash, cash equivalents and short-term investments approximates fair value.

Fair values for mortgage loans on real estate were estimated using discounted cash flow calculations based on current lending rates for similar type loans. Current lending rates reflect changes in credit spreads and the remaining terms of the loans.

The fair value of contract loans was determined using current loan coupon rates which reflect the current rates available under the contracts. As a result, the fair value approximates the carrying value of the contract loans.

The carrying amounts of the liability for deposit-type contracts and Separate Account liabilities approximate their fair values.

D. At June 30, 2020, the Company had no investments where it was not practicable to estimate fair value.

#### Note 21 – Other Items

##### C. Other Disclosures

In May, 2020, the Insurance Commissioner of the State of Wisconsin filed a proposed rehabilitation order with the court that will allow the Wisconsin Office of the Commissioner of Insurance (OCI) to place Time Insurance Company (TIC) in rehabilitation. A hearing is scheduled for August, 2020, regarding the proposal. TLA assumes, through reinsurance, life and annuity reserves from TIC and also provides certain administrative services to TIC's policyholders. In turn, TLA retrocedes much of the reinsured reserves to nonaffiliated reinsurers which also provide certain administrative services to the covered policyholders. While the ultimate outcome of the rehabilitation is unknown at this time it is not expected to be material to TLA. TLA will monitor the developments and comply with the rehabilitation plan as required.

The impact of the outbreak and continuing spread of the novel coronavirus ("COVID-19") and the related disruption to the worldwide economy are affecting companies across all industries. Worldwide health emergency measures to combat the spread of the virus have caused severe disruption resulting in an economic slowdown. The duration and impact of the COVID-19 public health crises on the financial markets, overall economy and our operations are still uncertain, as is the efficacy of government and central bank interventions. Additionally, we are unable to determine what, if any, further actions our regulators may take in response to the COVID-19 public health crisis and its impact on financial markets and our operations. At this time, the Company is not able to reliably estimate the length and severity of the COVID-19 public health crises and, as such, cannot quantify its impact on the financial results, liquidity and capital resources of the Company and its operations in future periods.

#### Note 22 - Events Subsequent

The Company had no material subsequent events through the filing date of August 4, 2020.

#### Note 23 - Reinsurance

##### A. Ceded Reinsurance Report

###### Section 2 - Ceded Reinsurance Report - Part A

1. The Company has one reinsurance agreement in effect under which the reinsurer has a limited right to unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits. See Note 1 for further discussion of prescribed practices.
  - a. For the periods ended June 30, 2020 and December 31, 2019, the estimated amount of the aggregate reduction in surplus of this limited right to unilaterally cancel this reinsurance agreement by the reinsurer for which cancellation results in a net obligation of the Company to the reinsurer, and for which such obligation is not presently accrued was \$135,413,074 and \$31,302,747, respectively.
  - b. For the periods ended June 30, 2020 and December 31, 2019, the total amount of reinsurance credit taken for this agreement was \$171,408,954 and \$39,623,730, respectively.

#### Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination

##### E. Risk-Sharing Provisions of the Affordable Care Act ("ACA")

The Company had no accident and health insurance premiums that are subject to the Affordable Care Act risk-sharing provisions.

**NOTES TO FINANCIAL STATEMENTS**

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**Note 25 - Changes in Incurred Losses and Loss Adjustment Expenses**

Reserves as of December 31, 2019 were \$4.7 million. As of June 30, 2020, \$0.2 million has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$4.5 million as a result of re-estimation of unpaid claims and claim adjustment expenses principally on Accident and Health lines of insurance. As a result, there has been a \$0 million prior-year development from December 31, 2019 to June 30, 2020. The change is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

**Note 26 - Intercompany Pooling Arrangements**

No significant change.

**Note 27 - Structured Settlements**

No significant change.

**Note 28 - Health Care Receivables**

No significant change.

**Note 29 - Participating Policies**

No significant change.

**Note 30 - Premium Deficiency Reserves**

No significant change.

**Note 31 - Reserves for Life Contracts and Deposit-Type Contracts**

No significant change.

**Note 32 - Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics**

No significant change.

**Note 33 - Analysis of Life Actuarial Reserves by Withdrawal Characteristics**

No significant change.

**Note 34 - Premium and Annuity Considerations Deferred and Uncollected**

No significant change.

**Note 35 - Separate Accounts**

No significant change.

**Note 36 - Loss/Claim Adjustment Expenses**

No significant change.

## GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

## GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]
- 2.2 If yes, date of change: \_\_\_\_\_
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A. Yes [X] No [ ]
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [ ] No [X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. \_\_\_\_\_
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period. Yes [ ] No [X]
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.
- | 1              | 2                 | 3                 |
|----------------|-------------------|-------------------|
| Name of Entity | NAIC Company Code | State of Domicile |
|                |                   |                   |
5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation. Yes [ ] No [X] N/A [ ]
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2017
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2017
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 05/10/2019
- 6.4 By what department or departments?  
State of Connecticut Insurance Department
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No [ ]
- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].
- | 1   | 2                      | 3   | 4   | 5    | 6   |
|---|------------------------|-----|-----|------|-----|
| Affiliate Name                                | Location (City, State) | FRB | OCC | FDIC | SEC |
| Talcott Resolution Distribution Company, Inc. | Windsor, CT            | NO  | NO  | NO   | YES |
- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes [X] No [ ]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).  
The Code of Ethics was last updated on January 9, 2020 for technical, administrative and other non-substantive changes.
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

## GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

## FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [X]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

## INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [X] No [ ]

11.2 If yes, give full and complete information relating thereto:

The Company has \$264,609,024 of cash and bonds pledged as collateral for derivative activity; \$1,560,700 of FHLB capital stock; and \$2,706,244 of short term investments subject to reverse repurchase agreements, and \$73,382,653 of bonds subject to repurchase agreements.

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 24,009,109

13. Amount of real estate and mortgages held in short-term investments: \$ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [X] No [ ]

14.2 If yes, please complete the following:

	1 Prior Year End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$ 0
14.22 Preferred Stock	0	0
14.23 Common Stock	10,211,993	10,234,301
14.24 Short-Term Investments	0	0
14.25 Mortgage Loans on Real Estate	0	0
14.26 All Other	0	0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 10,211,993	\$ 10,234,301
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0	\$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [X] No [ ]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [X] No [ ] N/A [ ]

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0

16.3 Total payable for securities lending reported on the liability page: \$ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JPMorgan Chase Bank, N.A.	4 Chase MetroTech Center, 16th Floor, Brooklyn, NY 11245
The Bank of New York Mellon	101 Barclay Street, 8 West, New York, NY 10286
Federal Home Loan Bank of Boston	800 Boylston St., Boston, MA 02199

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1 Name of Firm or Individual	2 Affiliation
Hartford Investment Management Company	U
PGIM, Inc.	U

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [X] No [ ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [X] No [ ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
106699	Hartford Investment Management	FE0BULMG7PY8G4MG7C65	SEC	DS

## GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
	Company			

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed?

Yes [ X ] No [ ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities?

Yes [ X ] No [ ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:

- a. The security was purchased prior to January 1, 2018.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities?

Yes [ X ] No [ ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- a. The security was purchased prior to January 1, 2019.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - d. The fund only or predominantly holds bonds in its portfolio.
  - e. The current reporting NAIC designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?

Yes [ ] No [ X ]

**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY  
GENERAL INTERROGATORIES (continued)**

**PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES**

**Life and Accident and Health Companies/Fraternal Benefit Societies**

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:

	Amount
1.1 Long-term mortgages in good standing	
1.11 Farm mortgages.....	\$ .....
1.12 Residential mortgages.....	\$ .....42,547,587
1.13 Commercial mortgages.....	\$ .....766,050,729
1.14 Total mortgages in good standing.....	\$ .....808,598,316
1.2 Long-term mortgages in good standing with restructured terms	
1.21 Total mortgages in good standing with restructured terms.....	\$ .....
1.3 Long-term mortgage loans upon which interest is overdue more than three months	
1.31 Farm mortgages.....	\$ .....
1.32 Residential mortgages.....	\$ .....
1.33 Commercial mortgages.....	\$ .....
1.34 Total mortgages with interest overdue more than three months.....	\$ .....0
1.4 Long-term mortgage loans in process of foreclosure	
1.41 Farm mortgages.....	\$ .....
1.42 Residential mortgages.....	\$ .....
1.43 Commercial mortgages.....	\$ .....
1.44 Total mortgages in process of foreclosure.....	\$ .....0
1.5 Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	\$ .....808,598,316
1.6 Long-term mortgages foreclosed, properties transferred to real estate in current quarter	
1.61 Farm mortgages.....	\$ .....
1.62 Residential mortgages.....	\$ .....
1.63 Commercial mortgages.....	\$ .....
1.64 Total mortgages foreclosed and transferred to real estate.....	\$ .....0
2. Operating Percentages:	
2.1 A&H loss percent.....	.....
2.2 A&H cost containment percent.....	.....
2.3 A&H expense percent excluding cost containment expenses.....	.....
3.1 Do you act as a custodian for health savings accounts?.....	Yes [ ] No [ X ]
3.2 If yes, please provide the amount of custodial funds held as of the reporting date.....	\$ .....
3.3 Do you act as an administrator for health savings accounts?.....	Yes [ ] No [ X ]
3.4 If yes, please provide the balance of the funds administered as of the reporting date.....	\$ .....
4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?.....	Yes [ X ] No [ ]
4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?.....	Yes [ ] No [ ]

**Fraternal Benefit Societies Only:**

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurance for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [ ] No [ ] N/A [ ]
- 5.2 If no, explain:
- 

- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? Yes [ ] No [ ]
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount

**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
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**NONE**



**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**  
**SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS**

Current Year to Date - Allocated by States and Territories

1	Active Status (a)	Direct Business Only					
		Life Contracts		4	5	6	7
		2	3				
States, Etc.		Life Insurance Premiums	Annuity Considerations	A&H Insurance Premiums, Including Policy Membership and Other Fees	Other Considerations	Total Columns 2 through 5	Deposit-Type Contracts
1. Alabama.....	AL L	3,452,145	322,891	544	277,227	4,052,807	
2. Alaska.....	AK L	450,492	11,470	66	44,498	506,526	
3. Arizona.....	AZ L	7,978,779	797,439	3,400	44,462	8,824,080	
4. Arkansas.....	AR L	3,732,367	203,618	339	15,942	3,952,266	
5. California.....	CA L	47,216,247	8,513,987	1,466	1,569,714	57,301,414	
6. Colorado.....	CO L	7,565,431	1,383,984	960	478,993	9,429,368	
7. Connecticut.....	CT L	6,932,482	516,874	89	126,535	7,575,980	359,410
8. Delaware.....	DE L	1,453,417	687,543		4,809	2,145,769	
9. District of Columbia.....	DC L	865,205		33	6,000	871,238	
10. Florida.....	FL L	29,697,491	3,653,294	4,300	655,626	34,010,711	
11. Georgia.....	GA L	8,275,972	945,277	2,303	760,294	9,983,846	
12. Hawaii.....	HI L	1,489,929	92,447	204	56,130	1,638,710	
13. Idaho.....	ID L	1,514,044	135,831	648	41,319	1,691,842	
14. Illinois.....	IL L	18,861,402	3,694,144	5,104	1,039,270	23,599,920	
15. Indiana.....	IN L	5,288,170	626,013	3,045	256,881	6,174,109	
16. Iowa.....	IA L	4,460,445	886,296	8,453	1,197,410	6,552,604	
17. Kansas.....	KS L	4,038,817	512,625	400	361,462	4,913,304	
18. Kentucky.....	KY L	3,700,187	1,342,569	2,701	59,285	5,104,742	
19. Louisiana.....	LA L	7,551,901	928,895	3,358	184,587	8,668,741	
20. Maine.....	ME L	588,452	121,276	128	130,554	840,410	
21. Maryland.....	MD L	12,823,364	1,851,062		96,702	14,771,128	
22. Massachusetts.....	MA L	5,311,489	675,463		366,311	6,353,263	
23. Michigan.....	MI L	9,339,048	1,744,572	6,638	706,014	11,796,272	
24. Minnesota.....	MN L	9,948,427	1,218,364	9,565	558,135	11,734,491	
25. Mississippi.....	MS L	2,292,086	414	468	85,329	2,378,297	
26. Missouri.....	MO L	9,444,656	1,502,364	2,799	71,158	11,020,977	
27. Montana.....	MT L	517,983	131,238	558	100,181	749,960	
28. Nebraska.....	NE L	2,923,144	1,107,174	2,832	467,452	4,500,602	
29. Nevada.....	NV L	2,795,965	580,201	1,286	85,522	3,462,974	
30. New Hampshire.....	NH L	1,247,589	295,485		2,960	1,546,034	
31. New Jersey.....	NJ L	9,134,688	592,925	132	526,354	10,254,099	
32. New Mexico.....	NM L	1,787,583	39,936	233	27,669	1,855,421	
33. New York.....	NY N	4,251,776	735,868	75	17,050	5,004,769	
34. North Carolina.....	NC L	17,145,788	3,044,940	8,848	346,979	20,546,555	
35. North Dakota.....	ND L	2,425,579	667,123	225	131,289	3,224,216	
36. Ohio.....	OH L	9,968,303	2,736,648	4,070	943,427	13,652,448	
37. Oklahoma.....	OK L	3,953,542	458,773	2,442	327,361	4,742,118	
38. Oregon.....	OR L	2,579,110	1,770,119	802	11,750	4,361,781	
39. Pennsylvania.....	PA L	17,540,702	2,853,096	235	503,990	20,898,023	
40. Rhode Island.....	RI L	693,466	6,771	494	47,559	748,290	
41. South Carolina.....	SC L	4,407,104	2,127,063	389	343,434	6,877,990	
42. South Dakota.....	SD L	2,554,879	538,285	721	60,363	3,154,248	
43. Tennessee.....	TN L	7,551,958	713,999	1,862	294,004	8,561,823	
44. Texas.....	TX L	29,264,041	3,315,959	3,087	1,644,255	34,227,342	
45. Utah.....	UT L	1,873,112	358,533	209	31,846	2,263,700	
46. Vermont.....	VT L	751,782	400,928		52,913	1,205,623	
47. Virginia.....	VA L	9,564,275	3,063,780	807	652,469	13,281,331	
48. Washington.....	WA L	6,739,700	771,946	85	327,995	7,839,726	
49. West Virginia.....	WV L	2,171,945	68,633	881	73,565	2,315,024	
50. Wisconsin.....	WI L	7,563,971	3,207,716	29,540	1,007,585	11,808,812	
51. Wyoming.....	WY L	513,411	76,979	100	2,100	592,590	
52. American Samoa.....	AS N					0	
53. Guam.....	GU N	6,008				6,008	
54. Puerto Rico.....	PR L	30,794				30,794	
55. US Virgin Islands.....	VI L	14,083				14,083	
56. Northern Mariana Islands.....	MP N	39,360				39,360	
57. Canada.....	CAN N	312,190	174			312,364	
58. Aggregate Other Alien.....	OT .XXX	604,782	0	0	0	604,782	0
59. Subtotal.....	.XXX	365,201,058	62,033,004	116,923	17,224,719	444,575,704	359,410
90. Reporting entity contributions for employee benefit plans.....	.XXX					0	
91. Dividends or refunds applied to purchase paid-up additions and annuities.....	.XXX	1,132				1,132	
92. Dividends or refunds applied to shorten endowment or premium paying period.....	.XXX					0	
93. Premium or annuity considerations waived under disability or other contract provisions.....	.XXX	809,015	2,017	15,091		826,122	
94. Aggregate other amounts not allocable by State.....	.XXX	0	0	0	0	0	0
95. Totals (Direct Business).....	.XXX	366,011,205	62,035,020	132,014	17,224,719	445,402,958	359,410
96. Plus Reinsurance Assumed.....	.XXX	36,238,748	2,966,065		1,433,963	40,638,776	
97. Totals (All Business).....	.XXX	402,249,953	65,001,085	132,014	18,658,682	486,041,734	359,410
98. Less Reinsurance Ceded.....	.XXX	401,375,952	6,334		16,304,655	417,686,941	
99. Totals (All Business) less Reinsurance Ceded.....	.XXX	874,001	64,994,751	132,014	2,354,027	68,354,793	359,410

**DETAILS OF WRITE-INS**

58001. ZZZ Other Alien.....	.XXX	604,782				604,782	
58002. ....	.XXX					0	
58003. ....	.XXX					0	
58998. Summary of remaining write-ins for line 58 from overflow page.....	.XXX	0	0	0	0	0	0
58999. Total (Lines 58001 thru 58003 plus 58998) (Line 58 above).....	.XXX	604,782	0	0	0	604,782	0
9401. ....	.XXX					0	
9402. ....	.XXX					0	
9403. ....	.XXX					0	
9498. Summary of remaining write-ins for line 94 from overflow page.....	.XXX	0	0	0	0	0	0
9499. Total (Lines 9401 thru 9403 plus 9498) (Line 94 above).....	.XXX	0	0	0	0	0	0

(a) Active Status Count

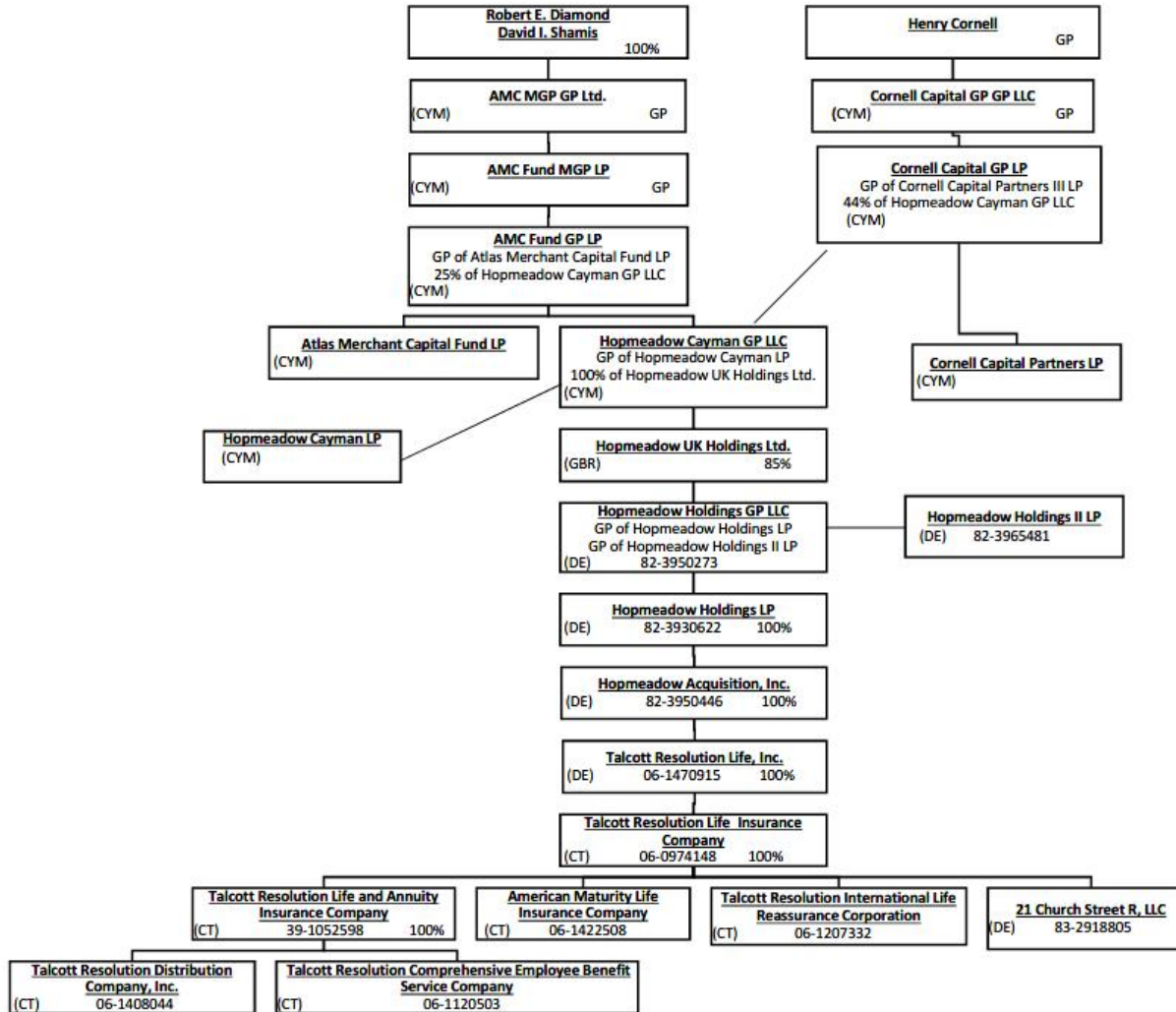
L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG..... 52  
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... 0

R - Registered - Non-domiciled RRGs..... 0  
 Q - Qualified - Qualified or accredited reinsurer..... 0

N - None of the above - Not allowed to write business in the state..... 5

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**

**PART 1 – ORGANIZATIONAL CHART**



**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
<b>Members</b>															
4926	Hopmeadow Holdings Grp.....	00000...	82-3930622..				Hopmeadow Holdings, LP.....	DE.....	UIP.....	Hopmeadow Holdings, GP LLC.....	Ownership.....	100.000	David Schamis/Robert E. Diamond/Henry Cornell	N.....	
4926	Hopmeadow Holdings Grp.....	00000...	82-3950446..				Hopmeadow Acquisition, Inc.....	DE.....	UIP.....	Hopmeadow Holdings, LP.....	Ownership.....	100.000	David Schamis/Robert E. Diamond/Henry Cornell	N.....	
4926	Hopmeadow Holdings Grp.....	00000...	06-1470915..		0001032204		Talcott Resolution Life, Inc.....	DE.....	UIP.....	Hopmeadow Acquisition, Inc.....	Ownership.....	100.000	David Schamis/Robert E. Diamond/Henry Cornell	N.....	
4926	Hopmeadow Holdings Grp.....	88072...	06-0974148..		0000045947		Talcott Resolution Life Insurance Company.....	CT.....	UDP.....	Talcott Resolution Life, Inc.....	Ownership.....	100.000	David Schamis/Robert E. Diamond/Henry Cornell	N.....	
4926	Hopmeadow Holdings Grp.....	81213...	06-1422508..				American Maturity Life Insurance Company.....	CT.....	IA.....	Talcott Resolution Life Insurance Company.....	Ownership.....	100.000	David Schamis/Robert E. Diamond/Henry Cornell	N.....	
4926	Hopmeadow Holdings Grp.....	93505...	06-1207332..				Talcott Resolution International Life Reassurance Corporation	CT.....	IA.....	Talcott Resolution Life Insurance Company.....	Ownership.....	100.000	David Schamis/Robert E. Diamond/Henry Cornell	N.....	
4926	Hopmeadow Holdings Grp.....	00000...	83-2918805..				21 Church Street R, LLC.....	DE.....	NIA.....	Talcott Resolution Life Insurance Company.....	Ownership.....	100.000	David Schamis/Robert E. Diamond/Henry Cornell	N.....	
4926	Hopmeadow Holdings Grp.....	71153...	39-1052598..				Talcott Resolution Life and Annuity Insurance Company	CT.....	RE.....	Talcott Resolution Life Insurance Company.....	Ownership.....	100.000	David Schamis/Robert E. Diamond/Henry Cornell	N.....	
4926	Hopmeadow Holdings Grp.....	00000...	06-1120503..				Talcott Resolution Comprehensive Employee Benefit Service Company	CT.....	DS.....	Talcott Resolution Life and Annuity Insurance Company	Ownership.....	100.000	David Schamis/Robert E. Diamond/Henry Cornell	Y.....	
4926	Hopmeadow Holdings Grp.....	00000...	06-1408044..		0000940622		Talcott Resolution Distribution Company.....	CT.....	DS.....	Talcott Resolution Life and Annuity Insurance Company	Ownership.....	100.000	David Schamis/Robert E. Diamond/Henry Cornell	Y.....	

Q13

# TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	YES
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	YES
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarterly Only). The response for 1st and 3rd quarters should be N/A. A NO response resulting with a barcode is only appropriate in the 2nd quarter.	NO

**Explanations:**

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
- 4.
5. The data for this supplement is not required to be filed.
6. The data for this supplement is not required to be filed.
- 7.
8. The data for this supplement is not required to be filed.

**Bar Code:**



**Additional Write-ins for Liabilities:**

	1 Current Statement Date	2 December 31 Prior Year
2504. Miscellaneous liabilities.....	13,025,413	14,072,926
2505. Accrued interest on derivatives in a liability position.....	4,637,524	4,350,213
2506. Provision for future dividends.....	1,135,675	1,009,888
2507. Interest on policy or contract funds due or accrued.....	19,249	18,354
2597. Summary of remaining write-ins for Line 25.....	18,817,861	19,451,381

# TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

## SCHEDULE A - VERIFICATION

### Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized.....		
8. Deduct current year's depreciation.....		
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6+7-8).....	0	0
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	0	0

# NONE

## SCHEDULE B - VERIFICATION

### Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	843,320,642	813,842,343
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	20,702,179	79,649,781
2.2 Additional investment made after acquisition.....	9,641,211	34,515,268
3. Capitalized deferred interest and other.....	0	0
4. Accrual of discount.....	15,935	24,377
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....	(8,499)	
7. Deduct amounts received on disposals.....	65,048,767	84,614,966
8. Deduct amortization of premium and mortgage interest points and commitment fees.....	24,385	96,161
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6+7-8+9-10).....	808,598,316	843,320,642
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	808,598,316	843,320,642
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	808,598,316	843,320,642

## SCHEDULE BA - VERIFICATION

### Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	523,096,361	527,794,138
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	5,926,395	21,755,487
2.2 Additional investment made after acquisition.....	11,928,387	32,068,114
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		16,737
5. Unrealized valuation increase (decrease).....	(12,852,066)	45,750,590
6. Total gain (loss) on disposals.....	185	(16,693)
7. Deduct amounts received on disposals.....	49,142,192	102,084,631
8. Deduct amortization of premium and depreciation.....	107,490	264,309
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized.....	14,410,932	1,923,072
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6+7-8+9-10).....	464,438,648	523,096,361
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	464,438,648	523,096,361

## SCHEDULE D - VERIFICATION

### Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	4,572,088,267	4,942,766,929
2. Cost of bonds and stocks acquired.....	478,754,990	1,007,648,312
3. Accrual of discount.....	3,178,250	5,676,489
4. Unrealized valuation increase (decrease).....	(611,704)	7,663,521
5. Total gain (loss) on disposals.....	20,208,964	21,771,341
6. Deduct consideration for bonds and stocks disposed of.....	630,168,068	1,399,541,850
7. Deduct amortization of premium.....	8,441,493	15,730,122
8. Total foreign exchange change in book/adjusted carrying value.....	(438,275)	42,032
9. Deduct current year's other-than-temporary impairment recognized.....	4,753,109	2,342,362
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....	788,905	4,133,977
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9+10).....	4,430,606,726	4,572,088,267
12. Deduct total nonadmitted amounts.....	2,659,829	2,663,954
13. Statement value at end of current period (Line 11 minus Line 12).....	4,427,946,897	4,569,424,313

**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity

During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	3,243,913,595	233,785,330	451,936,916	(113,761,745)	3,243,913,595	2,912,000,264		3,031,573,737
2. NAIC 2 (a).....	1,596,874,592	40,428,246	236,549,247	96,980,386	1,596,874,592	1,497,733,977		1,617,114,474
3. NAIC 3 (a).....	121,166,632	162,461	8,488,734	14,669,364	121,166,632	127,509,723		74,666,704
4. NAIC 4 (a).....	7,780,251		1,642,931	70,387	7,780,251	6,207,707		8,210,898
5. NAIC 5 (a).....	1,178,579		1,176,914	(1,665)	1,178,579	0		7,763,250
6. NAIC 6 (a).....	10,702,602	10,573,991	10,604,844	(37,730)	10,702,602	10,634,018		10,611,185
7. Total Bonds.....	4,981,616,251	284,950,028	710,399,586	(2,081,004)	4,981,616,251	4,554,085,690	0	4,749,940,249
<b>PREFERRED STOCK</b>								
8. NAIC 1.....						0		
9. NAIC 2.....	2,242,328		2,235,000	(7,328)	2,242,328	0		2,272,049
10. NAIC 3.....						0		
11. NAIC 4.....						0		
12. NAIC 5.....						0		
13. NAIC 6.....						0		
14. Total Preferred Stock.....	2,242,328	0	2,235,000	(7,328)	2,242,328	0	0	2,272,049
15. Total Bonds and Preferred Stock.....	4,983,858,579	284,950,028	712,634,586	(2,088,332)	4,983,858,579	4,554,085,690	0	4,752,212,298

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(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$.....133,443,861; NAIC 2 \$.....9,748,000; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

**SCHEDULE DA - PART 1**

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999.....	43,217,645	XXX	43,148,552	134,133	89,804

**SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	45,151,353	63,799,451
2. Cost of short-term investments acquired.....	65,988,519	113,068,177
3. Accrual of discount.....	238,600	295,983
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		(1,571)
6. Deduct consideration received on disposals.....	68,129,549	131,997,292
7. Deduct amortization of premium.....	31,278	13,395
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	43,217,645	45,151,353
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	43,217,645	45,151,353



**SCHEDULE DB - PART A - VERIFICATION**

## Options, Caps, Floors, Collars, Swaps and Forwards

1. Book/adjusted carrying value, December 31, prior year (Line 10, prior year).....	(18,051,143)
2. Cost paid/(consideration received) on additions.....	(107,069,916)
3. Unrealized valuation increase/(decrease).....	139,950,111
4. SSAP No. 108 adjustments.....	
5. Total gain (loss) on termination recognized.....	58,570,003
6. Considerations received/(paid) on terminations.....	63,860,381
7. Amortization.....	(160,499)
8. Adjustment to the book/adjusted carrying value of hedge item.....	
9. Total foreign exchange change in book/adjusted carrying value.....	438,275
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 + 7 + 8 + 9).....	9,816,451
11. Deduct nonadmitted assets.....	
12. Statement value at end of current period (Line 10 minus Line 11).....	9,816,451

**SCHEDULE DB - PART B - VERIFICATION**

## Futures Contracts

1. Book/adjusted carrying value, December 31, prior year (Line 6, prior year).....	28,274,525
2. Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column).....	26,780,940
3.1 Add:	
Change in variation margin on open contracts - Highly Effective Hedges:	
3.11 Section 1, Column 15, current year to date minus.....	
3.12 Section 1, Column 15, prior year.....	0
Change in variation margin on open contracts - All Other:	
3.13 Section 1, Column 18, current year to date minus.....	(4,260,264)
3.14 Section 1, Column 18, prior year.....	(9,344,925)      5,084,661      5,084,661
3.2 Add:	
Change in adjustment to basis of hedged item:	
3.21 Section 1, Column 17, current year to date minus.....	
3.22 Section 1, Column 17, prior year.....	0
Change in amount recognized:	
3.23 Section 1, Column 19, current year to date minus.....	(4,260,264)
3.24 Section 1, Column 19, prior year.....	(9,344,925)
3.25 SSAP No. 108 adjustments.....	5,084,661      5,084,661
3.3 Subtotal (Line 3.1 minus Line 3.2).....	0
4.1 Cumulative variation margin on terminated contracts during the year.....	(82,101,435)
4.2 Less:	
4.21 Amount used to adjust basis of hedged item.....	
4.22 Amount recognized.....	(72,756,511)
4.23 SSAP No. 108 adjustments.....	(72,756,511)
4.3 Subtotal (Line 4.1 minus Line 4.2).....	(9,344,924)
5. Dispositions gains (losses) on contracts terminated in prior year:	
5.1 Total gain (loss) recognized for terminations in prior year.....	9,344,924
5.2 Total gain (loss) adjusted into the hedged item(s) for the terminations in prior year.....	
6. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3.3 - 4.3 - 5.1 - 5.2).....	55,055,465
7. Deduct nonadmitted assets.....	
8. Statement value at end of current period (Line 6 minus Line 7).....	55,055,465

**SCHEDULE DB - PART C - SECTION 1**

Replication (Synthetic Asset) Transactions Open as of Current Statement Date

Replication (Synthetic) Asset Transactions								Components of the Replication (Synthetic Asset) Transactions							
1	2	3	4	5	6	7	8	Derivative Instrument(s) Open			Cash Instrument(s) Held				
								9	10	11	12	13	14	15	16
Number	Description	NAIC Designation or Other Description	Notional Amount	Book/Adjusted Carrying Value	Fair Value	Effective Date	Maturity Date	Description	Book/Adjusted Carrying Value	Fair Value	CUSIP	Description	NAIC Designation or Other Description	Book/Adjusted Carrying Value	Fair Value
<b>Replicated Assets Open</b>															
91283#DP5..	BOND WITH INTEREST RATE SWAP..	1.....	2,446,503	2,446,503	2,976,849	06/06/2019	06/10/2029	SWP: 2.13%(3ML) 06/10/29.....		336,143	190760 HT 8	COBB-MARIETTA GA COLISEUM & EX	1FE.....	2,446,503	2,640,706
91283#DP5..	BOND WITH INTEREST RATE SWAP..	1.....	5,927,499	6,016,768	7,037,857	06/06/2019	06/10/2029	SWP: 2.13%(3ML) 06/10/29.....		814,422	12629N AH 8	COMM_15-DC1.....	1FM.....	6,016,768	6,223,435
91283#DP5..	BOND WITH INTEREST RATE SWAP..	1.....	11,399,036	11,380,912	19,273,915	06/06/2019	06/10/2029	SWP: 2.13%(3ML) 06/10/29.....		1,566,196	44841S AC 3	HUTCHISON WHAMPOA INTERNATIONAL (0	1FE.....	11,380,912	17,707,719
91283#DP5..	BOND WITH INTEREST RATE SWAP..	1.....	6,177,542	6,211,746	7,538,271	06/06/2019	06/10/2029	SWP: 2.13%(3ML) 06/10/29.....		848,777	61765T AE 3	MSBAM_15-C25.....	1FM.....	6,211,746	6,689,494
91283#DP5..	BOND WITH INTEREST RATE SWAP..	1.....	7,354,217	7,320,638	9,279,229	06/06/2019	06/10/2029	SWP: 2.13%(3ML) 06/10/29.....		1,010,449	61691N AE 5	MSC_17-HR2.....	1FM.....	7,320,638	8,268,780
91283#DP5..	BOND WITH INTEREST RATE SWAP..	1.....	3,922,249	5,014,042	6,705,505	06/06/2019	06/10/2029	SWP: 2.13%(3ML) 06/10/29.....		538,906	64972F L2 0	NEW YORK N Y CITY MUN WTR FIN..	1FE.....	5,014,042	6,166,599
91283#DP5..	BOND WITH INTEREST RATE SWAP..	1.....	3,740,845	4,585,958	6,294,934	06/06/2019	06/10/2029	SWP: 2.13%(3ML) 06/10/29.....		513,982	702274 AW 1	PASADENA CALIF PUB FING AUTH L	1FE.....	4,585,958	5,780,952
91283#DP5..	BOND WITH INTEREST RATE SWAP..	1.....	36,663,222	35,853,280	59,601,174	06/06/2019	06/10/2029	SWP: 2.13%(3ML) 06/10/29.....		5,037,426	912810 RD 2	TREASURY BOND.....	1.....	35,853,280	54,563,747
91283#DP5..	BOND WITH INTEREST RATE SWAP..	1.....	6,079,486	7,795,159	10,303,484	06/06/2019	06/10/2029	SWP: 2.13%(3ML) 06/10/29.....		835,305	91324P BK 7	UNITEDHEALTH GROUP INC.....	1FE.....	7,795,159	9,468,179
91283#DP5..	BOND WITH INTEREST RATE SWAP..	1.....	10,680,585	10,680,585	12,360,028	06/06/2019	06/10/2029	SWP: 2.13%(3ML) 06/10/29.....		1,467,483	92783# AB 2	VIRGINIA INTERNATIONAL GATEWAY INC	1PL.....	10,680,585	10,892,545
91283#DP5..	BOND WITH INTEREST RATE SWAP..	1.....	3,647,692	3,702,221	4,300,877	06/06/2019	06/10/2029	SWP: 2.13%(3ML) 06/10/29.....		501,183	94989C AZ 4	WFCM_15-C26.....	1FM.....	3,702,221	3,799,695
91283#DP5..	BOND WITH INTEREST RATE SWAP..	1.....	1,961,124	1,952,739	2,209,803	06/06/2019	06/10/2029	SWP: 2.13%(3ML) 06/10/29.....		269,453	95000H BL 5	WFCM_16-LC24.....	1FM.....	1,952,739	1,940,350
91278*BB9..	BOND WITH INTEREST RATE SWAP..	1.....	3,550,536	3,628,260	4,887,907	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49.....		992,273	06541W BA 9	BANK_17-BNK5.....	1FM.....	3,628,260	3,895,634
91278*BB9..	BOND WITH INTEREST RATE SWAP..	1.....	14,596,648	11,720,924	21,749,771	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49.....		4,079,346	07274N BH 5	BAYER US FINANCE II LLC.....	2FE.....	11,720,924	17,670,425
91278*BB9..	BOND WITH INTEREST RATE SWAP..	1.....	29,587,800	29,587,800	41,138,678	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49.....		8,268,945	09056# AA 8	BIO MED GROUND LEASE TRUST 2016 UW	1PL.....	29,587,800	32,869,733
91278*BB9..	BOND WITH INTEREST RATE SWAP..	1.....	4,747,979	4,747,226	5,988,257	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49.....		1,326,924	12510H AB 6	CAUTO_20-1A.....	1FE.....	4,747,226	4,661,333
91278*BB9..	BOND WITH INTEREST RATE SWAP..	1.....	3,945,040	4,027,172	5,319,730	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49.....		1,102,526	12515G AF 4	CD_17-CD3.....	1FM.....	4,027,172	4,217,204
91278*BB9..	BOND WITH INTEREST RATE SWAP..	1.....	20,228,192	23,864,879	33,980,297	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49.....		5,653,202	313309 AP 1	FEDERAL EXPRESS CORP.....	2FE.....	23,864,879	28,327,096
91278*BB9..	BOND WITH INTEREST RATE SWAP..	1.....	6,342,656	6,340,756	8,413,542	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49.....		1,772,591	38218D AA 7	GOODG_19-1A - ABS.....	1FE.....	6,340,756	6,640,951
91278*BB9..	BOND WITH INTEREST RATE SWAP..	1.....	5,955,038	5,955,038	9,368,010	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49.....		1,664,263	882484 AA 6	TEXAS HEALTH RESOURCES.....	1FE.....	5,955,038	7,703,747
91278*BB9..	BOND WITH INTEREST RATE SWAP..	1.....	7,396,950	7,446,196	10,218,949	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49.....		2,067,236	95000J AU 2	WFCM_16-LC25.....	1FM.....	7,446,196	8,151,713
91278*BB9..	BOND WITH INTEREST RATE SWAP..	1.....	3,649,162	3,657,241	5,078,164	12/13/2019	12/17/2049	SWP: 1.95%(3ML) 12/17/49.....		1,019,837	95001F AX 3	WFCM_17-RC1.....	1FM.....	3,657,241	4,058,328
9999999999	Total.....			203,936,044	294,025,231	XXX	XXX	XXX	0	41,686,868	XXX	XXX	XXX	203,936,044	252,338,363

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**SCHEDULE DB - PART C - SECTION 2**

Reconciliation (Synthetic Asset) Transactions Open

	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Year-To-Date	
	1 Number of Positions	2 Total Replication (Synthetic Asset) Transactions Statement Value	3 Number of Positions	4 Total Replication (Synthetic Asset) Transactions Statement Value	5 Number of Positions	6 Total Replication (Synthetic Asset) Transactions Statement Value	7 Number of Positions	8 Total Replication (Synthetic Asset) Transactions Statement Value	9 Number of Positions	10 Total Replication (Synthetic Asset) Transactions Statement Value
1. Beginning Inventory.....	2	205,388,881	2	203,968,425	0	0	0	0	2	205,388,881
2. Add: Opened or acquired transactions.....									0	0
3. Add: Increases in replication (synthetic asset) transactions statement value.....	XXX	63,271	XXX		XXX		XXX		XXX	63,271
4. Less: Closed or disposed of transactions.....									0	0
5. Less: Positions disposed of for failing effectiveness criteria.....									0	0
6. Less: Decreases in replication (synthetic asset) transactions statement value.....	XXX	1,483,727	XXX	32,381	XXX		XXX		XXX	1,516,108
7. Ending Inventory.....	2	203,968,425	2	203,936,044	0	0	0	0	2	203,936,044

# TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

## SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

		Book/Adjusted Carrying Value Check
1.	Part A, Section 1, Column 14.....	9,816,451
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance.....	55,055,465
3.	Total (Line 1 plus Line 2).....	64,871,916
4.	Part D, Section 1, Column 5.....	415,352,483
5.	Part D, Section 1, Column 6.....	(350,480,567)
6.	Total (Line 3 minus Line 4 minus Line 5).....	0
		Fair Value Check
7.	Part A, Section 1, Column 16.....	53,711,360
8.	Part B, Section 1, Column 13.....	(9,026,731)
9.	Total (Line 7 plus Line 8).....	44,684,629
10.	Part D, Section 1, Column 8.....	403,768,499
11.	Part D, Section 1, Column 9.....	(359,083,870)
12.	Total (Line 9 minus Line 10 minus Line 11).....	0
		Potential Exposure Check
13.	Part A, Section 1, Column 21.....	78,308,489
14.	Part B, Section 1, Column 20.....	52,146,200
15.	Part D, Section 1, Column 11.....	130,454,689
16.	Total (Line 13 plus Line 14 minus Line 15).....	0

**SCHEDULE E - PART 2 - VERIFICATION**

Cash Equivalents

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	249,810,754	140,884,395
2. Cost of cash equivalents acquired.....	3,946,247,443	7,444,075,467
3. Accrual of discount.....	1,270,875	5,592,785
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....	(884)	(954)
6. Deduct consideration received on disposals.....	3,465,937,092	7,340,728,386
7. Deduct amortization of premium.....	425	12,553
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	731,390,671	249,810,754
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	731,390,671	249,810,754

**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE A - PART 2**

Showing all Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						

**NONE**

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**SCHEDULE A - PART 3**

Showing all Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract "

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances Prior Year	Change in Book/Adjusted Carrying Value Less Encumbrances					14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs, and Expenses Incurred
	2 City	3 State						9 Current Year's Depreciation	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Current Year's Change in Encumbrances	12 Total Change in B./A.C.V. (11 - 9 - 10)	13 Total Foreign Exchange Change in B./A.C.V.							

**NONE**

**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE B - PART 2**

Showing all Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	City	3 State						
<b>Mortgages in Good Standing - Commercial Mortgages - All Other</b>								
BHM1TM2K0	MIAMI	FL		06/01/2020	3.440		294,109	535,959
BHM21Z2L7	PHILLIPSBURG	NJ		06/30/2020	2.460		4,803,654	8,761,339
0599999. Total - Mortgages in Good Standing - Commercial Mortgages - All Other				XXX	XXX	0	5,097,763	9,297,298
0899999. Total - Mortgages in Good Standing				XXX	XXX	0	5,097,763	9,297,298
3399999. Total Mortgages				XXX	XXX	0	5,097,763	9,297,298

**SCHEDULE B - PART 3**

Showing all Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment						14 Book Value / Recorded Investment Excluding Accrued Interest on Disposal	15 Consideration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) / Accretion	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8 + 9 - 10 + 11)	13 Total Foreign Exchange Change in Book Value					
<b>Mortgages Closed by Repayment</b>																	
BHM0J5AM7	WASHINGTON	DC		05/10/2019	04/01/2020	4,000		(37)				(37)		3,963	3,963		0
BHM21LBR5	MADISON	WI		01/01/2001	04/01/2020		0					0		(10,155)	(10,155)		0
0199999. Total - Mortgages Closed by Repayment						4,000	0	(37)	0	0	(37)	0	(6,192)	(6,192)	0	0	0
<b>Mortgages With Partial Repayments</b>																	
BHM01LDV8	BALTIMORE	MD		11/15/2017	06/01/2020	73,553						0		73,553	73,553		0
BHM01NWT8	NEW YORK	NY		05/10/2019	06/01/2020	35,382		(2,933)				(2,933)		32,449	32,449		0
BHM01VSS7	WASHINGTON	DC		02/01/2018	04/01/2020	64,846						0		64,846	64,846		0
BHM01WEB7	NASSAU COUNTY	NY		02/01/2018	06/01/2020	117,512		1,147				1,147		118,660	118,660		0
BHM03Z7L4	MULTI-CITY	MU		08/21/2008	06/01/2020	101,078						0		101,078	101,078		0
BHM0JEHH2	MULTI-CITY	MU		05/10/2019	05/01/2020	9,195		(299)				(299)		8,896	8,896		0
BHM0JEH22	MULTI-CITY	MU		04/14/2011	06/01/2020	44,343						0		44,343	44,343		0
BHM0JEJK3	MULTI-CITY	MU		04/14/2011	06/01/2020	35,879						0		35,879	35,879		0
BHM0JEJP2	SANTA BARBARA	CA		04/14/2011	05/01/2020	20,549						0		20,549	20,549		0
BHM0KTYD7	IRVINE	CA		09/28/2011	06/01/2020	11,788						0		11,788	11,788		0
BHM0KTYF2	NEWPORT BEACH	CA		02/01/2018	06/01/2020	95,729						0		95,729	95,729		0
BHM0KTYG0	IRVINE	CA		10/26/2011	06/01/2020	11,746						0		11,746	11,746		0
BHM0L66Z8	MULTI-CITY	MU		05/10/2019	06/01/2020	37,568		(528)				(528)		37,039	37,039		0
BHM0LC8T7	SAN BRUNO	CA		01/08/2020	06/01/2020							0		126,784	126,784		0
BHM0LKG86	ENCINITAS	CA		02/16/2012	06/01/2020	58,100						0		58,100	58,100		0
BHM0LZHT6	CARLSBAD	CA		02/01/2018	06/01/2020	174,937						0		174,937	174,937		0
BHM0M2AN8	CHICAGO	IL		04/24/2012	06/01/2020	48,275						0		48,275	48,275		0
BHM0M2C06	EVANSTON	IL		04/05/2012	06/01/2020	59,503						0		59,503	59,503		0
BHM0M3UB0	BELLEVUE	WA		07/17/2012	06/01/2020	245,134						0		245,134	245,134		0
BHM0U4JE6	MULTI-CITY	CA		09/05/2013	06/01/2020	24,130						0		24,130	24,130		0
BHM10N625	IRVINE	CA		02/01/2018	06/01/2020	277,621						0		277,621	277,621		0
BHM1KA3P3	CHARLOTTE	NC		02/01/2018	06/01/2020	160,783						0		160,783	160,783		0
BHM1R1K02	FORT WORTH	TX		02/01/2018	06/01/2020	158,275						0		158,275	158,275		0

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**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE B - PART 3**

Showing all Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Change in Book Value/Recorded Investment						14 Book Value / Recorded Investment Excluding Accrued Interest on Disposal	15 Consideration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) / Accretion	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8 + 9 - 10 + 11)	13 Total Foreign Exchange Change in Book Value					
BHM1T12H3.....	COLUMBUS.....	OH.....	.....	12/19/2017....	06/01/2020....	.....8,610	.....	.....	.....	.....	.....	.....	.....8,610	.....8,610	.....	.....	......0
BHM1TLYF8.....	ARLINGTON.....	TX.....	.....	06/28/2018....	06/01/2020....	.....53,126	.....	.....	.....	.....	.....	.....	.....53,126	.....53,126	.....	.....	......0
BHM1UHQB3.....	HOUSTON.....	TX.....	.....	04/27/2018....	06/01/2020....	.....19,407	.....	.....	.....	.....	.....	.....	.....19,407	.....19,407	.....	.....	......0
BHM1VMB76.....	BALTIMORE.....	MD.....	.....	08/29/2018....	05/01/2020....	.....45,448	.....	.....	.....	.....	.....	.....	.....45,448	.....45,448	.....	.....	......0
BHM1W9NJ5.....	FLORISSANT.....	MO.....	.....	07/20/2018....	04/01/2020....	.....2,102	.....	.....4	.....	.....	.....4	.....	.....2,106	.....2,106	.....	.....	......0
BHM21C6E0.....	MIAMI.....	FL.....	.....	08/14/2019....	06/01/2020....	.....4,006	.....	.....15	.....	.....	.....15	.....	.....4,021	.....4,021	.....	.....	......0
BHM21LBR5.....	MADISON.....	WI.....	.....	02/11/2020....	06/01/2020....	.....	.....	.....	.....	.....	.....	.....	.....60,380	.....60,380	.....	.....	......0
0299999. Total - Mortgages With Partial Repayments.....						.....1,998,623	.....0	.....(2,595)	.....0	.....0	.....(2,595)	.....0	.....2,183,192	.....2,183,192	.....0	.....0	......0
<b>Mortgages Disposed</b>																	
BHM0J5AM7.....	WASHINGTON.....	DC.....	.....	05/10/2019....	04/02/2020....	.....1,834,550	.....	.....(8,514)	.....	.....	.....(8,514)	.....	.....1,826,035	.....1,817,539	.....	.....(8,496)	.....(8,496)
BHM0K8FC6.....	FOSTER CITY.....	CA.....	.....	04/29/2011....	05/01/2020....	.....5,900,000	.....	.....	.....	.....	.....0	.....	.....5,900,000	.....5,900,000	.....	.....	......0
BHM0K8FD4.....	FOSTER CITY.....	CA.....	.....	04/29/2011....	05/01/2020....	.....4,700,000	.....	.....	.....	.....	.....0	.....	.....4,700,000	.....4,700,000	.....	.....	......0
BHM0K8FE2.....	FOSTER CITY.....	CA.....	.....	04/29/2011....	05/01/2020....	.....4,300,000	.....	.....	.....	.....	.....0	.....	.....4,300,000	.....4,300,000	.....	.....	......0
BHM0SVJL3.....	ANAHEIM.....	MA.....	.....	06/26/2013....	06/30/2020....	.....7,000,000	.....	.....	.....	.....	.....0	.....	.....7,000,000	.....7,000,000	.....	.....	......0
0399999. Total - Mortgages Disposed.....						.....23,734,550	.....0	.....(8,514)	.....0	.....0	.....(8,514)	.....0	.....23,726,035	.....23,717,539	.....0	.....(8,496)	.....(8,496)
0599999. Total Mortgages.....						.....25,737,173	.....0	.....(11,146)	.....0	.....0	.....(11,146)	.....0	.....25,903,035	.....25,894,539	.....0	.....(8,496)	.....(8,496)

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**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE BA - PART 2**

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	3 Location		5 Name of Vendor or General Partner	6 NAIC Designation and Administrative Symbol/Market Indicator	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made after Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership	
		City	State										
<b>Joint Venture or Partnership Interests That Have Underlying Characteristics of Common Stocks - Unaffiliated</b>													
BHM21P 3U 8	ARLINGTON CAPITAL PARTNERS V LP	CHEVY CHASE	MD	CAPITAL CALL		04/01/2020	3		1,125,379		8,690,979	0.606	
BHM05J QC 7	BUNKER HILL CAPITAL II LP	BOSTON	MA	CAPITAL CALL		05/26/2020	3		860,180		1,665,691	7.425	
BHM0MG 1V 9	CAPITAL PARTNERS INCOME FUND II LP	GREENWICH	CT	CAPITAL CALL		04/08/2020	3		100,812		784,742	3.090	
BHM22Z RJ 4	CORTEC GROUP FUND VII LP	NEW YORK	NY	CAPITAL CALL		06/01/2020	3		532,941		7,177,417	0.500	
BHM252 W4 1	GRIDIRON CAPITAL FUND IV LP	NEW CANAAN	CT	CAPITAL CALL		05/06/2020		2,573,516			7,426,484	0.974	
BHM03B 4L 0	GRP III LP	LOS ANGELES	CA	CAPITAL CALL		04/03/2020	1		37,616		5,394,438	6.117	
BHM205 77 0	OAKTREE PORTS AMERICA FUND LP	LOS ANGELES	CA	CAPITAL CALL		06/25/2020	3		12,005		153,955	1.065	
BHM0ME 7Y 2	PARTHENON INVESTORS IV LP	BOSTON	MA	CAPITAL CALL		04/17/2020	3		219,188		723,909	1.263	
BHM252 3H 4	PARTHENON INVESTORS VI LP	SAN FRANCISCO	CA	CAPITAL CALL		04/30/2020		60,658			9,939,342	0.888	
BHM22R 5B 3	REVELSTOKE CAP PARTNERS FUND II	DENVER	CO	CAPITAL CALL		06/30/2020	3		51,055		4,604,594	2.857	
BHM0MD LK 8	SUMMER STREET CAPITAL III LP	BUFFALO	NY	CAPITAL CALL		06/02/2020	3		37,719		1,227,787	3.712	
BHM04X Q4 5	TCV VII LP	MILBURN	NJ	HIMCO OPERATIONAL TRANSACTION		05/21/2020	1				199,200	0.474	
BHM22R 5C 1	UPFRONT GROWTH III LP	LOS ANGELES	CA	CAPITAL CALL		04/07/2020	1		653,360		3,540,974	2.000	
BHM0ME 7Z 9	UPFRONT IV L.P.	LOS ANGELES	CA	CAPITAL CALL		04/06/2020	3		73,754		5,457,778	5.621	
BHM1DU 0C 9	VMG PARTNERS III LP	SAN FRANCISCO	CA	CAPITAL CALL		05/29/2020	3		169,162		2,091,036	4.258	
BHM22J 9D 3	WIND POINT PARTNERS IX-A LP	CHICAGO	IL	CAPITAL CALL		05/12/2020	3		600,003		8,253,035	1.111	
1999999. Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Common Stocks - Unaffiliated									2,634,174	4,473,174	0	67,331,362	XXX
<b>Any Other Class of Asset - Unaffiliated</b>													
BHM20C LC 8	KKR RECOP FEEDER II LP	NEW YORK	NY	CAPITAL CALL		04/20/2020		3,292,221			16,708,000	12.903	
4699999. Total - Any Other Class of Asset - Unaffiliated									3,292,221	0	0	16,708,000	XXX
4899999. Subtotal - Unaffiliated									5,926,395	4,473,174	0	84,039,362	XXX
5099999. Totals									5,926,395	4,473,174	0	84,039,362	XXX

QE03

**SCHEDULE BA - PART 3**

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	3 Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	9-14 Changes in Book/Adjusted Carrying Value					15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income
		City	State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or / Accretion	11 Current Year's Other-Than-Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V. (9+10-11+12)						
BHM15W G6 0	BLACKSTONE STRATEGIC CAPITAL HOLDI	NEW YORK	NY	CAPITAL DISTRIBUTION	03/01/2018	04/20/2020	75,676	(2,627)				(2,627)	73,049	73,049		0	1,243	
BHM0LL V8 7	CENTURY FOCUSED FUND III LP	BOSTON	MA	CAPITAL DISTRIBUTION	03/29/2018	06/01/2020	461,664				0	461,664	461,664		0	191,599		
BHM0K4 9L 2	CORTEC GROUP FUND V LP	NEW YORK	NY	DIRECT WITH ISSUER	04/03/2017	06/30/2020					0	4,846,520	4,846,520		0	0		
BHM1AE FF 5	GSO CREDIT ALPHA FUND LP	NEW YORK	NY	VARIOUS	01/01/2020	06/01/2020	135,380	43,773			43,773	(44,650)	(44,650)		0	47,264		
BHM1AK 95 0	LEXINGTON CAPITAL PARTNERS VIII LP	NEW YORK	NY	VARIOUS	01/04/2017	06/30/2020	342,449	12,050			12,050	921,471	921,471		0	(14,669)		

**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE BA - PART 3**

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Changes in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in B./A.C.V. (9+10-11+12)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value Less Encumbrances on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income
BHM0LU T1 5	MSOUTH EQUITY PARTNERS II LP.....	WILMINGTON.....	DE..	CAPITAL DISTRIBUTION.....	03/29/2018	06/26/2020	.....441,823	.....(18,570)	.....	.....	.....	.....(18,570)	.....	.....423,254	.....423,254	.....	.....	.....0	.....160,581
BHM205 77 0	OAKTREE PORTS AMERICA FUND LP.....	LOS ANGELES.....	CA..	CAPITAL DISTRIBUTION.....	05/14/2019	06/25/2020	.....5,969	.....34	.....	.....	.....	.....34	.....	.....6,003	.....6,003	.....	.....	.....0	.....
BHM22R 5B 3	REVELSTOKE CAP PARTNERS FUND II.....	DENVER.....	CO.	CAPITAL DISTRIBUTION.....	11/04/2019	06/30/2020	.....18,194	.....49	.....	.....	.....	.....49	.....	.....18,244	.....18,244	.....	.....	.....0	.....
BHM0LT ZL 7	SILVER OAK SERVICE PARTNERS II LP.....	EVANSTON.....	IL...	CAPITAL DISTRIBUTION.....	03/01/2018	06/15/2020	.....227,008	.....(99,071)	.....	.....	.....	.....(99,071)	.....	.....127,937	.....127,937	.....	.....	.....0	.....(12,659)
BHM033 G9 2	STEELRIVER INFRASTRUCTURE FUND NA.....	SAN FRANCISCO.....	CA..	VARIOUS.....	12/18/2009	06/30/2020	.....293,433	.....7,193	.....	.....	.....	.....7,193	.....	.....609,652	.....609,652	.....	.....	.....0	.....143,584
BHM04X Q4 5	TCV VII LP.....	MILBURN.....	NJ..	DIRECT WITH ISSUER.....	07/06/2017	06/30/2020	.....	.....	.....	.....	.....	.....0	.....	.....4,626,101	.....4,626,101	.....	.....	.....0	.....
BHM22R 5C 1	UPFRONT GROWTH III LP.....	LOS ANGELES.....	CA..	CAPITAL DISTRIBUTION.....	12/24/2019	04/07/2020	.....28,615	.....	.....	.....	.....	.....0	.....	.....28,615	.....28,615	.....	.....	.....0	.....
BHM0ME 7Z 9	UPFRONT IV L.P.....	LOS ANGELES.....	CA..	CAPITAL CALL.....	02/01/2018	06/16/2020	.....1,222,462	.....(536,821)	.....	.....	.....	.....(536,821)	.....	.....685,642	.....685,642	.....	.....	.....0	.....(204,199)
1999999	Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Common Stocks - Unaffiliated.....						.....3,252,673	.....(593,988)	.....0	.....0	.....0	.....(593,988)	.....0	.....12,783,500	.....12,783,500	.....0	.....0	.....0	.....312,744
4899999	Subtotal - Unaffiliated.....						.....3,252,673	.....(593,988)	.....0	.....0	.....0	.....(593,988)	.....0	.....12,783,500	.....12,783,500	.....0	.....0	.....0	.....312,744
5099999	Totals.....						.....3,252,673	.....(593,988)	.....0	.....0	.....0	.....(593,988)	.....0	.....12,783,500	.....12,783,500	.....0	.....0	.....0	.....312,744

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**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE D - PART 3**

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
<b>Bonds - U.S. Government</b>									
912828 ZQ 6	TREASURY NOTE.....		05/22/2020.....	SG AMERICAS, LLC.....		9,969,531	10,000,000	1,868	1.....
0599999. Total - Bonds - U.S. Government.....						9,969,531	10,000,000	1,868	XXX.....
<b>Bonds - All Other Government</b>									
445545 AL 0	HUNGARY (REPUBLIC OF).....	D.....	05/26/2020.....	CAMBRIDGE INTERNATIONAL SECURITIES.....		446,935	398,000	3,658	2FE.....
718286 CJ 4	PHILIPPINES (REPUBLIC OF).....	D.....	04/27/2020.....	CITIGROUP GLOBAL MARKETS, INC.....		600,000	600,000		2FE.....
X7330Y CT 0	CROATIA (REPUBLIC OF).....	D.....	06/29/2020.....	BARCLAYS CAPITAL INC.....		663,600	600,000	7,975	2FE.....
29135L AH 3	ABU DHABI (EMIRATE OF).....	D.....	04/14/2020.....	JP MORGAN SECURITIES LLC.....		413,000	400,000		1FE.....
74727P BD 2	QATAR (STATE OF).....	D.....	04/07/2020.....	DEUTSCHE BANK SECURITIES INC.....		399,240	400,000		1FE.....
1099999. Total - Bonds - All Other Government.....						2,522,775	2,398,000	11,633	XXX.....
<b>Bonds - U.S. Special Revenue and Special Assessment</b>									
3133A3 3Y 2	FHLMC 30YR UMBS.....		04/22/2020.....	DAIWA CAPITAL MARKETS AMERICA INC.....		5,034,380	4,842,205	4,035	1.....
3140K4 UB 4	FNMA 30YR UMBS.....		04/22/2020.....	CITIGROUP GLOBAL MARKETS, INC.....		4,929,453	4,665,557	4,666	1.....
3140KC WV 0	FNMA 30YR UMBS.....		04/30/2020.....	NOMURA SECURITIES INTERNATIONAL INC.....		4,885,453	4,684,882	3,904	1.....
3199999. Total - Bonds - U.S. Special Revenue and Special Assessments.....						14,849,286	14,192,644	12,605	XXX.....
<b>Bonds - Industrial and Miscellaneous</b>									
097023 CY 9	BOEING CO.....		05/20/2020.....	OUTSIDE MANAGED ACCT.....		4,707,744	4,625,000	11,909	2FE.....
136375 CD 2	CANADIAN NATIONAL RAILWAY COMPANY.....	A.....	04/14/2020.....	OUTSIDE MANAGED ACCT.....		3,357,252	3,170,000	37,666	1FE.....
15135B AR 2	CENTENE CORPORATION.....		05/08/2020.....	EXCHANGE.....		162,461	162,000	2,907	3FE.....
294429 AR 6	EQUIFAX INC.....		04/22/2020.....	OUTSIDE MANAGED ACCT.....		1,498,320	1,500,000		2FE.....
46124H AB 2	INTUIT INC.....		06/25/2020.....	OUTSIDE MANAGED ACCT.....		299,763	300,000		1FE.....
594918 CC 6	MICROSOFT CORPORATION.....		06/01/2020.....	HIMCO OPERATIONAL TRANSACTION.....		21,386,806	21,390,000		1FE.....
64110D AL 8	NETAPP INC.....		06/17/2020.....	OUTSIDE MANAGED ACCT.....		899,406	900,000		2FE.....
694308 GJ 0	PACIFIC GAS & ELECTRIC CO.....		06/29/2020.....	COUNTERPARTY FOR SYSTEM GENERATED C.....		10,573,991	10,706,000	203,533	6Z.....
80282K AP 1	SANTANDER HOLDINGS USA INC.....		06/24/2020.....	JANE STREET EXECUTION SERVICES LLC.....		1,081,600	1,000,000	19,922	2FE.....
858119 BL 3	STEEL DYNAMICS INC.....		06/16/2020.....	OUTSIDE MANAGED ACCT.....		2,286,486	2,240,000	1,733	2FE.....
91159H HG 8	US BANCORP.....		04/07/2020.....	OUTSIDE MANAGED ACCT.....		52,580	50,000	355	1FE.....
92826C AD 4	VISA INC.....		04/15/2020.....	OUTSIDE MANAGED ACCT.....		2,535,336	2,300,000	24,754	1FE.....
960386 AQ 3	WESTINGHOUSE AIR BRAKE TECHNOLOGIE.....		06/16/2020.....	OUTSIDE MANAGED ACCT.....		1,758,099	1,760,000		2FE.....
05969B AD 5	BANCO SANTANDER MEXICO SA INSTITUC.....	D.....	04/15/2020.....	MORGAN STANLEY & CO. LLC.....		601,950	600,000		2FE.....
09659W 2L 7	BNP PARIBAS.....	D.....	06/02/2020.....	OUTSIDE MANAGED ACCT.....		785,000	785,000		1FE.....
11135F AR 2	BROADCOM INC.....		05/05/2020.....	WELLS FARGO ADVISORS, LLC.....		7,490,550	7,500,000		2FE.....
12596U AC 2	CPS_19-C.....		06/05/2020.....	CITIGROUP GLOBAL MARKETS, INC.....		1,294,191	1,295,000	2,452	1FE.....
59217G BY 4	METROPOLITAN LIFE GLOBAL FUNDING I - ABS.....		04/02/2020.....	OUTSIDE MANAGED ACCT.....		2,118,070	2,000,000	20,700	1FE.....
65341K AW 6	NFMOT_17-2.....		05/21/2020.....	Various.....		4,264,827	4,304,000	1,136	1FE.....
69376B AA 4	PACEF_20-1A - ABS.....		06/05/2020.....	SUNTRUST ROBINSON HUMPHREY, INC.....		3,750,000	3,750,000		1FE.....
82620K AE 3	SIEMENS FINANCIERINGSMAATSCHAPPIJ.....	D.....	04/22/2020.....	OUTSIDE MANAGED ACCT.....		2,921,277	2,725,000	36,163	1FE.....
82620K AL 7	SIEMENS FINANCIERINGSMAATSCHAPPIJ.....	D.....	04/03/2020.....	OUTSIDE MANAGED ACCT.....		993,470	1,000,000	11,228	1FE.....
96042P AE 2	WLAKE_20-2A.....		06/16/2020.....	BMO CAPITAL MARKETS CORP.....		2,019,698	2,020,000		1FE.....
98485I AG 0	YARA INTERNATIONAL ASA.....	D.....	05/28/2020.....	JP MORGAN SECURITIES LLC.....		1,875,000	1,875,000		2FE.....
48254@ AA 8	KKR_20-II-RE - ABS.....		04/20/2020.....	HIMCO OPERATIONAL TRANSACTION.....		1,518,873	1,518,873		2Z.....
3899999. Total - Bonds - Industrial and Miscellaneous.....						80,232,749	79,475,873	374,457	XXX.....
8399997. Total - Bonds - Part 3.....						107,574,341	106,066,517	400,563	XXX.....
8399999. Total - Bonds.....						107,574,341	106,066,517	400,563	XXX.....
<b>Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded</b>									
285512 10 9	ELECTRONIC ARTS INC.....		05/11/2020.....	HIMCO OPERATIONAL TRANSACTION.....	6,968,000	810,838	XXX		
64110L 10 6	NETFLIX INC.....		04/23/2020.....	HIMCO OPERATIONAL TRANSACTION.....	4,333,000	1,856,604	XXX		

QE04

**SCHEDULE D - PART 3**

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
L8681T 10 2	SPOTIFY TECHNOLOGY SA.....	D.....	05/12/2020.....	HIMCO OPERATIONAL TRANSACTION.....	6,187,000	897,387	XXX		
9099999	Total - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded.....					3,564,829	XXX	0	XXX
<b>Common Stocks - Industrial and Miscellaneous (Unaffiliated) Other</b>									
31338# 11 2	FEDERAL HOME LOAN BANK BOSTON.....		12/31/2019.....	FEDERAL HOME LOAN BANK OF BOSTON.....	65,000	6,500	XXX		
9199999	Total - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Other.....					6,500	XXX	0	XXX
<b>Common Stocks - Mutual Funds</b>									
09661L 30 2	BNY MELLON S&P 500 INDEX FUND.....		06/30/2020.....	DIRECT WITH ISSUER.....	0.886	34	XXX		
416649 28 3	HARTFORD DIVIDEND AND GROWTH-R4.....		06/30/2020.....	DIRECT WITH ISSUER.....	123.936	2,556	XXX		
00141M 59 8	INVESCO MID CAP.....		06/30/2020.....	DIRECT WITH ISSUER.....	378.909	4,842	XXX		
00900R 54 9	INV OPP MAIN ST MID CAP R.....		06/30/2020.....	DIRECT WITH ISSUER.....	7,760.786	222,961	XXX		
354713 55 4	FRANKLIN STRATEGIC.....		06/30/2020.....	DIRECT WITH ISSUER.....	120.343	1,047	XXX		
416649 34 1	HARTFORD HIGH YIELD FUND - R4.....		06/30/2020.....	DIRECT WITH ISSUER.....	170.823	1,145	XXX		
416649 25 9	HARTFORD TOTAL RETURN BOND FUND.....		06/30/2020.....	DIRECT WITH ISSUER.....	218.546	2,397	XXX		
552981 46 6	MFS TOTAL RETURN FUND-R3.....		06/30/2020.....	DIRECT WITH ISSUER.....	802.789	14,527	XXX		
9499999	Total - Common Stocks - Mutual Funds.....					249,508	XXX	0	XXX
9799997	Total - Common Stocks - Part 3.....					3,820,838	XXX	0	XXX
9799999	Total - Common Stocks.....					3,820,838	XXX	0	XXX
9899999	Total - Preferred and Common Stocks.....					3,820,838	XXX	0	XXX
9999999	Total - Bonds, Preferred and Common Stocks.....					111,395,179	XXX	400,563	XXX

QE04.1

**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
<b>Bonds - U.S. Government</b>																					
36200Q	3L 6 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		362	362	372	386		(25)		(25)		362			0	10	02/01/2032	1
36200R	YQ 9 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		146	146	151	157		(10)		(10)		146			0	4	01/01/2032	1
36200U	WJ 0 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		44	44	45	47		(2)		(2)		44			0	2	09/01/2031	1
36200W	CB 5 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		562	562	619	614		(52)		(52)		562			0	18	01/01/2032	1
36200X	JF 7 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		169	169	190	200		(30)		(30)		169			0	5	12/01/2031	1
36200X	KN 8 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		854	854	891	886		(32)		(32)		854			0	26	01/01/2032	1
36201A	UL 0 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		1,078	1,078	1,129	1,160		(82)		(82)		1,078			0	37	07/01/2032	1
36201C	6E 9 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		174	174	191	190		(16)		(16)		174			0	5	03/01/2032	1
36201C	PY 4 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		205	205	208	210		(5)		(5)		205			0	6	01/01/2032	1
36201F	Q6 7 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		589	589	605	620		(31)		(31)		589			0	19	05/01/2032	1
36201F	UH 8 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		1,335	1,335	1,373	1,414		(79)		(79)		1,335			0	41	04/01/2032	1
36201F	UQ 8 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		1,716	1,716	1,765	1,819		(103)		(103)		1,716			0	53	04/01/2032	1
36201F	UR 6 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		289	289	298	309		(19)		(19)		289			0	9	04/01/2032	1
36201F	X6 9 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		450	450	457	463		(13)		(13)		450			0	14	02/01/2032	1
36201H	WX 7 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		2,432	2,432	2,495	2,497		(65)		(65)		2,432			0	75	06/01/2032	1
36201J	F6 1 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		118	118	121	126		(9)		(9)		118			0	4	05/01/2032	1
36201J	FD 6 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		5,036	5,036	5,257	5,694		(659)		(659)		5,036			0	167	04/01/2032	1
36201L	7K 4 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		480	480	503	532		(51)		(51)		480			0	16	08/01/2032	1
36201M	G8 9 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		1,153	1,153	1,184	1,232		(79)		(79)		1,153			0	38	06/01/2032	1
36201M	JU 7 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		801	801	825	865		(64)		(64)		801			0	25	07/01/2032	1
36201M	LH 3 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		540	540	584	596		(56)		(56)		540			0	17	08/01/2032	1
36201T	AM 9 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		1,712	1,712	1,760	1,779		(67)		(67)		1,712			0	53	08/01/2032	1
36203L	CQ 3 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		386	386	394	392		(6)		(6)		386			0	13	09/01/2023	1
36209D	R8 9 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		14	14	14	15		(1)		(1)		14			0	1	09/01/2031	1
36209R	VG 5 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		141	141	142	144		(3)		(3)		141			0	5	08/01/2030	1
36209Y	X4 5 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		190	190	193	194		(5)		(5)		190			0	6	09/01/2031	1
3620A1	X7 8 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		51	51	53	53		(2)		(2)		51			0	1	06/01/2039	1
3620A8	LU 5 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		850	850	878	878		(27)		(27)		850			0	21	08/01/2039	1
3620A9	SH 5 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		2,783	2,783	2,873	2,864		(81)		(81)		2,783			0	64	09/01/2039	1
3620AC	3Z 5 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		3,324	3,324	3,432	3,424		(100)		(100)		3,324			0	78	09/01/2039	1
3620AC	4G 6 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		378	378	391	390		(12)		(12)		378			0	8	09/01/2039	1
36211C	2S 0 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		193	193	197	199		(5)		(5)		193			0	6	07/01/2029	1
36213D	3C 0 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		735	735	758	755		(20)		(20)		735			0	23	02/01/2032	1
36213E	AB 2 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		1,013	1,013	1,059	1,067		(54)		(54)		1,013			0	31	05/01/2032	1
36213E	SK 3 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		11,275	11,275	11,586	11,611		(336)		(336)		11,275			0	362	01/01/2032	1
36213E	YS 9 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		815	815	884	869		(54)		(54)		815			0	25	04/01/2032	1
36213G	AL 5 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		1,036	1,036	1,056	1,078		(42)		(42)		1,036			0	33	02/01/2032	1
36213G	TY 7 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		65	65	66	68		(4)		(4)		65			0	2	11/01/2031	1
36213J	V2 8 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		348	348	370	381		(34)		(34)		348			0	10	04/01/2032	1
36213N	LL 8 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		240	240	244	247		(8)		(8)		240			0	7	12/01/2031	1
36213U	C9 9 GNMA 30YR		06/01/2020	SCHEDULED REDEMPTION		136	136	142	153		(16)		(16)		136			0	4	11/01/2031	1

QE05

**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

QE05.1

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
36213X SB 1	GNMA 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		1,772	1,772	1,896	1,849		(78)		(78)		1,772			.0	.55	04/01/2032	1.....
36213X T5 3	GNMA 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		53	53	54	56		(3)		(3)		53			.0	.2	05/01/2032	1.....
36213X T6 1	GNMA 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		747	747	768	788		(41)		(41)		747			.0	.25	05/01/2032	1.....
36225B ND 6	GNMA 30YR PLATINUM.....		06/01/2020	SCHEDULED REDEMPTION.....		3,032	3,032	3,122	3,243		(211)		(211)		3,032			.0	.94	05/01/2031	1.....
36225B PM 4	GNMA 30YR PLATINUM.....		06/01/2020	SCHEDULED REDEMPTION.....		14,875	14,875	15,215	15,645		(771)		(771)		14,875			.0	.491	09/01/2031	1.....
36179U CA 8	GNMA2 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		68,288	68,288	68,160	68,158		130		130		68,288			.0	1.134	09/01/2048	1.....
36179U CB 6	GNMA2 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		282,198	282,198	287,930	287,890		(5,692)		(5,692)		282,198			.0	5.342	09/01/2048	1.....
36202E AL 3	GNMA2 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		18,665	18,665	19,190	19,222		(558)		(558)		18,665			.0	.531	09/01/2034	1.....
36202F B4 7	GNMA2 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		1,762	1,762	1,884	1,912		(150)		(150)		1,762			.0	.42	10/01/2039	1.....
36202F DB 9	GNMA2 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		5,800	5,800	5,950	5,941		(140)		(140)		5,800			.0	.124	12/01/2039	1.....
36202F E6 9	GNMA2 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		4,976	4,976	5,033	5,034		(58)		(58)		4,976			.0	.107	03/01/2040	1.....
36202F EH 5	GNMA2 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		98,350	98,350	99,114	99,111		(761)		(761)		98,350			.0	2.102	02/01/2040	1.....
36202F GW 0	GNMA2 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		10,188	10,188	10,434	10,442		(254)		(254)		10,188			.0	.218	06/01/2040	1.....
36202F KN 5	GNMA2 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		230,745	230,745	244,338	245,113		(14,368)		(14,368)		230,745			.0	4.951	09/01/2040	1.....
36202F LP 9	GNMA2 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		4,104	4,104	4,327	4,342		(238)		(238)		4,104			.0	.88	10/01/2040	1.....
38380J DW 5	GNR_17-168 IS.....		06/16/2020	PREPAYMENT PENALTY.....		23,363							0					.0	23.363	12/01/2059	1.....
38380J FE 3	GNR_17-169 IS.....		06/16/2020	PREPAYMENT PENALTY.....		15,730							0					.0	15.730	01/01/2060	1.....
38380M E9 8	GNR_19-8 IS.....		06/16/2020	PREPAYMENT PENALTY.....		48,126							0					.0	48.126	11/01/2060	1.....
83162C VD 2	SBAP_13-20J.....		04/01/2020	SCHEDULED REDEMPTION.....		23,148	23,148	23,148	23,148				0		23,148			.0	.410	10/01/2033	1FE.....
912810 SV 1	TREASURY BOND.....		06/05/2020	JP MORGAN SECURITIES LLC.....		1,958,789	1,500,000	1,442,586	1,444,074		526		526		1,444,600		514,189	514,189	36,593	08/15/2048	1.....
912810 SL 3	TREASURY BOND.....		04/17/2020	Various.....		43,890,898	37,000,000	40,455,820			(8,189)		(8,189)		40,447,631		3,443,267	3,443,267	132,143	02/15/2050	1.....
912828 7B 0	TREASURY NOTE.....		06/05/2020	JP MORGAN SECURITIES LLC.....		2,903,133	2,700,000	2,682,070	2,683,167		1,066		1,066		2,684,233		218,900	218,900	22,253	06/30/2026	1.....
912828 VA 5	TREASURY NOTE.....		04/30/2020	MATURED.....		220,000	220,000	218,952	219,949		51		51		220,000			.0	1.238	04/30/2020	1.....
912828 Z6 0	TREASURY NOTE.....		06/16/2020	MORGAN STANLEY & CO. LLC.....		2,038,203	2,000,000	1,998,516			273		273		1,998,789		39,414	39,414	10,426	01/31/2022	1.....
25044@ AA 1	DESERT SUNLIGHT FUNDING I-GTD.....		04/07/2020	SCHEDULED REDEMPTION.....		1,721	1,721	1,721	1,721				0		1,721			.0	.30	09/30/2036	1PL.....
0599999	Total - Bonds - U.S. Government.....					51,912,884	44,234,641	47,635,985	5,187,383	0	(31,824)	0	(31,824)	0	47,609,895	0	4,215,770	4,215,770	306,961	XXX	XXX
<b>Bonds - All Other Government</b>																					
12634M AE 0	CNOOC FINANCE 2015 USA LLC.....		06/03/2020	BNP PARIBAS SECURITIES CORP.....		920,400	800,000	796,728	797,178		121		121		797,299		123,101	123,101	20,708	05/02/2028	1FE.....
195325 BQ 7	COLOMBIA (REPUBLIC OF).....	D	06/02/2020	JP MORGAN SECURITIES LLC.....		422,000	400,000	396,800	397,365		250		250		397,615		24,385	24,385	12,356	02/26/2024	2FE.....
195325 BR 5	COLOMBIA (REPUBLIC OF).....	D	06/23/2020	BANC OF AMERICA SECURITIES LLC.....		710,100	600,000	638,891	635,063		(380)		(380)		634,682		75,418	75,418	28,031	02/26/2044	2FE.....
195325 DL 6	COLOMBIA (REPUBLIC OF).....	D	06/02/2020	Various.....		1,260,875	1,200,000	1,193,040	1,193,710		289		289		1,193,999		66,876	66,876	26,178	04/25/2027	2FE.....
219868 CB 0	CORPORACION ANDINA DE FOMENTO.....	D	06/03/2020	CAMBRIDGE INTERNATIONAL SECURITIES.....		715,400	700,000	698,453	698,896		218		218		699,114		16,286	16,286	18,579	02/11/2022	1FE.....
279158 AJ 8	ECOPETROL SA.....	D	06/02/2020	CITIGROUP GLOBAL MARKETS, INC.....		179,554	170,000	154,700	155,298		98		98		155,396		24,158	24,158	5,160	05/28/2045	2FE.....
445545 AH 9	HUNGARY (REPUBLIC OF).....	D	06/01/2020	CITIGROUP GLOBAL MARKETS, INC.....		1,968,750	1,800,000	1,946,020	1,889,687		(11,491)		(11,491)		1,878,196		90,554	90,554	75,788	02/21/2023	2FE.....
91086Q BB 3	MEXICO (UNITED MEXICAN STATES).....	D	06/15/2020	Various.....		928,745	968,000	947,938	948,507		128		128		948,635		(19,890)	(19,890)	31,586	03/08/2044	2FE.....
91087B AC 4	MEXICO (UNITED MEXICAN STATES) (GO).....	D	05/29/2020	Various.....		1,023,300	1,000,000	1,006,300	1,005,295		(330)		(330)		1,004,965		18,335	18,335	25,315	03/28/2027	2FE.....
91087B AE 0	MEXICO (UNITED MEXICAN STATES) (GO).....	D	06/15/2020	Various.....		415,422	400,000	402,728	188,376		(45)		(45)		404,060		11,362	11,362	9,958	01/11/2028	2FE.....

**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

QE05.2

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
698299 AW 4	PANAMA (REPUBLIC OF).....	D	05/29/2020.	MARKET AXESS TRADING PLATFORM		278,042	200,000	256,000	249,939			(994)	(994)		248,945		29,097	29,097	11,390	01/26/2036.	2FE.....
698299 BD 5	PANAMA (REPUBLIC OF).....	D	06/18/2020.	MARKET AXESS TRADING PLATFORM		652,476	600,000	614,800	607,827			(731)	(731)		607,096		45,380	45,380	18,000	09/22/2024.	2FE.....
698299 BE 3	PANAMA (REPUBLIC OF).....	D	06/18/2020.	JP MORGAN SECURITIES LLC.....		1,300,800	1,200,000	1,186,500	1,192,105			656	656		1,192,761		108,039	108,039	34,500	03/16/2025.	2FE.....
698299 BK 9	PANAMA REPUBLIC OF (GOVERNMENT)	D	06/01/2020.	JP MORGAN SECURITIES LLC.....		2,113,000	2,000,000	2,031,900	2,031,809			(1,164)	(1,164)		2,030,645		82,355	82,355	54,422	01/23/2030.	2FE.....
715638 BM 3	PERU (THE REPUBLIC OF).....	D	06/03/2020.	CITIGROUP GLOBAL MARKETS, INC		695,200	440,000	495,146	492,082			(316)	(316)		491,766		203,434	203,434	13,544	11/18/2050.	2FE.....
760942 BA 9	URUGUAY (ORIENTAL REPUBLIC OF)....	D	05/29/2020.	CITIGROUP GLOBAL MARKETS, INC		220,500	180,000	182,700	182,540			(15)	(15)		182,525		37,975	37,975	4,182	06/18/2050.	2FE.....
917288 BK 7	URUGUAY (ORIENTAL REPUBLIC OF)....	D	06/01/2020.	STANDARD CHARTERED SECURITIES (NORT		228,000	200,000	203,750	203,523			(121)	(121)		203,402		24,598	24,598	7,535	01/23/2031.	2FE.....
917288 BA 9	URUGUAY (THE REPUBLIC OF).....	D	06/04/2020.	BNP PARIBAS SECURITIES CORP		486,750	330,000	424,050	412,094			(1,938)	(1,938)		410,156		76,594	76,594	23,317	01/15/2033.	2FE.....
P14517 AA 7	BANCO NACIONAL DE COMERCIO EXTERIO	D	06/03/2020.	STANDARD CHARTERED SECURITIES (NORT		610,500	600,000	588,250	589,941			642	642		590,582		19,918	19,918	21,219	10/14/2025.	2FE.....
09681M AK 4	BOC AVIATION LTD.....	D	06/30/2020.	CAMBRIDGE INTERNATIONAL SECURITIES		198,090	200,000	202,756				(90)	(90)		202,666		(4,576)	(4,576)	4,850	09/11/2029.	1FE.....
P3143N AW 4	CORPORACION NACIONAL DEL COBRE DE	D	06/08/2020.	CAMBRIDGE INTERNATIONAL SECURITIES		1,994,100	1,800,000	1,899,636	1,870,733			(4,949)	(4,949)		1,865,784		128,316	128,316	59,325	09/16/2025.	1FE.....
V73789 AD 7	COTE D IVOIRE (REPUBLIC OF).....	D	06/02/2020.	BANC OF AMERICA SECURITIES LLC		594,000	600,000	605,700	605,030			(242)	(242)		604,789		(10,789)	(10,789)	28,794	03/03/2028.	4FE.....
P37466 AJ 1	EMPRESA DE TRANSPORTE DE PASAJEROS	D	05/07/2020.	TENDER TRANSACTION.....		215,750	200,000	212,400	210,744			(864)	(864)		209,879		5,871	5,871	7,204	02/04/2024.	1FE.....
P37110 AG 1	EMPRESA NACIONAL DEL PETROLEO ENAP	D	05/29/2020.	HSBC SECURITIES (USA) INC.....		411,400	400,000	432,350	412,495			(2,651)	(2,651)		409,844		1,556	1,556	9,289	12/06/2021.	2FE.....
Y2387E AD 3	EXPORT IMPORT BANK OF MALAYSIA BHD	D	06/05/2020.	CAMBRIDGE INTERNATIONAL SECURITIES		1,214,184	1,200,000	1,167,252	1,177,671			5,307	5,307		1,182,978		31,206	31,206	18,931	10/20/2021.	1FE.....
Y23874 GR 8	EXPORT-IMPORT BANK OF INDIA.....	D	04/16/2020.	CAMBRIDGE INTERNATIONAL SECURITIES		402,824	400,000	399,692	404,337			(335)	(335)		399,698		3,126	3,126	12,267	01/14/2023.	2FE.....
Y20721 BM 0	INDONESIA (REPUBLIC OF).....	D	06/03/2020.	HSBC SECURITIES (USA) INC.....		488,200	400,000	418,500	417,867			(161)	(161)		417,707		70,493	70,493	18,222	01/15/2045.	2FE.....
Y20721 BS 7	INDONESIA (REPUBLIC OF).....	D	06/02/2020.	Various.....		2,045,620	2,000,000	2,003,550	2,001,952			(370)	(370)		2,001,582		44,038	44,038	64,914	01/08/2022.	2FE.....
Y7276L DE 5	KAZAKHSTAN (REPUBLIC OF).....	D	06/03/2020.	CREDIT SUISSE SECURITIES (USA) LLC		938,080	800,000	796,500	797,803			146	146		797,949		140,131	140,131	35,761	07/21/2025.	2FE.....
Y7276L DF 2	KAZAKHSTAN (REPUBLIC OF).....	D	06/02/2020.	CITIGROUP GLOBAL MARKETS, INC		884,940	600,000	589,400	589,957			65	65		590,023		294,917	294,917	33,908	07/21/2045.	2FE.....
M6320U AT 6	SAUDI ARABIA (KINGDOM OF).....	D	06/04/2020.	MARKET AXESS TRADING PLATFORM		684,816	600,000	652,900	650,709			(2,052)	(2,052)		648,657		36,159	36,159	16,917	04/16/2029.	1FE.....
29135L AA 8	ABU DHABI (EMIRATE OF).....	D	06/02/2020.	BNP PARIBAS SECURITIES CORP		430,000	400,000	416,100	415,283			(759)	(759)		414,524		15,476	15,476	8,090	10/11/2027.	1FE.....
09076A AA 5	BIOCEANICO SOVEREIGN CERTIFICATE.	D	06/05/2020.	SCHEDULED REDEMPTION.....		8,036	8,036	5,456	5,558			2,478	2,478		8,036			0		06/05/2034.	3FE.....
25714P DT 2	DOMINICAN REPUBLIC (GOVERNMENT)	D	05/20/2020.	HSBC SECURITIES (USA) INC.....		194,500	200,000	200,000	200,000				0		200,000		(5,500)	(5,500)	9,818	01/25/2027.	3FE.....
25714P DZ 8	DOMINICAN REPUBLIC (GOVERNMENT)	D	05/20/2020.	CITIGROUP GLOBAL MARKETS, INC		144,975	150,000	150,000	150,000				0		150,000		(5,025)	(5,025)	7,575	07/19/2028.	3FE.....

**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
30216J AA 3	EXPORT-IMPORT BANK OF INDIA.....	D	04/13/2020.	CAMBRIDGE INTERNATIONAL SECURITIES		764,464	800,000	759,815	794,266				404		760,080		4,384	4,384	18,750	08/05/2026.	2FE.....
455780 BK 1	INDONESIA (REPUBLIC OF).....	D	06/02/2020.	BNP PARIBAS SECURITIES CORP		1,935,450	1,870,000	1,809,825	1,849,479				3,607		1,853,086		82,364	82,364	42,659	04/25/2022.	2FE.....
486661 AF 8	KAZAKHSTAN (REPUBLIC OF).....	D	06/02/2020.	CITIGROUP GLOBAL MARKETS, INC		255,380	200,000	193,900	194,417				49		194,465		60,915	60,915	6,229	10/14/2044.	2FE.....
48667Q AS 4	KAZMUNAYGAS NATIONAL CO JSC.....	D	06/04/2020.	CREDIT SUISSE SECURITIES (USA) LLC		511,200	400,000	414,000	413,765				(78)		413,686		97,514	97,514	15,867	10/24/2048.	2FE.....
501499 AB 3	KUWAIT STATE OF (GOVERNMENT).....	D	06/01/2020.	JP MORGAN SECURITIES LLC.....		220,000	200,000	198,052	198,526				76		198,602		21,398	21,398	4,919	03/20/2027.	1FE.....
69370P AC 5	PERTAMINA PERSERO PT.....	D	06/04/2020.	NOMURA SECURITIES INTERNATIONAL INC		252,500	200,000	196,122	196,172				20		196,191		56,309	56,309	7,619	11/07/2048.	2FE.....
80413T AL 3	SAUDI ARABIA (KINGDOM OF).....	D	06/03/2020.	Various.....		963,250	800,000	845,350	844,853				(256)		844,597		118,653	118,653	35,904	01/16/2050.	1FE.....
91822Q 2B 2	UZBEKISTAN (REPUBLIC OF).....	D	05/29/2020.	JP MORGAN SECURITIES LLC.....		632,100	600,000	601,200	601,035				(97)		600,939		31,161	31,161	22,325	02/20/2024.	3FE.....
1099999.	Total - Bonds - All Other Government.....					31,513,673	28,816,036	29,335,148	28,873,885	0			(15,874)		29,237,601	0	2,276,072	2,276,072	931,905	XXX	XXX
<b>Bonds - U.S. Political Subdivisions of States</b>																					
736688 FN 7	PORTLAND ORE CMNTY COLLEGE.....		06/01/2020.	CALL TRANSACTION.....		325,000	325,000	325,000	325,000				0		325,000		0	0	7,816	06/01/2027.	1FE.....
2499999.	Total - Bonds - U.S. Political Subdivisions of States.....					325,000	325,000	325,000	325,000	0			0		325,000	0	0	0	7,816	XXX	XXX
<b>Bonds - U.S. Special Revenue and Special Assessment</b>																					
130911 XH 8	CALIFORNIA STWIDE TXBL PENS AMBAC		06/01/2020.	CALL TRANSACTION.....		1,305,000	1,305,000	1,305,000	1,305,000				0		1,305,000		0	0	38,471	06/01/2024.	1FE.....
31288F 6X 7	FGOLD 30YR.....		06/01/2020.	SCHEDULED REDEMPTION.....		35	35	36	36				(1)		35		0	0	1	03/01/2033.	1.....
3128KR WQ 3	FGOLD 30YR.....		06/01/2020.	SCHEDULED REDEMPTION.....		15,320	15,320	16,160	16,418				(1,099)		15,320		0	0	444	10/01/2036.	1.....
31292G Y5 9	FGOLD 30YR.....		06/01/2020.	SCHEDULED REDEMPTION.....		105	105	107	107				(2)		105		0	0	4	03/01/2029.	1.....
31292H 4H 4	FGOLD 30YR.....		06/01/2020.	SCHEDULED REDEMPTION.....		15,395	15,395	15,174	15,221				174		15,395		0	0	363	12/01/2033.	1.....
31292H SQ 8	FGOLD 30YR.....		06/01/2020.	SCHEDULED REDEMPTION.....		826	826	854	857				(30)		826		0	0	23	11/01/2032.	1.....
31296J TJ 5	FGOLD 30YR.....		06/01/2020.	SCHEDULED REDEMPTION.....		5,008	5,008	5,046	5,042				(33)		5,008		0	0	130	06/01/2033.	1.....
31296M 2N 8	FGOLD 30YR.....		06/01/2020.	SCHEDULED REDEMPTION.....		7,927	7,927	8,323	8,320				(393)		7,927		0	0	210	09/01/2033.	1.....
31296P TL 6	FGOLD 30YR.....		06/01/2020.	SCHEDULED REDEMPTION.....		4,467	4,467	4,499	4,495				(28)		4,467		0	0	115	10/01/2033.	1.....
31296S AC 0	FGOLD 30YR.....		06/01/2020.	SCHEDULED REDEMPTION.....		1,908	1,908	1,972	1,978				(70)		1,908		0	0	48	01/01/2034.	1.....
31296U EU 1	FGOLD 30YR.....		06/01/2020.	SCHEDULED REDEMPTION.....		185	185	191	193				(8)		185		0	0	5	03/01/2034.	1.....
31297A 3S 1	FGOLD 30YR.....		06/01/2020.	SCHEDULED REDEMPTION.....		9,222	9,222	8,825	8,954				268		9,222		0	0	216	06/01/2034.	1.....
31297A 3T 9	FGOLD 30YR.....		06/01/2020.	SCHEDULED REDEMPTION.....		5,045	5,045	4,828	4,878				167		5,045		0	0	119	06/01/2034.	1.....
31297A 5J 9	FGOLD 30YR.....		06/01/2020.	SCHEDULED REDEMPTION.....		8,630	8,630	8,259	8,381				249		8,630		0	0	204	06/01/2034.	1.....
31297A 5K 6	FGOLD 30YR.....		06/01/2020.	SCHEDULED REDEMPTION.....		9,285	9,285	8,886	9,008				277		9,285		0	0	218	06/01/2034.	1.....
31297B AM 4	FGOLD 30YR.....		06/01/2020.	SCHEDULED REDEMPTION.....		3,770	3,770	3,608	3,661				110		3,770		0	0	88	06/01/2034.	1.....
31298F JL 7	FGOLD 30YR.....		06/01/2020.	SCHEDULED REDEMPTION.....		74	74	74	74				0		74		0	0	2	01/01/2031.	1.....
3128L0 YL 0	FGOLD 30YR ALT-A.....		06/01/2020.	SCHEDULED REDEMPTION.....		58	58	61	61				(2)		58		0	0	2	11/01/2037.	1.....
31283H QX 6	FGOLD 30YR GIANT.....		06/01/2020.	SCHEDULED REDEMPTION.....		275	275	284	285				(11)		275		0	0	8	03/01/2032.	1.....
31283H UA 1	FGOLD 30YR GIANT.....		06/01/2020.	SCHEDULED REDEMPTION.....		556	556	574	577				(21)		556		0	0	16	12/01/2032.	1.....
31283H XH 3	FGOLD 30YR GIANT.....		06/01/2020.	SCHEDULED REDEMPTION.....		643	643	665	668				(25)		643		0	0	19	06/01/2033.	1.....
31283H Y5 8	FGOLD 30YR GIANT.....		06/01/2020.	SCHEDULED REDEMPTION.....		2,577	2,577	2,714	2,706				(129)		2,577		0	0	79	12/01/2033.	1.....
3128M5 LF 5	FGOLD 30YR GIANT.....		06/01/2020.	SCHEDULED REDEMPTION.....		4,028	4,028	4,396	4,605				(577)		4,028		0	0	129	12/01/2037.	1.....
3128M7 BX 3	FGOLD 30YR GIANT.....		06/01/2020.	SCHEDULED REDEMPTION.....		29,768	29,768	31,344	32,084				(2,317)		29,768		0	0	843	12/01/2038.	1.....

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**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
31390K WQ 7 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	.789	.789	.817	.839		(50)	(50)	.789							.0	.24	08/01/2032	1.....	
31390P GK 7 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	4,700	4,700	4,914	5,155		(455)	(455)	4,700							.0	.150	08/01/2032	1.....	
31391U J2 2 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	.305	.305	.319	.329		(25)	(25)	.305							.0	.09	01/01/2033	1.....	
31391W 5H 0 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	3,471	3,471	3,574	3,601		(131)	(131)	3,471							.0	.81	04/01/2033	1.....	
31400J PF 0 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	.80	.80	.83	.84		(4)	(4)	.80							.0	.02	05/01/2033	1.....	
31400J SJ 9 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	.159	.159	.164	.166		(6)	(6)	.159							.0	.04	02/01/2033	1.....	
31400Q TN 3 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	.257	.257	.259	.259		(2)	(2)	.257							.0	.7	04/01/2033	1.....	
31400R NT 4 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	.470	.470	.499	.540		(70)	(70)	.470							.0	.16	02/01/2033	1.....	
31400T B2 2 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	.139	.139	.140	.139		(1)	(1)	.139							.0	.4	05/01/2033	1.....	
31401B NS 0 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	1,975	1,975	1,991	1,990		(15)	(15)	1,975							.0	.48	04/01/2033	1.....	
31401N 4U 0 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	1,166	1,166	1,210	1,236		(70)	(70)	1,166							.0	.28	09/01/2033	1.....	
31402C PL 0 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	46,612	46,612	49,061	50,075		(3,464)	(3,464)	46,612							.0	.979	11/01/2033	1.....	
31402C U6 7 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	.425	.425	.438	.441		(16)	(16)	.425							.0	.10	03/01/2034	1.....	
31402E AQ 1 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	.182	.182	.187	.190		(8)	(8)	.182							.0	.4	07/01/2033	1.....	
31402K CE 2 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	1,130	1,130	1,164	1,170		(40)	(40)	1,130							.0	.28	08/01/2033	1.....	
31403F JW 5 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	5,241	5,241	5,284	5,271		(30)	(30)	5,241							.0	.136	10/01/2033	1.....	
31404M 6Q 6 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	3,690	3,690	3,617	3,620		.69	.69	3,690							.0	.101	06/01/2034	1.....	
31405A TY 9 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	.906	.906	.888	.890		.16	.16	.906							.0	.23	06/01/2034	1.....	
31405D D4 6 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	.98	.98	.96	.96		.2	.2	.98							.0	.3	07/01/2034	1.....	
31408E G5 5 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	.654	.654	.633	.629		.25	.25	.654							.0	.17	01/01/2036	1.....	
31410F Z9 9 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	16,800	16,800	18,538	19,736		(2,936)	(2,936)	16,800							.0	.476	03/01/2037	1.....	
31412N SL 1 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	.812	.812	.856	.883		(71)	(71)	.812							.0	.23	12/01/2038	1.....	
31413U TQ 2 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	37,075	37,075	39,079	39,460		(2,386)	(2,386)	37,075							.0	.997	12/01/2037	1.....	
31416B VH 8 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	1,834	1,834	1,889	1,913		(79)	(79)	1,834							.0	.44	12/01/2034	1.....	
31418M A2 8 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	2,209	2,209	2,303	2,340		(132)	(132)	2,209							.0	.57	08/01/2037	1.....	
3138ES AV 0 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	24,537	24,537	24,623	24,618		(81)	(81)	24,537							.0	.362	02/01/2047	1.....	
3138W5 KA 5 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	1,179	1,179	1,183	1,182		(3)	(3)	1,179							.0	.17	03/01/2043	1.....	
3138W6 GB 6 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	2,123	2,123	2,128	2,127		(5)	(5)	2,123							.0	.31	05/01/2043	1.....	
3138WJ AU 2 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	3,957	3,957	3,972	3,971		(14)	(14)	3,957							.0	.57	10/01/2046	1.....	
3138WJ UL 0 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	31,658	31,658	31,777	31,767		(109)	(109)	31,658							.0	.435	01/01/2047	1.....	
3138WM XK 2 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	2,675	2,675	2,682	2,681		(6)	(6)	2,675							.0	.38	03/01/2043	1.....	
3138WP G2 4 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	11,170	11,170	11,199	11,197		(27)	(27)	11,170							.0	.162	04/01/2043	1.....	
3138WQ A2 8 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	1,887	1,887	1,890	1,890		(3)	(3)	1,887							.0	.27	05/01/2043	1.....	
3138WQ AY 8 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	5,360	5,360	5,371	5,371		(11)	(11)	5,360							.0	.77	05/01/2043	1.....	
3138WT RV 0 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	1,957	1,957	1,961	1,961		(4)	(4)	1,957							.0	.28	06/01/2043	1.....	
3138WT US 3 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	3,003	3,003	3,011	3,010		(7)	(7)	3,003							.0	.44	04/01/2043	1.....	
3138X1 UK 0 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	29,801	29,801	31,580	31,160		(1,359)	(1,359)	29,801							.0	.590	08/01/2043	1.....	
3138X2 RR 7 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	3,311	3,311	3,318	3,317		(6)	(6)	3,311							.0	.46	08/01/2043	1.....	
3138X2 YC 2 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	2,274	2,274	2,279	2,278		(4)	(4)	2,274							.0	.32	07/01/2043	1.....	
3138X3 2Q 4 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	31,269	31,269	33,018	32,737		(1,468)	(1,468)	31,269							.0	.620	10/01/2043	1.....	
3138X6 Y5 8 FNMA 30YR.....	06/01/2020	SCHEDULED REDEMPTION.....	381,941	381,941	397,218	395,638		(13,697)	(13,697)	381,941							.0	.7,263	11/01/2043	1.....	

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### SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
3138X9	A8 2 FNMA 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		34,094	34,094	35,442	35,249		(1,155)		(1,155)		34,094			.0	.648	10/01/2043	1.....
3138XF	C4 5 FNMA 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		21,629	21,629	22,488	22,488		(859)		(859)		21,629			.0	.429	04/01/2044	1.....
31402R	UN 7 FNMA 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		1,003	1,003	1,046	1,066		(63)		(63)		1,003			.0	.26	02/01/2035	1.....
3140F9	VX 0 FNMA 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		12,122	12,122	12,086	12,089		33		33		12,122			.0	.177	01/01/2047	1.....
3140FN	AS 3 FNMA 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		334,336	334,336	343,948	344,213		(9,877)		(9,877)		334,336			.0	5.638	07/01/2047	1.....
3140GX	BT 7 FNMA 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		266,503	266,503	274,061	273,236		(6,734)		(6,734)		266,503			.0	4.645	11/01/2047	1.....
31410L	VC 3 FNMA 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		312,365	312,365	314,170	314,048		(1,683)		(1,683)		312,365			.0	4.592	01/01/2047	1.....
31417E	ZA 2 FNMA 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		.625	.625	.627	.626		(1)		(1)		.625			.0	.9	02/01/2043	1.....
31417F	VB 1 FNMA 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		27,152	27,152	27,818	27,775		(623)		(623)		27,152			.0	.376	03/01/2043	1.....
31417G	TQ 9 FNMA 30YR.....		06/01/2020	SCHEDULED REDEMPTION.....		2,286	2,286	2,291	2,290		(5)		(5)		2,286			.0	.32	06/01/2043	1.....
31410G	NB 5 FNMA 30YR 10/20 INT FIRST.....		06/01/2020	SCHEDULED REDEMPTION.....		6,242	6,242	6,240	6,240		.2		.2		6,242			.0	.180	10/01/2037	1.....
3140K4	UB 4 FNMA 30YR UMBS.....		06/01/2020	SCHEDULED REDEMPTION.....		34,752	34,752	36,718			(1,966)		(1,966)		34,752			.0	.87	03/01/2050	1.....
3140KC	WV 0 FNMA 30YR UMBS.....		06/01/2020	SCHEDULED REDEMPTION.....		8,042	8,042	8,386			(344)		(344)		8,042			.0	.17	04/01/2050	1.....
31359S	J3 5 FNMA 01-19.....		06/01/2020	SCHEDULED REDEMPTION.....		5,809	5,809	6,095	6,007		(198)		(198)		5,809			.0	.178	05/01/2031	1.....
31359S	JT 8 FNMA 01-5.....		06/01/2020	SCHEDULED REDEMPTION.....		1,653	1,653	1,672	1,667		(15)		(15)		1,653			.0	.55	03/01/2031	1.....
31392C	KP 8 FNMA 02-15.....		06/25/2020	SCHEDULED REDEMPTION.....		9,686	9,686	9,762	9,686		.0		.0		9,686			.0	.82	04/25/2032	1.....
31392F	P9 2 FNMA 02-82.....		06/25/2020	SCHEDULED REDEMPTION.....		7,496	7,496	7,498	7,496		.0		.0		7,496			.0	.59	12/25/2032	1.....
31394A	EJ 8 FNMA 04-69.....		06/01/2020	SCHEDULED REDEMPTION.....		12,979	12,979	13,542	13,421		(442)		(442)		12,979			.0	.400	05/01/2033	1.....
31396X	Q2 6 FNMA 07-89.....		06/25/2020	SCHEDULED REDEMPTION.....		12,252	12,252	12,185	12,198		.54		.54		12,252			.0	.101	09/25/2037	1.....
31397L	TB 5 FNMA 08-49.....		06/01/2020	SCHEDULED REDEMPTION.....		12,537	12,537	13,151	13,279		(742)		(742)		12,537			.0	.308	04/01/2038	1.....
626207	YM 0 MUNICIPAL ELEC AUTH GA - BABS.....		04/01/2020	CALL TRANSACTION.....		2,000	2,000	2,289	2,288		(1)		(1)		2,287		(287)	(287)	.67	04/01/2057	1FE.....
3137G0	AL 3 STACR_14-DN1.....		04/09/2020	AMHERST PIERPONT SECURITIES LLC		352,230	355,228	353,913	354,519		.46		.46		354,565		(2,335)	(2,335)	3.959	02/25/2024	1.....
3137G0	FT 1 STACR_15-DNA2.....		04/27/2020	SCHEDULED REDEMPTION.....		9,680	9,680	9,601	9,623		.57		.57		9,680			.0	.38	12/25/2027	1.....
3137G0	GT 0 STACR_15-DNA3.....		06/25/2020	SCHEDULED REDEMPTION.....		198,193	198,193	198,163	198,171		.22		.22		198,193			.0	3.842	04/25/2028	1.....
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments.....					5,933,103	5,763,735	5,868,621	5,752,549		.0		(103,717)		5,763,360		(2,622)	(2,622)	291.287	XXX	XXX
<b>Bonds - Industrial and Miscellaneous</b>																					
04542B	LY 6 ABFC_05-WF1.....		06/25/2020	SCHEDULED REDEMPTION.....		49,549	49,549	46,391	48,191		1,359		1,359		49,549			.0	.405	11/25/2034	1FM.....
04541G	LJ 9 ABSHE_04-HE6.....		06/25/2020	SCHEDULED REDEMPTION.....		153,729	153,729	152,552	152,860		.869		.869		153,729			.0	1.563	09/25/2034	1FM.....
04541G	TM 4 ABSHE_05-HE6.....		06/25/2020	SCHEDULED REDEMPTION.....		18,015	18,015	17,420	17,959		.56		.56		18,015			.0	.170	07/25/2035	1FM.....
004421	MF 7 ACE_05-HE2.....		06/25/2020	SCHEDULED REDEMPTION.....		18,586	18,586	17,982	18,388		.197		.197		18,586			.0	.187	04/25/2035	1FM.....
36186C	BY 8 ALLY FINANCIAL INC.....		04/06/2020	CITIGROUP GLOBAL MARKETS, INC		1,101,790	956,000	1,124,863	1,116,479		(2,537)		(2,537)		1,113,942		(12,152)	(12,152)	33.354	11/01/2031	2FE.....
02004W	AB 7 ALLYA_19-1.....		06/15/2020	SCHEDULED REDEMPTION.....		10,273,959	10,273,959	10,254,695			19,264		19,264		10,273,959			.0	64.349	03/15/2022	1FE.....
03072S	XD 2 AMSI_04-R12.....		06/25/2020	SCHEDULED REDEMPTION.....		6,156	6,156	5,944	6,043		.113		.113		6,156			.0	.59	01/25/2035	1FM.....
03072S	QC 2 AMSI_04-R3.....		06/25/2020	SCHEDULED REDEMPTION.....		17,609	17,609	16,861	17,091		.518		.518		17,609			.0	.165	05/25/2034	1FM.....
03072S	RX 5 AMSI_04-R5.....		06/25/2020	SCHEDULED REDEMPTION.....		24,236	24,236	21,558	22,736		1,501		1,501		24,236			.0	.234	07/25/2034	1FM.....
03072S	SM 8 AMSI_04-R6.....		06/25/2020	SCHEDULED REDEMPTION.....		33,472	33,472	33,723	33,513		(41)		(41)		33,472			.0	.520	07/25/2034	1FM.....
03072S	ZY 4 AMSI_05-R3.....		06/25/2020	SCHEDULED REDEMPTION.....		898,036	898,036	774,556	890,505		7,532		7,532		898,036			.0	5.896	05/25/2035	1FM.....
02582J	HE 3 AMXCA_17-3.....		04/15/2020	SCHEDULED REDEMPTION.....		18,429,000	18,429,000	18,398,765			30,235		30,235		18,429,000			.0	27.183	11/15/2022	1FE.....
039483	BB 7 ARCHER DANIELS MIDLAND COMPANY		06/30/2020	CALL TRANSACTION.....		2,137,720	2,080,000	2,095,163	2,082,140		(900)		(900)		2,081,240		(1,240)	(1,240)	135.097	03/01/2021	1FE.....
06050T	ML 3 BANK OF AMERICA NA.....		05/26/2020	CALL TRANSACTION.....		3,750,000	3,750,000	3,750,000	3,750,000		.0		.0		3,750,000			.0	40.475	05/24/2021	1FE.....

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# TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

## SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
594918 AX 2	MICROSOFT CORPORATION.....		06/01/2020.	HIMCO OPERATIONAL TRANSACTION		13,423,418	9,300,000	9,317,206	9,316,517						9,316,353		4,107,065	4,107,065	209,056	12/15/2043.	1FE.....
65479G AC 3	NAROT_18-B.....		06/15/2020.	SCHEDULED REDEMPTION.....		211,194	211,194	211,194	211,194			(164)			211,194				897	07/15/2021.	1FE.....
64352V LL 3	NCHET_05-3.....		06/25/2020.	SCHEDULED REDEMPTION.....		302,526	302,526	272,254	293,594		8,932		8,932		302,526				2,810	07/25/2035.	1FM.....
65504L AG 2	NOBLE HOLDING INTERNATIONAL LTD. D		04/30/2020.	OUTSIDE MANAGED ACCT.....		18,425	1,680,000	1,178,579	1,666,789		(1,588)		(1,588)		1,176,914		(1,158,489)	(1,158,489)	68,573	03/01/2041.	5FE.....
655855 FA 7	NORFOLK SOUTHERN RAILWAY CO.....		06/15/2020.	MATURED.....		2,300,000	2,300,000	3,315,036	2,343,828		(43,828)		(43,828)		2,300,000				112,125	06/15/2020.	2FE.....
68389F JY 1	OOMLT_05-5.....		06/25/2020.	SCHEDULED REDEMPTION.....		116,709	116,709	101,756	111,261		5,448		5,448		116,709				966	12/25/2035.	1FM.....
694308 GK 7	PACIFIC GAS & ELECTRIC CO.....		06/29/2020.	EXCHANGE.....		10,604,844	10,706,000	10,596,931	10,603,127		1,717		1,717		10,604,844				514,007	03/01/2037.	6FE.....
70069F FL 6	PPSI_05-WCH1.....		06/25/2020.	SCHEDULED REDEMPTION.....		38,101	38,101	37,982	38,036		65		65		38,101				455	01/25/2036.	1FM.....
76112B VS 6	RAMP_05-EFC2.....		05/26/2020.	SCHEDULED REDEMPTION.....		459,204	459,204	433,374	455,769		3,435		3,435		459,204				2,668	07/25/2035.	1FM.....
76112B Z3 7	RAMP_06-RZ1.....		06/25/2020.	SCHEDULED REDEMPTION.....		123,155	123,155	119,230	121,909		1,246		1,246		123,155				1,070	03/25/2036.	1FM.....
76113A AG 6	RASC_06-KS1.....		06/25/2020.	SCHEDULED REDEMPTION.....		29,916	29,916	26,775	29,095		821		821		29,916				350	02/25/2036.	1FM.....
75406W AD 3	RASC_06-KS6.....		06/25/2020.	SCHEDULED REDEMPTION.....		46,907	46,907	41,542	44,220		2,687		2,687		46,907				319	08/25/2036.	1FM.....
80007R AE 5	SANDS CHINA LTD.....	D	06/04/2020.	HSBC SECURITIES (USA) INC.....		216,890	200,000	231,826			(944)		(944)		230,882		(13,992)	(13,992)	3,600	08/08/2028.	2FE.....
80282K AZ 9	SANTANDER HOLDINGS USA INC.....		06/24/2020.	JANE STREET EXECUTION SERVICES LLC		1,039,190	1,000,000	998,759			63		63		998,822		40,368	40,368	23,609	10/05/2026.	2FE.....
84265V AE 5	SOUTHERN COPPER CORPORATION.....		06/05/2020.	BANC OF AMERICA SECURITIES LLC		311,728	235,000	253,542	251,832		(188)		(188)		251,644		60,084	60,084	10,267	04/16/2040.	2FE.....
84751P ET 2	SURF_05-BC1.....		06/25/2020.	SCHEDULED REDEMPTION.....		37,240	37,240	37,240	37,240						37,240				495	12/25/2035.	1FM.....
89238T AC 7	TAOT_18-B.....		04/15/2020.	SCHEDULED REDEMPTION.....		82,589	82,589	82,589	82,589						82,589				127	03/15/2021.	1FE.....
89231A AB 7	TAOT_18-C.....		06/15/2020.	SCHEDULED REDEMPTION.....		5,100,326	5,100,326	5,088,372			11,954		11,954		5,100,326				31,088	08/16/2021.	1FE.....
87612B BE 1	TARGA RESOURCES PARTNERS LP.....		06/09/2020.	CITIGROUP GLOBAL MARKETS, INC		116,438	115,000	113,131	113,303		88		88		113,391		3,047	3,047	5,323	02/01/2027.	3FE.....
87612B BB 7	TARGA RESOURCES PARTNERS LP/TARGA		05/08/2020.	CITIGROUP GLOBAL MARKETS, INC		189,500	200,000	199,653	199,424		1		1		199,425		(9,925)	(9,925)	8,001	02/01/2025.	3FE.....
239753 BU 9	TARGET CORP.....		06/16/2020.	MATURED.....		2,000,000	2,000,000	2,464,460	2,019,685		(19,685)		(19,685)		2,000,000				94,019	06/16/2020.	1FE.....
87299A TE 4	T-MOBILE USA INC.....		04/08/2020.	HIMCO OPERATIONAL TRANSACTION			181,000												1,770	04/15/2024.	3FE.....
87299A TL 8	T-MOBILE USA INC.....		04/08/2020.	HIMCO OPERATIONAL TRANSACTION			622,000												10,598	01/15/2026.	3FE.....
87299A TT 1	T-MOBILE USA INC.....		04/08/2020.	HIMCO OPERATIONAL TRANSACTION			679,000												2,794	02/01/2026.	3FE.....
89152U AD 4	TOTAL CAPITAL SA.....	D	06/24/2020.	MATURED.....		1,000,000	1,000,000	1,016,848	1,000,984		(984)		(984)		1,000,000				22,250	06/24/2020.	1FE.....
90932L AA 5	UNITED AIRLINES 2015-1 CLASS AA.....		06/01/2020.	SCHEDULED REDEMPTION.....		9,066	9,066	9,066	9,066						9,066				156	06/01/2029.	1FE.....
92922F 4R 6	WAMU_05-AR13.....		06/25/2020.	SCHEDULED REDEMPTION.....		8,327	8,327	7,734	7,773		555		555		8,327				80	10/25/2045.	1FM.....
92925C BD 3	WAMU_05-AR19.....		06/25/2020.	SCHEDULED REDEMPTION.....		9,025	9,025	7,942	8,020		1,005		1,005		9,025				87	12/25/2045.	1FM.....
94988J 5V 5	WELLS FARGO BANK NA.....		05/21/2020.	CALL TRANSACTION.....		5,000,000	5,000,000	5,000,000	5,000,000						5,000,000				55,015	05/21/2021.	1FE.....
9497EN AE 3	WFHET_05-3.....		06/25/2020.	SCHEDULED REDEMPTION.....		4,297	4,297	3,869	4,171		126		126		4,297				45	11/25/2035.	1FM.....
98161P AD 5	WOART_16-B.....		06/15/2020.	SCHEDULED REDEMPTION.....		1,902,906	1,902,906	1,891,608			11,299		11,299		1,902,906				5,416	02/15/2022.	1FE.....
98162Y AB 9	WOART_19-A.....		06/15/2020.	SCHEDULED REDEMPTION.....		1,374,498	1,374,498	1,368,915			5,584		5,584		1,374,498				9,081	04/15/2022.	1FE.....
98162C AC 5	WOLS_18-B.....		06/15/2020.	SCHEDULED REDEMPTION.....		557,052	557,052	557,052	557,052						557,052				3,616	06/15/2021.	1FE.....
45112F AM 8	ICICI BANK LTD (DUBAI BRANCH).....	D	04/06/2020.	HSBC SECURITIES (USA) INC.....		183,046	200,000	181,195	192,064		249		249		181,233		1,813	1,813	2,407	12/14/2027.	2FE.....

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SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

Table with 22 columns: 1-10 (Identification, Description, Disposal Date, Name of Purchaser, Number of Shares of Stock, Consideration, Par Value, Actual Cost, Prior Year Book/Adjusted Carrying Value), 11-15 (Change in Book/Adjusted Carrying Value: Unrealized Valuation Increase, Current Year's Amortization, Other-Than-Temporary Impairment Recognized, Total Change in B./A.C.V., Total Foreign Exchange Change in B./A.C.V.), 16-22 (Book/Adjusted Carrying Value at Disposal Date, Foreign Exchange Gain (Loss) on Disposal, Realized Gain (Loss) on Disposal, Total Gain (Loss) on Disposal, Bond Interest / Stock Dividends Received During Year, Stated Contractual Maturity Date, NAIC Designation and Administrative Symbol).

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**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
416649 28 3	R4		04/08/2020	DIRECT WITH ISSUER	62.220	1,287	XXX	1,304					0		1,304		(16)	(16)		XXX	
416649 39 0	HARTFORD SMALL COMPANY FUND		03/31/2020	DIRECT WITH ISSUER	13.622	276	XXX	281					0		281		(6)	(6)		XXX	
00141M 59 8	INVESTCO MID CAP		03/31/2020	DIRECT WITH ISSUER	11,337.186	227,561	XXX	222,961					0		222,961		4,600	4,600		XXX	
55273H 64 3	MFS VALUE FUND		03/31/2020	DIRECT WITH ISSUER			XXX						0					0		XXX	
354713 55 4	FRANKLIN STRATEGIC		03/31/2020	DIRECT WITH ISSUER			XXX						0					0		XXX	
416649 34 1	HARTFORD HIGH YIELD FUND - R4		03/31/2020	DIRECT WITH ISSUER	2.572	15	XXX	15					0		15			0		XXX	
416649 25 9	HARTFORD TOTAL RETURN BOND FUND		03/31/2020	DIRECT WITH ISSUER	33.407	359	XXX	359					0		359			0		XXX	
552981 46 6	MFS TOTAL RETURN FUND-R3		03/31/2020	DIRECT WITH ISSUER	41.787	684	XXX	627					0		627		57	57		XXX	
9499999	Total - Common Stocks - Mutual Funds					230,489	XXX	225,845	0	0	0	0	0	0	225,845	0	4,643	4,643	0	XXX	XXX
9799997	Total - Common Stocks - Part 4					4,240,137	XXX	4,197,775	407,100	0	0	0	0	0	4,197,775	0	42,362	42,362	5,588	XXX	XXX
9799999	Total - Common Stocks					4,240,137	XXX	4,197,775	407,100	0	0	0	0	0	4,197,775	0	42,362	42,362	5,588	XXX	XXX
9899999	Total - Preferred and Common Stocks					6,475,137	XXX	7,273,760	2,679,149	0	(37,049)	0	(37,049)	0	6,432,775	0	42,362	42,362	86,199	XXX	XXX
9999999	Total - Bonds, Preferred and Common Stocks					386,894,363	XXX	374,529,472	170,906,274	0	167,578	0	167,578	0	372,605,836	0	13,819,045	13,819,045	6,120,291	XXX	XXX

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**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule / Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate of Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Undiscounted Premium (Received) Paid	Current Year Initial Cost of Undiscounted Premium (Received) Paid	Current Year Income	Book/Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization) / Accretion	Adjustment to Carrying Value of Hedged Items	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Year-end (b)
<b>Purchased Options - Hedging Other - Call Options and Warrants</b>																						
CMS IDX CALL @ 50BP 03/19/21.	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	CITIBANK, N.A.... E57ODZWZ7FF32TWEFA76...	03/13/2019...	03/19/2021.....	.....	1,000,000,000	0.50.....	.....580,000	.....	.....	.....241,000	.....	.....241,000	.....93,000	.....	.....	.....	.....	.....	2.....
CMS IDX CALL @ 50BP 06/18/21..	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	JP MORGAN CHASE BANK 7H6GLXDRUGQFU57RNE97.	05/15/2019...	06/18/2021.....	.....	1,000,000,000	0.50.....	.....740,000	.....	.....	.....387,000	.....	.....387,000	.....163,000	.....	.....	.....	.....	.....	2.....
NDX IDX CALL @ 11000 06/30/23..	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	BANK OF AMERICA, NA B4TYDEB6GKMZO031MB27..	04/17/2020...	06/30/2023.....	.....	23,157,623	11,000.00.....	.....	.....946,200	.....	.....2,386,964	.....	.....2,386,964	.....1,440,764	.....	.....	.....	.....	.....	2.....
NDX IDX CALL @ 11280 06/30/22..	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	BANK OF AMERICA, NA B4TYDEB6GKMZO031MB27..	05/19/2020...	06/30/2022.....	.....	27,362,559	11,280.00.....	.....	.....995,837	.....	.....1,831,723	.....	.....1,831,723	.....835,886	.....	.....	.....	.....	.....	2.....
MXEA IDX CALL @ 2040 06/30/22.	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	CREDIT SUISSE FB INT E58DKGMJYYYJLN8C3868....	05/27/2020...	06/30/2022.....	.....	19,443,890	2,040.00.....	.....	.....333,824	.....	.....509,914	.....	.....509,914	.....176,090	.....	.....	.....	.....	.....	2.....
S&P IDX CALL @ 3875 - PREMIUM PAYABLE 06/30/23	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	BANK OF AMERICA, NA B4TYDEB6GKMZO031MB27..	06/04/2020...	06/30/2023.....	.....	93,008,700	3,875.00.....	.....	.....	.....	.....905,096	.....	.....905,096	.....905,096	.....	.....	.....	.....	.....	2.....
MXEA IDX CALL @ 2050 - PREMIUM PAYABLE 06/30/21	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	CREDIT SUISSE FB INT E58DKGMJYYYJLN8C3868....	06/05/2020...	06/30/2021.....	.....	22,435,258	2,050.00.....	.....	.....	.....	.....(153,690)	.....	.....(153,690)	.....(153,690)	.....	.....	.....	.....	.....	2.....
S&P IDX CALL @ 4160 06/30/25....	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	JP MORGAN CHASE BANK 7H6GLXDRUGQFU57RNE97.	06/05/2020...	06/30/2025.....	.....	66,966,264	4,160.00.....	.....	.....3,240,000	.....	.....3,141,797	.....	.....3,141,797	.....(98,203)	.....	.....	.....	.....	.....	2.....
NDX IDX CALL @ 11200 - PREMIUM PAYABLE 06/30/21	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	BANK OF AMERICA, NA B4TYDEB6GKMZO031MB27..	06/08/2020...	06/30/2021.....	.....	31,689,378	11,200.00.....	.....	.....	.....	.....355,497	.....	.....355,497	.....355,497	.....	.....	.....	.....	.....	2.....
S&P IDX CALL @ 3800 - PREMIUM PAYABLE 06/30/22	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	BANK OF AMERICA, NA B4TYDEB6GKMZO031MB27..	06/09/2020...	06/30/2022.....	.....	111,610,440	3,800.00.....	.....	.....	.....	.....(1,095,609)	.....	.....(1,095,609)	.....(1,095,609)	.....	.....	.....	.....	.....	2.....
MXEA IDX CALL @ 2250 06/30/23.	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	CREDIT SUISSE FB INT E58DKGMJYYYJLN8C3868....	06/11/2020...	06/30/2023.....	.....	16,559,357	2,250.00.....	.....	.....317,037	.....	.....271,756	.....	.....271,756	.....(45,281)	.....	.....	.....	.....	.....	2.....
S&P IDX CALL @ 3500 - PREMIUM PAYABLE 06/30/21	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	BANK OF AMERICA, NA B4TYDEB6GKMZO031MB27..	06/11/2020...	06/30/2021.....	.....	126,491,832	3,500.00.....	.....	.....	.....	.....(576,951)	.....	.....(576,951)	.....(576,951)	.....	.....	.....	.....	.....	2.....
NDX IDX CALL @ 12500 06/28/24..	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	BANK OF AMERICA, NA B4TYDEB6GKMZO031MB27..	06/15/2020...	06/28/2024.....	.....	20,110,567	12,500.00.....	.....	.....1,345,469	.....	.....1,478,814	.....	.....1,478,814	.....133,345	.....	.....	.....	.....	.....	2.....
S&P IDX CALL @ 3900 06/28/24....	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	BANK OF AMERICA, NA B4TYDEB6GKMZO031MB27..	06/15/2020...	06/28/2024.....	.....	79,243,412	3,900.00.....	.....	.....4,261,108	.....	.....3,891,549	.....	.....3,891,549	.....(369,559)	.....	.....	.....	.....	.....	2.....
NDX IDX CALL @ 12750 - PREMIUM PAYABLE 06/30/25	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	BANK OF AMERICA, NA B4TYDEB6GKMZO031MB27..	06/16/2020...	06/30/2025.....	.....	17,063,511	12,750.00.....	.....	.....	.....	.....(83,525)	.....	.....(83,525)	.....(83,525)	.....	.....	.....	.....	.....	2.....
S&P IDX CALL @ 4000 - PREMIUM PAYABLE 06/30/27	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	CREDIT SUISSE FB INT E58DKGMJYYYJLN8C3868....	06/18/2020...	06/30/2027.....	.....	49,294,611	4,000.00.....	.....	.....	.....	.....(487,275)	.....	.....(487,275)	.....(487,275)	.....	.....	.....	.....	.....	2.....
NDX IDX CALL @ 12500 - PREMIUM PAYABLE 06/30/26	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	JP MORGAN CHASE BANK 7H6GLXDRUGQFU57RNE97.	06/17/2020...	06/30/2026.....	.....	14,625,867	12,500.00.....	.....	.....	.....	.....(239,488)	.....	.....(239,488)	.....(239,488)	.....	.....	.....	.....	.....	2.....
S&P IDX CALL @ 4000 - PREMIUM PAYABLE 06/30/26	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	CREDIT SUISSE FB INT E58DKGMJYYYJLN8C3868....	06/18/2020...	06/30/2026.....	.....	57,665,394	4,000.00.....	.....	.....	.....	.....(407,938)	.....	.....(407,938)	.....(407,938)	.....	.....	.....	.....	.....	2.....
NDX IDX CALL @ 12500 - PREMIUM PAYABLE 06/30/27	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	CREDIT SUISSE FB INT E58DKGMJYYYJLN8C3868....	06/17/2020...	06/30/2027.....	.....	12,188,222	12,500.00.....	.....	.....	.....	.....(92,163)	.....	.....(92,163)	.....(92,163)	.....	.....	.....	.....	.....	2.....
015999999. Total-Purchased Options-Hedging Other-Call Options and Warrants.....										.....1,320,000	.....11,439,476	.....0	.....12,264,471	XXX	.....12,264,471	.....452,996	.....0	.....0	.....0	.....0	XXX	XXX
<b>Purchased Options - Hedging Other - Put Options</b>																						
S&P IDX PUT @ 1206.55 11/13/20.	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	BARCLAYS BANK PLC G5GSEF7VJP517OUK5573....	11/15/2010...	11/13/2020.....	.....	310,029,000	1,206.55.....	.....29,862,110	.....	.....	.....129,198	.....	.....129,198	.....83,924	.....	.....	.....	.....	.....	2.....
S&P IDX PUT @ 1177.05 11/16/20.	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	DEUTSCHE BANK, AG 7LTWTFZYICNSX8D21K86....	11/16/2010...	11/16/2020.....	.....	158,037,283	1,177.05.....	.....15,030,030	.....	.....	.....62,033	.....	.....62,033	.....41,315	.....	.....	.....	.....	.....	2.....

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**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule / Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate of Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Undiscounted Premium (Received) Paid	Current Year Initial Cost of Undiscounted Premium (Received) Paid	Current Year Income	Book/Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase (Decrease)	Total Foreign Exchange Change in B/A.C.V.	Current Year's (Amortization) / Accretion	Adjustment to Carrying Value of Hedged Items	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Year-end (b)
S&P IDX PUT @ 1063.08 11/17/20.	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	DEUTSCHE BANK, AG 7LTFWFZYICNSX8D621K86...	11/17/2010...	11/17/2020.....		.155,014,500	1,063.08.....	...12,402,600			...37,936		...37,936	...26,402						2.....
S&P IDX PUT @ 1431.25 10/21/22.	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	WELLS FARGO BANK KB1H1DSPRFMYMCFXT09.	10/19/2012...	10/21/2022.....		.216,614,162	1,431.25.....	...30,380,002			...3,276,113		...3,276,113	...2,092,201						2.....
S&P IDX PUT @ 1426.25 10/21/22.	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	BANK OF AMERICA, NA B4TYDEB6GKMZ0031MB27..	10/22/2012...	10/21/2022.....		.217,373,547	1,426.25.....	...30,400,002			...3,254,943		...3,254,943	...2,081,928						2.....
S&P IDX PUT @ 1410.77 10/21/22.	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	DEUTSCHE BANK, AG 7LTFWFZYICNSX8D621K86...	10/23/2012...	10/21/2022.....		.219,759,437	1,410.77.....	...30,749,999			...3,189,880		...3,189,880	...2,050,168						2.....
S&P IDX PUT @ 1411.60 10/21/22.	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	HSBC BANK USA 1E8VN30JCEQV1H4R804....	10/25/2012...	10/21/2022.....		.219,627,644	1,411.60.....	...29,899,861			...3,193,341		...3,193,341	...2,051,860						2.....
S&P IDX PUT @ 1409.50 10/21/22.	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	HSBC BANK USA 1E8VN30JCEQV1H4R804....	10/26/2012...	10/21/2022.....		.219,956,275	1,409.50.....	...30,249,672			...3,184,590		...3,184,590	...2,047,573						2.....
S&P IDX PUT @ 1425.10 10/21/22.	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	BARCLAYS BANK PLC G5GSEF7VJP5I7OUK5573....	11/01/2012...	10/21/2022.....		.217,547,349	1,425.10.....	...29,999,780			...3,250,061		...3,250,061	...2,079,551						2.....
S&P IDX PUT @ 1412.30 12/18/20.	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	JP MORGAN CHASE BANK 7H6GLXDRUGQF57RNE97.	11/05/2012...	12/18/2020.....		.219,520,684	1,412.30.....	...28,440,000			...356,011		...356,011	...248,482						2.....
S&P IDX PUT @ 1431.68 12/18/20.	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	DEUTSCHE BANK, AG 7LTFWFZYICNSX8D621K86...	11/06/2012...	12/18/2020.....		.216,549,831	1,431.68.....	...27,899,998			...373,776		...373,776	...259,360						2.....
S&P IDX PUT @ 1381.80 12/18/20.	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	JP MORGAN CHASE BANK 7H6GLXDRUGQF57RNE97.	11/09/2012...	12/18/2020.....		.224,366,437	1,381.80.....	...28,580,000			...329,378		...329,378	...231,993						2.....
S&P IDX PUT @ 1370.00 11/18/22.	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	MORGAN STANLEY INTER 4PQUHN3JPFGFNF3BB653....	11/14/2012...	11/18/2022.....		.226,296,368	1,370.00.....	...30,799,704			...3,112,598		...3,112,598	...1,995,118						2.....
S&P IDX PUT @ 1350.00 12/18/20.	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	WELLS FARGO BANK KB1H1DSPRFMYMCFXT09.	11/15/2012...	12/18/2020.....		.229,651,098	1,350.00.....	...28,779,998			...303,293		...303,293	...215,622						2.....
S&P IDX PUT @ 1455.67 01/20/23.	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	GOLDMAN SACHS INTL W22LROWP2IHZNBB6K528....	01/08/2013...	01/20/2023.....		.212,980,312	1,455.67.....	...29,638,591			...3,709,962		...3,709,962	...2,247,802						2.....
S&P IDX PUT @ 2500 - PREMIUM PAYABLE 12/18/20	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	BARCLAYS BANK PLC G5GSEF7VJP5I7OUK5573....	06/13/2018...	12/18/2020.....		.558,052,200	2,500.00.....				...(17,287,327)	^	...(17,287,327)	...5,837,048						2.....
S&P IDX PUT @ 2500 - PREMIUM PAYABLE 12/18/20	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	JP MORGAN CHASE BANK 7H6GLXDRUGQF57RNE97.	06/14/2018...	12/18/2020.....		.837,078,300	2,500.00.....				...(26,028,167)	^	...(26,028,167)	...8,754,169						2.....
S&P IDX PUT @ 2500 - PREMIUM PAYABLE 12/18/20	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	JP MORGAN CHASE BANK 7H6GLXDRUGQF57RNE97.	06/15/2018...	12/18/2020.....		.279,026,100	2,500.00.....				...(9,376,080)	^	...(9,376,080)	...2,907,952						2.....
S&P IDX PUT @ 2700 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	JP MORGAN CHASE BANK 7H6GLXDRUGQF57RNE97.	06/25/2018...	06/20/2025.....		.114,710,730	2,700.00.....				...(1,880,572)	^	...(1,880,572)	...4,670,431						2.....
S&P IDX PUT @ 2700 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	HSBC BANK USA 1E8VN30JCEQV1H4R804....	06/27/2018...	06/20/2025.....		.229,421,460	2,700.00.....				...(3,165,861)	^	...(3,165,861)	...9,386,107						2.....
S&P IDX PUT @ 2800 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	HSBC BANK USA 1E8VN30JCEQV1H4R804....	07/23/2018...	06/20/2025.....		.110,680,353	2,800.00.....				...423,402	^	...423,402	...4,962,776						2.....
S&P IDX PUT @ 2800 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	HSBC BANK USA 1E8VN30JCEQV1H4R804....	07/24/2018...	06/20/2025.....		.110,680,353	2,800.00.....				...268,436	^	...268,436	...4,950,997						2.....
RTY IDX PUT @ 1670 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	DEUTSCHE BANK, AG 7LTFWFZYICNSX8D621K86...	08/01/2018...	06/20/2025.....		.86,481,900	1,670.00.....				...10,251,175	^	...10,251,175	...10,664,059						2.....
MXEA IDX PUT @ 1990 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	JP MORGAN CHASE BANK 7H6GLXDRUGQF57RNE97.	08/08/2018...	06/20/2025.....		.89,028,800	1,990.00.....				...10,055,825	^	...10,055,825	...9,922,084						2.....
MXEA IDX PUT @ 1990 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	DEUTSCHE BANK, AG 7LTFWFZYICNSX8D621K86...	08/08/2018...	06/20/2025.....		.89,028,800	1,990.00.....				...8,946,238	^	...8,946,238	...9,837,747						2.....

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**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
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S&P IDX CALL @ 1600 06/30/25	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGQFU57RNE97	06/05/2020	06/30/2025		66,966,264	1,600.00		(33,086,880)		(30,973,800)		(30,973,800)	2,113,080						2
NDX IDX CALL @ 4925 - PREMIUM PAYABLE 06/30/21	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZO031MB27	06/08/2020	06/30/2021		31,689,378	4,925.00				(905,236)	^	(905,236)	(905,236)						2
S&P IDX CALL @ 1600 - PREMIUM PAYABLE 06/30/22	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZO031MB27	06/09/2020	06/30/2022		111,610,440	1,600.00				3,921,790	^	3,921,790	3,921,790						2
MXEA IDX CALL @ 925 06/30/23	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGMJYYYJLN8C3868	06/11/2020	06/30/2023		16,559,357	925.00		(6,975,000)		(7,141,868)		(7,141,868)	(166,868)						2
S&P IDX CALL @ 1550 - PREMIUM PAYABLE 06/30/21	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZO031MB27	06/11/2020	06/30/2021		126,491,832	1,550.00				261,737	^	261,737	261,737						2
NDX IDX CALL @ 4800 06/28/24	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZO031MB27	06/15/2020	06/28/2024		20,110,567	4,800.00		(9,883,823)		(10,455,549)		(10,455,549)	(571,725)						2
S&P IDX CALL @ 1550 06/28/24	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZO031MB27	06/15/2020	06/28/2024		79,243,412	1,550.00		(37,872,508)		(37,847,096)		(37,847,096)	25,411						2
NDX IDX CALL @ 5000 - PREMIUM PAYABLE 06/30/25	VAGLB Hedge - Macro Hedge	N/A	E	BANK OF AMERICA, NA B4TYDEB6GKMZO031MB27	06/16/2020	06/30/2025		17,063,511	5,000.00				(35,971)	^	(35,971)	(35,971)						2
NDX IDX CALL @ 5000 - PREMIUM PAYABLE 06/30/26	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGQFU57RNE97	06/17/2020	06/30/2026		14,625,867	5,000.00				238,116	^	238,116	238,116						2
S&P IDX CALL @ 1550 - PREMIUM PAYABLE 06/30/27	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGMJYYYJLN8C3868	06/18/2020	06/30/2027		49,294,611	1,550.00				574,031	^	574,031	574,031						2
NDX IDX CALL @ 5000 - PREMIUM PAYABLE 06/30/27	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGMJYYYJLN8C3868	06/17/2020	06/30/2027		12,188,222	5,000.00				48,079	^	48,079	48,079						2
S&P IDX CALL @ 1550 - PREMIUM PAYABLE 06/30/26	VAGLB Hedge - Macro Hedge	N/A	E	CREDIT SUISSE FB INT E58DKGMJYYYJLN8C3868	06/18/2020	06/30/2026		57,665,394	1,550.00				754,805	^	754,805	754,805						2
SWPTN: 10Y RTR 3ML(0.40%) 09/28/20	VAGLB Hedge - Macro Hedge	N/A	A	DEUTSCHE BANK, AG 7LTFWZYICNSX8D621K86	06/26/2020	09/28/2020		280,000,000	0.40		(1,309,000)		(1,089,200)		(1,089,200)	219,800						2
SWPTN: 10Y RTR 3ML(0.40%) 09/29/20	VAGLB Hedge - Macro Hedge	N/A	A	CITIBANK, N.A. E57ODZWZ7FF32TWFA76	06/29/2020	09/29/2020		280,000,000	0.40		(1,402,800)		(1,103,200)		(1,103,200)	299,600						2
0649999999	Total-Written Options-Hedging Other-Call Options and Warrants									0	(121,936,335)	0	(119,997,551)	XXX	(119,997,551)	1,938,784	0	0	0	0	XXX	XXX
<b>Written Options - Hedging Other - Put Options</b>																						
S&P IDX PUT @ 712.55 10/21/22	VAGLB Hedge - Macro Hedge	N/A	E	BARCLAYS BANK PLC G5GSEF7VJP5I7OUK5573	11/01/2012	10/21/2022		435,094,699	712.55	(19,119,860)			(567,212)		(567,212)	(480,941)						2
S&P IDX PUT @ 700.00 10/21/22	VAGLB Hedge - Macro Hedge	N/A	E	GENERALE SOCIETE O2RNE8IBXP4R0TD8PU41	11/07/2012	10/21/2022		434,040,600	700.00	(18,760,000)			(517,970)		(517,970)	(441,549)						2
S&P IDX PUT @ 700.00 10/21/22	VAGLB Hedge - Macro Hedge	N/A	E	GENERALE SOCIETE O2RNE8IBXP4R0TD8PU41	11/08/2012	10/21/2022		434,040,600	700.00	(18,862,200)			(517,970)		(517,970)	(441,549)						2
S&P IDX PUT @ 700.00 10/21/22	VAGLB Hedge - Macro Hedge	N/A	E	CITIBANK, N.A. E57ODZWZ7FF32TWFA76	12/21/2012	10/21/2022		434,040,600	700.00	(17,788,400)			(517,970)		(517,970)	(441,549)						2
S&P IDX PUT @ 727.84 01/20/23	VAGLB Hedge - Macro Hedge	N/A	E	GOLDMAN SACHS INTL W22LROWP2IHZNBB6K528	01/08/2013	01/20/2023		425,960,624	727.84	(17,708,687)			(703,626)		(703,626)	(571,305)						2
S&P IDX PUT @ 1350 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	JP MORGAN CHASE BANK 7H6GLXDRUGQFU57RNE97	06/25/2018	06/20/2025		114,710,730	1,350.00				588,557	^	588,557	(1,244,340)						2
S&P IDX PUT @ 1350 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge	N/A	E	HSBC BANK USA 1IE8VN30JCEQV1H4R804	06/27/2018	06/20/2025		229,421,460	1,350.00				931,780	^	931,780	(2,507,328)						2

QE06.3

**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule / Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate of Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Undiscounted Premium (Received) Paid	Current Year Initial Cost of Undiscounted Premium (Received) Paid	Current Year Income	Book/Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase (Decrease)	Total Foreign Exchange Change in B/A.C.V.	Current Year's (Amortization) / Accretion	Adjustment to Carrying Value of Hedged Items	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Year-end (b)	
S&P IDX PUT @ 1400 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	HSBC BANK USA 1IE8VN30JCEQV1H4R804....	07/23/2018....	06/20/2025....	.....	.110,680,353	1,400.00.....	.....	.....	.....	.....(205,558)	^.....	.....(205,558)	.....(1,355,338)	.....	.....	.....	.....	.....	.....	2.....
S&P IDX PUT @ 1400 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	HSBC BANK USA 1IE8VN30JCEQV1H4R804....	07/24/2018....	06/20/2025....	.....	.110,680,353	1,400.00.....	.....	.....	.....	.....(85,424)	^.....	.....(85,424)	.....(1,346,207)	.....	.....	.....	.....	.....	.....	2.....
RTY IDX PUT @ 835 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	DEUTSCHE BANK, AG 7LTFWZYICNSX8D621K86....	08/01/2018....	06/20/2025....	.....	.86,481,900	835.00.....	.....	.....	.....	.....(2,066,668)	^.....	.....(2,066,668)	.....(2,660,492)	.....	.....	.....	.....	.....	.....	2.....
MXEA IDX PUT @ 995 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	JP MORGAN CHASE BANK 7H6GLXDRUGQF5U7RNE97....	08/08/2018....	06/20/2025....	.....	.89,028,800	995.00.....	.....	.....	.....	.....(2,236,136)	^.....	.....(2,236,136)	.....(2,579,623)	.....	.....	.....	.....	.....	.....	2.....
MXEA IDX PUT @ 995 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	DEUTSCHE BANK, AG 7LTFWZYICNSX8D621K86....	08/08/2018....	06/20/2025....	.....	.89,028,800	995.00.....	.....	.....	.....	.....(1,076,576)	^.....	.....(1,076,576)	.....(2,491,488)	.....	.....	.....	.....	.....	.....	2.....
S&P IDX PUT @ 1400 06/16/28.....	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	MORGAN STANLEY INTER 4PQUHN3JPFQFN3BB653....	08/14/2018....	06/16/2028....	.....	.110,680,353	1,400.00.....	.....(4,758,524)	.....	.....	.....(5,620,280)	.....	.....(5,620,280)	.....(2,103,178)	.....	.....	.....	.....	.....	.....	2.....
S&P IDX PUT @ 1450 06/20/25.....	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	MORGAN STANLEY INTER 4PQUHN3JPFQFN3BB653....	08/27/2018....	06/20/2025....	.....	.106,960,005	1,450.00.....	.....(2,760,000)	.....	.....	.....(3,534,674)	.....	.....(3,534,674)	.....(1,659,075)	.....	.....	.....	.....	.....	.....	2.....
S&P IDX PUT @ 1450 - PREMIUM PAYABLE 06/20/25	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	HSBC BANK USA 1IE8VN30JCEQV1H4R804....	09/05/2018....	06/20/2025....	.....	.106,960,005	1,450.00.....	.....	.....	.....	.....(480,286)	^.....	.....(480,286)	.....(1,426,921)	.....	.....	.....	.....	.....	.....	2.....
S&P IDX PUT @ 1440 06/16/28.....	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	MORGAN STANLEY INTER 4PQUHN3JPFQFN3BB653....	09/12/2018....	06/16/2028....	.....	.107,580,063	1,440.00.....	.....(4,448,887)	.....	.....	.....(5,797,926)	.....	.....(5,797,926)	.....(2,157,084)	.....	.....	.....	.....	.....	.....	2.....
RTY IDX PUT @ 850 - PREMIUM PAYABLE 09/15/23	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	JP MORGAN CHASE BANK 7H6GLXDRUGQF5U7RNE97....	09/25/2018....	09/15/2023....	.....	.84,752,262	850.00.....	.....	.....	.....	.....(2,375,147)	^.....	.....(2,375,147)	.....(2,469,021)	.....	.....	.....	.....	.....	.....	2.....
S&P IDX PUT @ 1450 - PREMIUM PAYABLE 09/19/25	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	CREDIT SUISSE FB INT E58DKGMJYYYJLN8C3868....	09/27/2018....	09/19/2025....	.....	.106,960,005	1,450.00.....	.....	.....	.....	.....(613,265)	^.....	.....(613,265)	.....(1,458,744)	.....	.....	.....	.....	.....	.....	2.....
S&P IDX PUT @ 1400 - PREMIUM PAYABLE 09/19/25	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	CREDIT SUISSE FB INT E58DKGMJYYYJLN8C3868....	10/15/2018....	09/19/2025....	.....	.110,680,353	1,400.00.....	.....	.....	.....	.....(165,089)	^.....	.....(165,089)	.....(1,373,211)	.....	.....	.....	.....	.....	.....	2.....
S&P IDX PUT @ 1350 - PREMIUM PAYABLE 12/19/25	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	JP MORGAN CHASE BANK 7H6GLXDRUGQF5U7RNE97....	10/31/2018....	12/19/2025....	.....	.114,710,730	1,350.00.....	.....	.....	.....	.....(348,776)	^.....	.....(348,776)	.....(1,358,052)	.....	.....	.....	.....	.....	.....	2.....
S&P IDX PUT @ 1325 - PREMIUM PAYABLE 12/19/25	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	HSBC BANK USA 1IE8VN30JCEQV1H4R804....	11/26/2018....	12/19/2025....	.....	.117,811,020	1,325.00.....	.....	.....	.....	.....(58,455)	^.....	.....(58,455)	.....(1,320,247)	.....	.....	.....	.....	.....	.....	2.....
S&P IDX PUT @ 2550 12/18/20.....	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	HSBC BANK USA 1IE8VN30JCEQV1H4R804....	01/17/2020....	12/18/2020....	.....	.279,026,100	2,550.00.....	.....	.....(2,816,100)	.....	.....(7,396,227)	.....	.....(7,396,227)	.....(4,580,127)	.....	.....	.....	.....	.....	.....	2.....
S&P IDX PUT @ 2500 12/18/20.....	VAGLB Hedge - Macro Hedge.....	N/A.....	E.....	JP MORGAN CHASE BANK 7H6GLXDRUGQF5U7RNE97....	01/17/2020....	12/18/2020....	.....	1,953,182,700	2,500.00.....	.....	.....(17,430,210)	.....	.....(46,794,306)	.....	.....(46,794,306)	.....(29,364,096)	.....	.....	.....	.....	.....	.....	2.....
SWPTN: 10Y RTP 3ML(1.00%) 07/21/20	VAGLB Hedge - Macro Hedge.....	N/A.....	A.....	SOCIETE GENERALE O2RNE8IBXP4R0TD8PU41....	04/21/2020....	07/21/2020....	.....	.280,000,000	1.00.....	.....	.....(1,204,000)	.....	.....(18,200)	.....	.....(18,200)	.....1,185,800	.....	.....	.....	.....	.....	.....	2.....
SWPTN: 10Y RTP 3ML(1.00%) 08/06/20	VAGLB Hedge - Macro Hedge.....	N/A.....	A.....	CITIBANK, N.A.... E57ODZWZ7FF32TWEFA76....	05/06/2020....	08/06/2020....	.....	.280,000,000	1.00.....	.....	.....(938,000)	.....	.....(112,000)	.....	.....(112,000)	.....826,000	.....	.....	.....	.....	.....	.....	2.....
SWPTN: 30Y RTP 3ML(1.25%) 09/11/20	VAGLB Hedge - Macro Hedge.....	N/A.....	A.....	CITIBANK, N.A.... E57ODZWZ7FF32TWEFA76....	06/11/2020....	09/11/2020....	.....	.100,000,000	1.25.....	.....	.....(1,652,000)	.....	.....(945,600)	.....	.....(945,600)	.....706,400	.....	.....	.....	.....	.....	.....	2.....
0659999999. Total-Written Options-Hedging Other-Put Options.....																							
0709999999. Total-Written Options-Hedging Other.....																							
<b>Total Written Options</b>																							
0929999999. Total-Written Options-Call Options and Warrants.....																							
0939999999. Total-Written Options-Put Options.....																							
0989999999. Total-Written Options.....																							

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**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule / Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate of Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Undiscounted Premium (Received) Paid	Current Year Initial Cost of Undiscounted Premium (Received) Paid	Current Year Income	Book/Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization) / Accretion	Adjustment to Carrying Value of Hedged Items	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Year-end (b)	
<b>Swaps - Hedging Effective - Excluding Variable Annuity Guarantees Under SSAP No. 108 - Foreign Exchange</b>																							
CSWP: USD 3.05%(EUR 1.12%) 09/23/26	980745F*9 - WOODWARD INC.....	D PART 1	D.....	JP MORGAN CHASE BANK 7H6GLXDRUGQFU57RNE97.	09/14/2016...	09/23/2026.....	.....	2,248,800	USD 3.05%(EUR 1.12%)	.....	.....	22,211	3,300		130,473	.....	(1,300)	.....	.....	28,078	.....	100/100.....	
CSWP: USD 2.86%(EUR 0.84%) 11/15/23	92927KB*2 - WABCO HOLDINGS INC	D PART 1	D.....	CITIBANK, N.A.... E57ODZWZ7FF32TWEFA76..	09/27/2016...	11/15/2023.....	.....	2,793,239	USD 2.86%(EUR 0.84%)	.....	.....	28,019	(8,625)		96,008	.....	(1,625)	.....	.....	25,669	.....	100/100.....	
CSWP: USD 3.00%(GBP 2.31%) 02/20/25	G1591#AU6 - BRITVIC PLC.....	D PART 1	D.....	JP MORGAN CHASE BANK 7H6GLXDRUGQFU57RNE97.	11/01/2016...	02/20/2025.....	.....	2,448,000	USD 3.00%(GBP 2.31%)	.....	.....	8,467	(27,300)		29,268	.....	178,300	.....	.....	26,384	.....	100/100.....	
CSWP: USD 4.00%(EUR 1.84%) 12/07/27	B9550@AA9 - UMICORE SA.....	D PART 1	D.....	CITIBANK, N.A.... E57ODZWZ7FF32TWEFA76..	04/05/2017...	12/07/2027.....	.....	5,331,571	USD 4.00%(EUR 1.84%)	.....	.....	54,949	(284,179)		82,681	.....	(3,250)	.....	.....	72,718	.....	100/100.....	
CSWP: USD 3.56%(GBP 2.35%) 01/31/25	G8030*AJ9 - SENIOR PLC.....	D PART 1	D.....	CITIBANK, N.A.... E57ODZWZ7FF32TWEFA76..	12/01/2017...	01/31/2025.....	.....	4,054,054	USD 3.56%(GBP 2.35%)	.....	.....	28,291	340,350		568,431	.....	267,450	.....	.....	43,436	.....	100/100.....	
CSWP: AMORT USD 4.24%(EUR 2.22%) 07/30/49	L8749#AA0 - STADIUM FINANCE COMPANY SARL	D PART 1	D.....	JP MORGAN CHASE BANK 7H6GLXDRUGQFU57RNE97.	03/26/2019...	07/30/2049.....	.....	2,255,400	USD 4.24%(EUR 2.22%)	.....	.....	22,914	9,800		328,830	.....	(1,300)	.....	.....	60,835	.....	100/100.....	
CSWP: AMORT USD 4.37%(EUR 2.37%) 07/30/49	BHM1ZSFD2 - STADIUM FINANCE COMPANY SARL	D PART 1	D.....	JP MORGAN CHASE BANK 7H6GLXDRUGQFU57RNE97.	03/26/2019...	07/30/2049.....	.....	4,510,800	USD 4.37%(EUR 2.37%)	.....	.....	.....	.....		618,954	.....	.....	.....	.....	121,669	.....	100/100.....	
CSWP: AMORT USD 4.49%(EUR 2.50%) 07/30/49	BHM1ZSFE0 - STADIUM FINANCE COMPANY SARL	D PART 1	D.....	JP MORGAN CHASE BANK 7H6GLXDRUGQFU57RNE97.	03/26/2019...	07/30/2049.....	.....	3,383,100	USD 4.49%(EUR 2.50%)	.....	.....	.....	.....		386,742	.....	.....	.....	.....	91,252	.....	100/100.....	
1019999999	Total-Swaps-Hedging Effective-Excluding Variable Annuity Guarantees Under SSAP No. 108-Foreign Exchange.....										0	0	164,851	33,347	XXX	2,241,387	0	438,275	0	0	470,041	XXX	XXX
1049999999	Total-Swaps-Hedging Effective-Excluding Variable Annuity Guarantees Under SSAP No. 108.....										0	0	164,851	33,347	XXX	2,241,387	0	438,275	0	0	470,041	XXX	XXX
<b>Swaps - Hedging Other - Interest Rate</b>																							
SWP: 3ML(5.66%) 06/08/21.....	OFFSET.....	OFFSET	C.....	MERRILL LYNCH INTL GGDZP1UYGU9STUHRDP48	06/06/2006...	06/08/2021.....	.....	66,000,000	3ML(5.66%)...	.....	.....	(1,452,763)	(3,326,363)		(3,326,363)	.....	365,177	.....	.....	319,900	.....	1.....	
SWP: 3ML(5.63%) 06/16/21.....	OFFSET.....	OFFSET	C.....	MERRILL LYNCH CAP SV GDWTXX03601TB7DW3U69.	06/14/2006...	06/16/2021.....	.....	46,500,000	3ML(5.63%)...	.....	.....	(1,031,316)	(2,387,702)		(2,387,702)	.....	232,384	.....	.....	227,998	.....	1.....	
SWP: ZERO 4.37%(3ML) 10/27/34.	VAGLB Hedge - Macro Hedge.....	N/A.....	A.....	CREDIT SUISSE FB INT E58DKGMJYYYJLN8C3868...	10/23/2009...	10/27/2034.....	.....	30,000,000	4.37%(3ML)...	.....	.....	765,146	27,820,409		27,820,409	.....	10,659,489	.....	.....	567,909	.....	2.....	
SWP: ZERO 3.66%(3ML) 11/16/25.	VAGLB Hedge - Macro Hedge.....	N/A.....	A.....	CREDIT SUISSE FB INT E58DKGMJYYYJLN8C3868...	11/12/2010...	11/16/2025.....	.....	100,000,000	3.66%(3ML)...	.....	.....	1,758,268	25,709,741		25,709,741	.....	10,476,578	.....	.....	1,160,125	.....	2.....	
SWP: 3ML(2.14%) 11/15/21.....	OFFSET.....	OFFSET	A.....	CITIBANK, N.A.... E57ODZWZ7FF32TWEFA76..	12/23/2011...	11/15/2021.....	.....	7,055,000	3ML(2.14%)...	.....	.....	(25,136)	(182,811)		(182,811)	.....	(123,007)	.....	.....	41,410	.....	1.....	
SWP: 1.56%(3ML) 11/15/21.....	OFFSET.....	OFFSET	A.....	BARCLAYS BANK PLC G5GSEF7VJP517OUK5573....	07/19/2012...	11/15/2021.....	.....	7,055,000	1.56%(3ML)...	.....	.....	4,562	126,366		126,366	.....	142,222	.....	.....	41,410	.....	1.....	
SWP: 1.55%(3ML) 07/27/22.....	VAGLB Hedge - Macro Hedge.....	N/A.....	A.....	CITIBANK, N.A.... E57ODZWZ7FF32TWEFA76..	07/25/2012...	07/27/2022.....	.....	250,000,000	1.55%(3ML)...	.....	.....	(4,746)	6,824,108		6,824,108	.....	7,530,545	.....	.....	1,800,162	.....	2.....	
SWP: 3ML(1.68%) 10/02/22.....	VAGLB Hedge - Macro Hedge.....	N/A.....	A.....	BARCLAYS BANK PLC G5GSEF7VJP517OUK5573....	09/28/2012...	10/02/2022.....	.....	250,000,000	3ML(1.68%)...	.....	.....	29,227	(8,221,133)		(8,221,133)	.....	(8,171,115)	.....	.....	1,878,137	.....	2.....	
SWP: 3ML(2.30%) 02/26/24.....	VAGLB Hedge - Macro Hedge.....	N/A.....	A.....	DEUTSCHE BANK, AG 7LTWFZYICNSX8D621K86....	02/24/2014...	02/26/2024.....	.....	250,000,000	3ML(2.30%)...	.....	.....	(994,412)	(18,603,818)		(18,603,818)	.....	(12,651,605)	.....	.....	2,391,480	.....	2.....	

QE06.5





**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule / Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate of Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Undiscounted Premium (Received) Paid	Current Year Initial Cost of Undiscounted Premium (Received) Paid	Current Year Income	Book/Adjusted Carrying Value	o d e	Fair Value	Unrealized Valuation Increase (Decrease)	Total Foreign Exchange Change in B/A.C.V.	Current Year's (Amortization) / Accretion	Adjustment to Carrying Value of Hedged Items	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Year-end (b)
<b>Total - Swaps</b>																						
1359999999	Total-Swaps-Interest Rate.....									.....0	...23,707,377	.....1,648,859	.....81,228,220	XXX	122,915,089	..27,572,411	.....0	.....0	.....0	...24,446,727	XXX	XXX
1379999999	Total-Swaps-Foreign Exchange.....									.....0	.....0	.....164,851	.....33,347	XXX	...2,241,387	.....0	.....438,275	.....0	.....0	...470,041	XXX	XXX
1399999999	Total-Swaps-Other.....									.....0	.....0	.....(2,372,724)	.....48,301,359	XXX	..48,301,359	..26,475,186	.....0	.....0	.....0	...53,391,721	XXX	XXX
1409999999	Total-Swaps.....									.....0	...23,707,377	.....(559,015)	.....129,562,926	XXX	173,457,835	..54,047,597	.....438,275	.....0	.....0	...78,308,489	XXX	XXX
<b>Totals</b>																						
1689999999	Total-Hedging Effective-Excluding Variable Annuity Guarantees Under SSAP No. 108.....									.....0	.....0	.....164,851	.....33,347	XXX	...2,241,387	.....0	.....438,275	.....0	.....0	...470,041	XXX	XXX
1709999999	Total-Hedging Other.....									..363,060,971	..(110,437,793)	.....(1,530,346)	.....9,783,104	XXX	...9,783,104	146,547,190	.....0	.....0	.....0	...73,627,562	XXX	XXX
1719999999	Total-Replication.....									.....0	.....0	.....806,480	.....0	XXX	..41,686,869	.....0	.....0	.....0	.....0	...4,210,886	XXX	XXX
1759999999	TOTAL.....									..363,060,971	..(110,437,793)	.....(559,015)	.....9,816,451	XXX	..53,711,360	146,547,190	.....438,275	.....0	.....0	...78,308,489	XXX	XXX

(a)

Code	Description of Hedged Risk(s)
A	INTEREST
B	CREDIT
C	DURATION
D	CURRENCY
E	EQUITY INDEX

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
1	This derivative is part of an offsetting relationship in which an open hedge was effectively terminated as a result of the Company entering into a new derivative with offsetting terms.
2	This derivative is part of the Company's macro program, which hedges against the economic risk arising from guaranteed minimum death benefit ("GMDB") and guaranteed minimum withdrawal benefit ("GMWB") liabilities and contract revenues. For the six
2 (cont)	months ended June 30, 2020, the hedge has been effective at achieving the enterprise economic objective.

QE06.7

## SCHEDULE DB - PART B - SECTION 1

Futures Contracts Open as of the Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	Highly Effective Hedges			18	19	20	21	22
														15	16	17					
Ticker Symbol	Number of Contracts	Notional Amount	Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule / Exhibit Identifier	Type(s) of Risk(s) (a)	Date of Maturity or Expiration	Exchange	Trade Date	Transaction Price	Reporting Date Price	Fair Value	Book/Adjusted Carrying Value	Cumulative Variation Margin	Deferred Variation Margin	Change in Variation Margin Gain (Loss) Used to Adjust Basis of Hedged Item	Cumulative Variation Margin for All Other Hedges	Change in Variation Margin Gain (Loss) Recognized in Current Year	Potential Exposure	Hedge Effectiveness at Inception and at Year-end (b)	Value of One (1) Point
<b>Long Futures</b>																					
<b>Hedging Other</b>																					
TUU0.....	109	218,000	US 2YR NOTE SEP 20.....	VAGLB Hedge - Macro Hedge	N/A.....	A.....	09/30/2020	CBT.....	549300EX04Q2QBFQTQ27	05/26/2020	110.3829	110.4141	1,703				6,802	6,802	43,600	1	2,000
USU0.....	673	673,000	US LONG BOND SEP 20.....	VAGLB Hedge - Macro Hedge	N/A.....	A.....	09/21/2020	CBT.....	549300EX04Q2QBFQTQ27	05/26/2020	178.6859	178.5625	(315,469)				(83,048)	(83,048)	3,365,000	1	1,000
WNU0.....	444	444,000	US ULTRA T-BOND SEP 20.....	VAGLB Hedge - Macro Hedge	N/A.....	A.....	09/21/2020	CBT.....	549300EX04Q2QBFQTQ27	06/19/2020	216.6709	215.5559	(457,875)				(495,060)	(495,060)	4,706,400	1	1,000
1539999999. Total-Long Futures-Hedging Other.....																	(571,307)	(571,307)	8,115,000	XXX	XXX
1579999999. Total-Long Futures.....																	(771,641)	(771,641)	8,115,000	XXX	XXX
<b>Short Futures</b>																					
<b>Hedging Other</b>																					
ESU0.....	1,325	66,250	S&P500 EMINI SEP 20.....	VAGLB Hedge - Macro Hedge	N/A.....	E.....	09/18/2020	CME GROUP INC.	LCZ7XYGSLJUHFXNXD8	06/18/2020	3,106.9500	3,090.2000	(2,903,210)				1,109,688	1,109,688	15,900,000	1	50
MFSU0.....	524	26,200	MSCI EAFE INDEX SEP 20.....	VAGLB Hedge - Macro Hedge	N/A.....	E.....	09/18/2020	CME GROUP INC.	LCZ7XYGSLJUHFXNXD8	06/18/2020	1,793.8898	1,778.4000	89,080				405,833	405,833	4,611,200	1	50
NQU0.....	1,568	31,360	NASDAQ 100 E-MINI SEP 20.....	VAGLB Hedge - Macro Hedge	N/A.....	E.....	09/18/2020	CME GROUP INC.	LCZ7XYGSLJUHFXNXD8	06/18/2020	9,981.2909	10,147.2500	(5,440,960)				(5,204,477)	(5,204,477)	23,520,000	1	20
1602999999. Total-Short Futures-Hedging Other.....																	(3,688,957)	(3,688,957)	44,031,200	XXX	XXX
1649999999. Total-Short Futures.....																	(8,255,090)	(8,255,090)	44,031,200	XXX	XXX
<b>Totals</b>																					
1709999999. Total-Hedging Other.....																	(9,026,731)	(9,026,731)	52,146,200	XXX	XXX
1759999999. TOTAL.....																	(9,026,731)	(9,026,731)	52,146,200	XXX	XXX

QE07

Broker Name	Beginning Cash Balance	Cumulative Cash Change	Ending Cash Balance
BARCLAYS CAPITAL INC	15,370,000	39,685,465	55,055,465
GOLDMAN SACHS & CO LLC	12,904,525	(12,904,525)	
Total Net Cash Deposits.....	28,274,525	26,780,940	55,055,465

(a)

Code	Description of Hedged Risk(s)
A	INTEREST
E	EQUITY INDEX

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
1	This derivative is part of the Company's macro program, which hedges against the economic risk arising from guaranteed minimum death benefit ("GMDB") and guaranteed minimum withdrawal benefit ("GMWB") liabilities and contract revenues. For the six
1 (cont)	months ended June 30, 2020, the hedge has been effective at achieving the enterprise economic objective.

**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE DB - PART D - SECTION 1**

Counterparty Exposure for Derivative Instruments Open as of Current Statement Date

1 Description of Exchange, Counterparty or Central Clearinghouse	2 Master Agreement (Y or N)	3 Credit Support Annex (Y or N)	4 Fair Value of Acceptable Collateral	Book Adjusted Carrying Value			Fair Value			11 Potential Exposure	12 Off-Balance Sheet Exposure
				5 Contracts with Book/Adjusted Carrying Value > 0	6 Contracts with Book/Adjusted Carrying Value < 0	7 Exposure Net of Collateral	8 Contracts with Fair Value > 0	9 Contracts with Fair Value < 0	10 Exposure Net of Collateral		
<b>Exchange Traded Derivatives</b>											
0199999999. Aggregate Sum of Exchange Traded.....	XXX	XXX	XXX	55,055,465		55,055,465		(9,026,731)		52,146,200	52,146,200
<b>NAIC 1 Designation</b>											
BARCLAYS BANK PLC.....	Y	Y		3,505,625	(26,075,672)	0	3,505,625	(26,075,672)	0	1,919,547	0
BANK OF AMERICA, NA.....	Y	Y		18,288,112	(78,637,729)	0	18,288,112	(78,637,729)	0		0
CITIBANK, N.A.....	Y	Y	6,530,000	7,415,033	(3,145,760)	0	7,747,746	(2,778,900)	0	1,983,395	0
CREDIT SUISSE FB INT.....	Y	Y	99,180,035	59,905,454	(18,595,772)	0	59,905,454	(18,595,772)	0	1,728,034	0
DEUTSCHE BANK, AG.....	Y	Y		49,759,486	(50,492,120)	0	49,759,486	(50,492,120)	0	7,797,658	7,065,024
GOLDMAN SACHS INTL.....	Y	Y		3,709,962	(703,626)	3,006,336	3,709,962	(703,626)	3,006,336		0
HSBC BANK USA.....	Y	Y	7,090,000	9,817,625	(12,215,620)	0	9,817,625	(12,215,620)	0		0
JP MORGAN CHASE BANK.....	Y	Y		27,402,005	(127,464,397)	0	28,853,905	(127,407,829)	0	328,218	0
MERRILL LYNCH CAP SV.....	Y	Y			(2,387,702)	0		(2,387,702)	0	227,997	0
MERRILL LYNCH INTL.....	Y	Y			(3,326,363)	0		(3,326,363)	0	319,900	0
MORGAN STANLEY INTER.....	Y	Y	85,037,000	121,149,264	(15,800,288)	20,311,976	121,149,264	(15,800,288)	20,311,976	53,391,721	53,391,721
SOCIETE GENERALE.....	Y	Y			(1,054,140)	0		(1,054,140)	0		0
WELLS FARGO BANK.....	Y	Y	4,096,000	3,579,406		0	3,579,406		0		0
0299999999. Total NAIC 1 Designation.....			201,933,035	304,531,972	(339,899,189)	23,318,312	306,316,585	(339,475,761)	23,318,312	67,696,470	60,456,745
0899999999. Aggregate Sum of Central Clearinghouse.....	XXX	XXX	88,999,735	55,765,046	(10,581,378)	0	97,451,914	(10,581,378)	0	10,612,019	0
0999999999. Gross Totals.....			290,932,770	415,352,483	(350,480,567)	78,373,777	403,768,499	(359,083,870)	23,318,312	130,454,689	112,602,945
1. Offset per SSAP No. 64.....											
2. Net after right of offset per SSAP No. 64.....				415,352,483	(350,480,567)						

QE08

**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE DB - PART D - SECTION 2**

Collateral for Derivative Instruments Open as of Current Statement Date

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (L, V or IV)
<b>Collateral Pledged by Reporting Entity</b>								
BANK OF AMERICA, NA.....	B4TYDEB6GKMZO031MB27..	Cash.....	Cash.....	28,410,000	28,410,000	28,410,000		
BANK OF AMERICA, NA.....	B4TYDEB6GKMZO031MB27..	Treasury.....	TREASURY NOTE.....	(293,839)	(277,000)	(293,839)	06/30/2024.	
BANK OF AMERICA, NA.....	B4TYDEB6GKMZO031MB27..	Treasury.....	TREASURY NOTE.....	(596,179)	(580,000)	(596,179)	08/31/2021.	
BANK OF AMERICA, NA.....	B4TYDEB6GKMZO031MB27..	Treasury.....	TREASURY NOTE.....	(191,160)	(186,000)	(191,160)	10/31/2021.	
BANK OF AMERICA, NA.....	B4TYDEB6GKMZO031MB27..	Treasury.....	TREASURY NOTE.....	(389,935)	(375,000)	(389,935)	09/30/2022.	
BANK OF AMERICA, NA.....	B4TYDEB6GKMZO031MB27..	Treasury.....	TREASURY NOTE.....	(520,189)	(496,000)	(520,189)	12/31/2022.	
BANK OF AMERICA, NA.....	B4TYDEB6GKMZO031MB27..	Treasury.....	TREASURY NOTE.....	(59,721)	(57,000)	(59,721)	01/31/2023.	
BANK OF AMERICA, NA.....	B4TYDEB6GKMZO031MB27..	Treasury.....	TREASURY NOTE.....	(323,483)	(311,000)	(323,483)	03/31/2023.	
BANK OF AMERICA, NA.....	B4TYDEB6GKMZO031MB27..	Treasury.....	TREASURY NOTE.....	1,234,100	1,190,000	1,190,216	02/15/2022.	
BANK OF AMERICA, NA.....	B4TYDEB6GKMZO031MB27..	Treasury.....	TREASURY NOTE.....	(20,476)	(20,000)	(20,476)	11/30/2021.	
BANK OF AMERICA, NA.....	B4TYDEB6GKMZO031MB27..	Treasury.....	TREASURY NOTE.....	519,169	492,000	493,397	02/15/2023.	
BANK OF AMERICA, NA.....	B4TYDEB6GKMZO031MB27..	Treasury.....	TREASURY NOTE.....	(415,688)	(384,000)	(415,688)	01/31/2024.	
BANK OF AMERICA, NA.....	B4TYDEB6GKMZO031MB27..	Treasury.....	TREASURY NOTE.....	(378,871)	(375,000)	(378,871)	08/31/2020.	
BANK OF AMERICA, NA.....	B4TYDEB6GKMZO031MB27..	Treasury.....	TREASURY NOTE.....	(118,964)	(115,000)	(118,964)	02/28/2022.	
BANK OF AMERICA, NA.....	B4TYDEB6GKMZO031MB27..	Treasury.....	TREASURY NOTE.....	(423,162)	(393,000)	(423,162)	03/31/2024.	
BANK OF AMERICA, NA.....	B4TYDEB6GKMZO031MB27..	Treasury.....	TREASURY NOTE.....	284,449	275,000	275,228	03/31/2022.	
BANK OF AMERICA, NA.....	B4TYDEB6GKMZO031MB27..	Treasury.....	TREASURY NOTE.....	3,274,860	3,000,000	2,961,996	05/15/2025.	
BANK OF AMERICA, NA.....	B4TYDEB6GKMZO031MB27..	Treasury.....	TREASURY NOTE.....	18,163,828	17,401,000	17,843,515	02/28/2025.	
BANK OF AMERICA, NA.....	B4TYDEB6GKMZO031MB27..	Treasury.....	TREASURY NOTE.....	7,984,194	8,000,000	7,975,857	05/15/2030.	
BARCLAYS BANK PLC.....	G5GSEF7VJP5I7OUK5573...	Treasury.....	TREASURY BOND.....	21,865,612	15,709,000	15,804,512	02/15/2049.	
BARCLAYS CAPITAL INC.....	AC28XWVW3WIBK2824319...	Cash.....	Cash.....	55,055,465	55,055,465	55,055,465		
CME.....	LCZ7XYGSLJUHFXNXD88...	Treasury.....	TREASURY BOND.....	44,790,942	30,000,000	29,357,580	11/15/2043.	
CREDIT SUISSE FB INT.....	E58DKGMJYYYJLN8C3868...	Treasury.....	TREASURY BOND.....	10,415,709	7,483,000	7,528,497	02/15/2049.	
DEUTSCHE BANK, AG.....	7LTFWZYICNSX8D621K86...	Loan-backed and Structured.....	FGOLD 30YR GIANT.....	(1,180,186)	(52,480,000)	(1,180,186)	10/01/2037.	
DEUTSCHE BANK, AG.....	7LTFWZYICNSX8D621K86...	Loan-backed and Structured.....	FGOLD 15YR.....	(3,763,278)	(8,805,000)	(3,763,278)	06/01/2030.	
DEUTSCHE BANK, AG.....	7LTFWZYICNSX8D621K86...	Loan-backed and Structured.....	FHLMC GOLD 30YR CASH ISSUANCE.....	3,099,090	3,208,115	2,978,180	10/01/2048.	
DEUTSCHE BANK, AG.....	7LTFWZYICNSX8D621K86...	Loan-backed and Structured.....	FHLMC GOLD 20YR.....	(422,603)	(1,147,000)	(422,603)	05/01/2035.	
DEUTSCHE BANK, AG.....	7LTFWZYICNSX8D621K86...	Loan-backed and Structured.....	FHLMC 30YR UMBS.....	(611,260)	(1,031,000)	(611,260)	12/01/2049.	
DEUTSCHE BANK, AG.....	7LTFWZYICNSX8D621K86...	Loan-backed and Structured.....	FHLMC 30YR UMBS.....	2,085,487	2,025,000	2,071,712	04/01/2050.	
DEUTSCHE BANK, AG.....	7LTFWZYICNSX8D621K86...	Loan-backed and Structured.....	FNMA 30YR.....	324,084	369,000	301,296	02/01/2047.	
DEUTSCHE BANK, AG.....	7LTFWZYICNSX8D621K86...	Loan-backed and Structured.....	FNMA 30YR.....	283,707	493,832	263,294	08/01/2043.	
DEUTSCHE BANK, AG.....	7LTFWZYICNSX8D621K86...	Loan-backed and Structured.....	FNMA 30YR.....	1,002,849	2,196,238	922,144	11/01/2043.	
DEUTSCHE BANK, AG.....	7LTFWZYICNSX8D621K86...	Loan-backed and Structured.....	FNMA 30YR.....	(691,425)	(1,566,000)	(691,425)	12/01/2043.	
DEUTSCHE BANK, AG.....	7LTFWZYICNSX8D621K86...	Loan-backed and Structured.....	FNMA 30YR.....	256,711	8,436,000	240,713	11/01/2033.	
DEUTSCHE BANK, AG.....	7LTFWZYICNSX8D621K86...	Loan-backed and Structured.....	FNMA 30YR.....	(369,084)	(540,000)	(369,084)	07/01/2047.	
DEUTSCHE BANK, AG.....	7LTFWZYICNSX8D621K86...	Loan-backed and Structured.....	FNMA 30YR UMBS.....	1,108,435	1,061,000	1,100,407	03/01/2050.	
DEUTSCHE BANK, AG.....	7LTFWZYICNSX8D621K86...	Loan-backed and Structured.....	GNMA2 30YR.....	9,695	17,000	9,240	09/20/2048.	
HSBC BANK USA.....	1IE8VN30JCEQV1H4R804....	Treasury.....	TREASURY BOND.....	1,525,345	1,035,000	1,113,427	11/15/2048.	
HSBC BANK USA.....	1IE8VN30JCEQV1H4R804....	Treasury.....	TREASURY BOND.....	2,892,402	2,078,000	2,090,634	02/15/2049.	
ICE.....	549300R4IG1TWPZT5U32....	Cash.....	Cash.....	14,728	14,728	14,728		V.....
JP MORGAN CHASE BANK.....	7H6GLXDRUGQFU57RNE97...	Cash.....	Cash.....	18,510,000	18,510,000	18,510,000		
JP MORGAN CHASE BANK.....	7H6GLXDRUGQFU57RNE97...	Loan-backed and Structured.....	FHLMC GOLD 30YR.....	3,483,994	4,287,000	3,306,986	08/01/2048.	
JP MORGAN CHASE BANK.....	7H6GLXDRUGQFU57RNE97...	Loan-backed and Structured.....	FNMA 30YR.....	1,071,652	50,311,093	956,566	07/01/2033.	
JP MORGAN CHASE BANK.....	7H6GLXDRUGQFU57RNE97...	Loan-backed and Structured.....	FNMA 30YR.....	65,621	146,801	58,829	02/01/2041.	
JP MORGAN CHASE BANK.....	7H6GLXDRUGQFU57RNE97...	Loan-backed and Structured.....	FNMA 30YR.....	2,201,227	6,192,975	2,029,419	10/01/2041.	

QE09

**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE DB - PART D - SECTION 2**

Collateral for Derivative Instruments Open as of Current Statement Date

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
JP MORGAN CHASE BANK.....	7H6GLXDRUGQFU57RNE97..	Loan-backed and Structured.....	3138EG GC 2 FNMA 30YR.....	3,092,542	9,500,000	2,866,797	01/01/2041.	
JP MORGAN CHASE BANK.....	7H6GLXDRUGQFU57RNE97..	Loan-backed and Structured.....	3138X6 Y5 8 FNMA 30YR.....	7,235,164	15,845,000	6,652,910	11/01/2043.	
JP MORGAN CHASE BANK.....	7H6GLXDRUGQFU57RNE97..	Loan-backed and Structured.....	3138XF C4 5 FNMA 30YR.....	422	1,000	390	04/01/2044.	
JP MORGAN CHASE BANK.....	7H6GLXDRUGQFU57RNE97..	Loan-backed and Structured.....	3140FN AS 3 FNMA 30YR.....	6,542,353	9,572,000	6,276,934	07/01/2047.	
JP MORGAN CHASE BANK.....	7H6GLXDRUGQFU57RNE97..	Loan-backed and Structured.....	3140GX BT 7 FNMA 30YR.....	3,044,402	4,001,000	2,914,977	11/01/2047.	
JP MORGAN CHASE BANK.....	7H6GLXDRUGQFU57RNE97..	Loan-backed and Structured.....	31410L VC 3 FNMA 30YR.....	14,933,541	16,292,458	13,910,545	01/01/2047.	
JP MORGAN CHASE BANK.....	7H6GLXDRUGQFU57RNE97..	Treasury.....	912810 RD 2 TREASURY BOND.....	30,790,787	20,623,000	20,167,873	11/15/2043.	
JP MORGAN CHASE BANK.....	7H6GLXDRUGQFU57RNE97..	Treasury.....	912810 SE 9 TREASURY BOND.....	94,321	64,000	68,850	11/15/2048.	
JP MORGAN CHASE BANK.....	7H6GLXDRUGQFU57RNE97..	Treasury.....	912810 SF 6 TREASURY BOND.....	15,795,465	11,348,000	11,416,997	02/15/2049.	
JP MORGAN CHASE BANK.....	7H6GLXDRUGQFU57RNE97..	Treasury.....	912828 ZQ 6 TREASURY NOTE.....	1,996,048	2,000,000	1,993,964	05/15/2030.	
MERRILL LYNCH CAP SV.....	GDWTXX03601TB7DW3U69..	Treasury.....	912810 RD 2 TREASURY BOND.....	2,035,002	1,363,000	1,333,448	11/15/2043.	
MERRILL LYNCH CAP SV.....	GDWTXX03601TB7DW3U69..	Treasury.....	912810 SF 6 TREASURY BOND.....	634,714	456,000	458,773	02/15/2049.	
MERRILL LYNCH INTL.....	GGDZP1UYGU9STUHRDP48..	Treasury.....	912810 RD 2 TREASURY BOND.....	2,536,660	1,699,000	1,662,458	11/15/2043.	
MERRILL LYNCH INTL.....	GGDZP1UYGU9STUHRDP48..	Treasury.....	912810 SF 6 TREASURY BOND.....	1,348,767	969,000	974,892	02/15/2049.	
SOCIETE GENERALE.....	O2RNE8IBXP4R0TD8PU41...	Loan-backed and Structured.....	3138X6 Y5 8 FNMA 30YR.....	969,407	2,123,000	891,393	11/01/2043.	
SOCIETE GENERALE.....	O2RNE8IBXP4R0TD8PU41...	Loan-backed and Structured.....	31410L VC 3 FNMA 30YR.....	890,928	972,000	829,896	01/01/2047.	
SOCIETE GENERALE.....	O2RNE8IBXP4R0TD8PU41...	Treasury.....	912810 SE 9 TREASURY BOND.....	128,217	87,000	93,592	11/15/2048.	
0199999999. Totals.....				311,232,592	295,174,705	264,609,024	XXX	XXX

**Collateral Pledged to Reporting Entity**

<b>QE09.1</b>	CITIBANK, N.A.....	E57ODZWZ7FF32TWEFA76..	Cash.....	Cash.....	6,530,000	6,530,000	XXX	
	CME.....	LCZ7XYGSLJUHFXNXD88..	Cash.....	Cash.....	88,804,929	88,804,929	XXX	V.....
	CREDIT SUISSE FB INT.....	E58DKGMJYYYJLN8C3868..	Cash.....	Cash.....	99,180,035	99,180,035	XXX	
	HSBC BANK USA.....	1IE8VN30JCEQV1H4R804....	Cash.....	Cash.....	7,090,000	7,090,000	XXX	
	LCH.....	WAM6YERMS7OXFZUOY219..	Cash.....	Cash.....	194,806	194,806	XXX	V.....
	MORGAN STANLEY INTL.....	4PQUHN3JPFGFNF3BB653...	Cash.....	Cash.....	11,504,000	11,504,000	XXX	
	MORGAN STANLEY INTL.....	4PQUHN3JPFGFNF3BB653...	Cash.....	Cash.....	73,533,000	73,533,000	XXX	
	WELLS FARGO BANK.....	KB1H1DSPRFMYMCUFXT09..	Cash.....	Cash.....	4,096,000	4,096,000	XXX	
	0299999999. Totals.....				290,932,770	290,932,770	XXX	XXX

**Sch. DB - Pt. E**  
**NONE**

**Sch. DL - Pt. 1**  
**NONE**

**Sch. DL - Pt. 2**  
**NONE**

# TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY

## SCHEDULE E - PART 1 - CASH

### Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>Open Depositories</b>								
JP Morgan Chase Bank GB..... London, GB.....					141,793,732	97,731,061	98,029,766	XXX
JP Morgan Chase Bank N.A..... New York, NY.....					708,657		1,553,359	XXX
Wells Fargo Bank N.A..... Minneapolis, MN.....							904,048	XXX
Bank of America..... New York, NY.....					500,015	500,173	648,527	XXX
JP Morgan Chase Bank N.A..... New York, NY.....					258,235		355,415	XXX
JP Morgan Chase Bank N.A..... New York, NY.....					28,129,902			XXX
JP Morgan Chase Bank N.A..... New York, NY.....					(609,139)			XXX
0199998. Deposits in.....48 depositories that do not exceed the allowable limit in any one depository (see Instructions) - Open Depositories.....	XXX	XXX			762,045	692,712	649,333	XXX
0199999. Total Open Depositories.....	XXX	XXX	0	0	171,543,447	98,923,946	102,140,448	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	0	0	171,543,447	98,923,946	102,140,448	XXX
0599999. Total Cash.....	XXX	XXX	0	0	171,543,447	98,923,946	102,140,448	XXX

**TALCOTT RESOLUTION LIFE AND ANNUITY INSURANCE COMPANY**

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
<b>U.S. Government Bonds - Issuer Obligations</b>								
	TREASURY BILL.....		06/05/2020.....		08/04/2020.....	74,990,199		6,533
0199999	U.S. Government Bonds - Issuer Obligations.....					74,990,199	0	6,533
0599999	Total - U.S. Government Bonds.....					74,990,199	0	6,533
<b>Bonds - Industrial &amp; Miscellaneous (Unaffiliated) - Issuer Obligations</b>								
	ALBERTA (PROVINCE OF).....		06/03/2020.....		08/10/2020.....	12,989,291		1,838
	TORONTO-DOMINION BANK.....		06/25/2020.....		09/08/2020.....	11,994,723		472
3299999	Industrial & Miscellaneous (Unaffiliated) - Issuer Obligations.....					24,984,014	0	2,311
3899999	Total - Industrial & Miscellaneous (Unaffiliated).....					24,984,014	0	2,311
<b>Total Bonds</b>								
7699999	Subtotals - Issuer Obligations.....					99,974,214	0	8,844
8399999	Subtotals - Bonds.....					99,974,214	0	8,844
<b>Exempt Money Market Mutual Funds as Identified by the SVO</b>								
4812A0	37 5 JPMORGAN 100% US TRS SEC CL CAP.....		05/29/2020.....			60,017,765		10,659
4812C2	23 9 JPMORGAN US TREASURY PLUS CL CAP.....		06/12/2020.....			270,071,407		71,407
8599999	Total - Exempt Money Market Mutual Funds as Identified by the SVO.....					330,089,172	0	82,066
<b>All Other Money Market Mutual Funds</b>								
4812C0	66 2 JPMORGAN US GOVT MMKT.....		12/26/2019.....			31,838,154		45,732
4812C0	67 0 JPM US GOVT MM - CP.....		08/30/2019.....			267,032,807		275,225
949917	39 7 WELLS FARGO ADV HER MMKT INS.....		06/30/2020.....			2,456,325		15,323
8699999	Total - All Other Money Market Mutual Funds.....					301,327,285	0	336,280
8899999	Total - Cash Equivalents.....					731,390,671	0	427,190

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